# **DEVELOPMENT OF A WIND-SOLAR PV HYBRID SYSTEM FOR SMALL-SCALE POWER GENERATION IN LOW WIND SPEED REGIMES IN KENYA**

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# **DOCTOR OF PHILOSOPHY**

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# **Development of a Wind-Solar PV Hybrid System for Small-Scale Power Generation in Low Wind Speed Regimes in Kenya**

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#### **DECLARATION**

<span id="page-2-0"></span>This thesis is my original work and has not been presented for a degree in any other

university. Signature……………………………………Date…………………................ **Kennedy Muchiri** This thesis has been submitted for examination with our approval as University **Supervisors** Signature……………………………………Date…………………….................. **Prof. Joseph Ngugi Kamau, PhD JKUAT, Kenya** Signature……………………………………Date………...................................... **Dr. David Wafula Wekesa, PhD Multimedia University, Kenya** Signature……………………………………Date……………………................. **Dr. Churchill Otieno Saoke, PhD JKUAT, Kenya** Signature……………………………………Date……………………................. **Prof. Joseph Ndisya Mutuku, PhD JKUAT, Kenya** Signature……………………………………Date……………………................. **Dr. Joseph Kimiri Gathua, PhD Kenyatta University, Kenya**

### **DEDICATION**

<span id="page-3-0"></span>This study is dedicated to my spouse Stella Kanini, daughter Alyssa Mwende, son Adrien Baraka and family members Joseph Muchiri, Rose Muchiri, Stanely Muchiri, Mwangi Muchiri, Karanja Muchiri, and Martin Muchiri.

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## **ABBREVIATIONS AND ACRONYMS**

<span id="page-16-0"></span>

- **GSM** Global System for Mobile Communication
- **HAWT** Horizontal Axis Wind Turbine
- **HES** Hybrid Energy Systems
- **HOMER** Hybrid Optimization of Multiple Energy Resources
- **HRES** Hybrid Renewable Energy Systems
- **IISD** International Institute of Sustainable Development
- **IRR** Internal Rate of Return
- **LIDAR** Light Detection and Ranging
- **MPP** Maximum Power Point
- **MPPT** Maximum Power Point Tracking
- **NACA** National Advisory Committee for Aeronautics
- **NPV** Net Present Value
- **PI** Profitability Index
- PIC Peripheral Interface Controller
- **PP** Payback Period
- **PSH** Peak Sun Hours
- **PV** Photovoltaics
- **PVGIS** Photovoltaic Geographical Information System
- **RE** Renewable Energy
- **RES** Renewable Energy Sources
- **RPM** Revolutions Per Minute
- **SDG** Sustainable Development Goals
- **SOC** State of Charge
- **STC** Standard Test Conditions
- **SWT** Small Wind Turbine
- **TSR** Tip Speed Ratio
- **UN** United Nations
- **UNESA** UN Department of Economic and Social Affairs
- **VAWT** Vertical Axis Wind Turbine
- **WPD** Wind Power Density
- **WT** Wind Turbine

## **LIST OF SYMBOLS**

<span id="page-19-0"></span>

- **C<sup>d</sup>** Drag coefficient
- **C<sup>l</sup>** Lift coefficient
- **C<sup>m</sup>** Pitch moment coefficient
- $\omega$  Angular velocity

#### **ABSTRACT**

<span id="page-21-0"></span>Energy is a critical factor to society's economic growth. Increased energy demand has led to the usage of conventional energy sources such as coal, oil and natural gas, which pose ecological and health risks. Wind and solar resources are intermittent in nature, a condition that leads to fluctuations in their energies. Lack of continuous availability of these resources in nature has always brought stability challenges in power grids affecting the quality of electricity and lowering their reliability. Integration and optimization of wind and solar energy systems offer a solution. By integrating two or more energy resources through a hybrid technology, it is possible to minimize the effects caused by the intermittence of renewable energy resources. Much has been reported on solar and wind resources with little said on the viability of their complementary nature in low wind speed regime areas. This study aims at developing and optimizing a wind-solar hybrid energy system for electrification in low wind speed regimes where wind resource is rarely exploited to its full potential. Resource ground assessments were conducted using simulation and experimental methods. Wind distribution revealed Weibull's shape (*k*) and scale (*c*) parameter values of 1.9 and 3.22 m/s, respectively. A WPD of 17 W/m<sup>2</sup> at 20 m hub height and mean wind speed of 3.01 m/s has been reported. An average insolation of 5.84 kWh/m<sup>2</sup> at 1 kWp capacity is reported. Wind and solar were found to have good complementarity increasing their viability in hybrid energy systems. Energy demand has been conducted using field surveys to establish the average load demand necessary to inform on appropriate system size. The energy demand analysis revealed a daily range of 0.052 to 4.23 kWh, where a daily average energy load of 0.582 kWh is reported. Based on these findings, a wind-solar hybrid system was developed. Turbine rotor blades were made from Styrofoam and aluminum, with a pitching allowed for energy optimization. Wind tunnel tests were done to a maximum wind speed of 20 m/s to determine TSR and *C<sup>P</sup>* at pitch angles between 0° and 40°. Analysis of the TSRs revealed a positively skewed pattern, implying good prospects for wind energy at low wind speeds. The foam blade performed best with a *C<sub>P</sub>* of 0.465 at a pitch angle of 20° and a TSR of 2.1. The *C<sup>P</sup>* translated to 238 W at a rated wind speed of 5 m/s. At a TSR of 1.9 and a pitch angle 15°, aluminum fared best with a *C<sup>P</sup>* of 0.431 which translated to 220 W at 5 m/s. Foam blades are more suitable for use in rotor blade fabrications. Field tests was conducted which revealed good wind-solar power integration in spite of time and weather changes. Vertical shear analysis revealed greater wind energy productivity at higher altitudes, where hub heights between 8 m and 50 m revealed WPD between 20 W/m<sup>2</sup> and 79 W/m<sup>2</sup>, respectively. The hybrid system produced 143 W of solar power and 36 W of wind power at 8 m, which translate to 0.835 kWh for 5.84 peak sun hours and 0.864 kWh daily, respectively. Shear analysis provided the rated turbine wind speed of 5.0 m/s at hub height of 50 m, with a daily energy potential of 5.4 kWh. The findings revealed that the hybrid energy systems are viable for installation in rural households and small-scale utilities. Small-scale micro-grids, minigrids, utilities, as well as the research communities exploring hybrid energy systems, could benefit from the findings and knowledge gained from this study.

#### **CHAPTER ONE**

#### <span id="page-22-1"></span>**INTRODUCTION**

#### <span id="page-22-2"></span><span id="page-22-0"></span>**1.1 Background Information**

Any state's economic growth requires energy as a basic necessity. The amount of energy consumed worldwide is rising quickly; in 2022, it was 13393 TWh, up from 13004 TWh in 2021 (Wiatros, Motyka & Jones, 2022). Renewable resources (solar, wind, and hydro) provide about 28% of this demand, and their growth is rapidly accelerating (Wiatros *et al*., 2022). The national energy demand in Kenya peaked in 2022 at 2056 MW, an increase of 3.2% from 1994 MW in 2021 (Energy and Petroleum Regulatory Authority [EPRA], 2022). This demand increase is always partly triggered by rising living standards, urbanization, industrialization, and population growth as was suggested elsewhere in a study by Saoke, Nishizawa, Ushiyama & Kamau (2015). Sustainable Development Goals (SDG) require increased energy from renewable sources and access to modern, cheap, and dependable energy amenities by 2030. As such, new energy generation techniques are necessary because conventional sources such as coal, oil and natural gas are limited and harmful to life. Climate change and global warming are two main impacts of these energy sources, which are partly caused by greenhouse gases (GHG) (Aslam-Bhutta, Hayat, Farooj, Ali, Jamil & Hussain, 2012). Continuous reliance on fossil energies is unprofitable and has recently raised concerns across the globe as GHG emissions rise (Kassem, Camur & Aateg, 2020). In terms of pollution, energy systems are major contributors of GHG emissions, responsible for raising the impact to new states of concern and uncertainty.

Zaekhan and Nachrowi (2015) reported on increased global warming, whose leading contributor is  $CO<sub>2</sub>$  from fossil fuels. The emission rates were 981 g, 755 g and 461 g per kWh for coal, mineral oil and natural gas, respectively (Zaekhan & Nachrowi, 2015). In Kenya, development of coal-based power plants has put pressure on various industries to make investments in carbon-reduction strategies. Geothermal productions rates of 122 gCO<sub>2</sub>/kWh and 670–870 gCO<sub>2</sub>/kWh for coal have been reported (Kahlen, Kirdziel, Day & Schiefer, 2019).

Sharma, Bojja and Yemula (2016) reported on CO<sub>2</sub> weighted average emission factor as prepared by Central Electricity Authority (CEA) in India where an emission factor of 0.82 tCO2/MWh was reported based on the database (Sharma *et al.*, 2016; Bhawan & Puram, 2011). In Kenya,  $CO<sub>2</sub>$  emission rate of 0.5 tCO<sub>2</sub>/MWh has been reported (EPRA, 2022). According to the EPRA (2022) report,  $CO<sub>2</sub>$  emissions have been fluctuating with electricity generation, the lowest and highest  $CO<sub>2</sub>$  levels being 480.51 and 547.76 thousand tons in February and May 2022, respectively. Solar panels and WT carbon footprints are significant but only during manufacturing process where solar panels produces 50  $gCO<sub>2</sub>/kWh$  while WT produces about 11  $gCO<sub>2</sub>/kWh$ (Wigness, 2023; Helman, 2021). Comparatively, fossil fuels release high CO<sup>2</sup> continuously during combustion while solar and WT generate close to zero level since they become carbon-neutral within the first three years of installation (Wigness, 2023). This insignificant CO<sub>2</sub> generation and neutrality after installation makes wind and solar systems promising in green energy generation. The high levels of carbon emission and the current environmental state raises red flags not only locally but also globally for a reversal trend in the modes of energy production.

To decarbonize the energy sector and meet the access to energy target, new energy production methods are vital (International Renewable Energy Agency [IRENA], 2022; Delbeke, Runge-Metzger, Slingenberg & Werksman, 2019; The World Bank, 2017). Conventional energy producing techniques can be replaced by renewable energy sources (RES), which are not only plentiful and limitless but also secure (Skretas & Papadopoulos, 2009). In view of these facts, accelerating energy transition into renewable based approaches of energy generation is imperative and urgent. This research offers practical solutions to guarantee access to inexpensive, dependable, and modern energy to everyone, as required in the SDGs (The World Bank, 2017; UN Department of Economic and Social Affairs (UNESA), 2016; International Institute of Sustainable Development (IISD), 2016). Thus, along with energy security and safety, a more comprehensive, equitable, and climate-resilient economy is promised (International Renewable Energy Agency [IRENA], 2022). Green energy innovations would produce a better Africa, as envisioned in African Agenda 2063, the Africa we desire, attracting improved living standards, good health, and well-being (African Union Commision, 2015). Kenya reiterated the Paris Agreement's goal of limiting the

average global temperature rise to 2°C. As such, the nation aims to cut GHG emissions by 32% nationally by 2030 through programs like boosting percentage of RES in the grid (EPRA, 2022).

By 2050, the world population is projected to reach 9 billion, which will result in increased demand for energy, food, water, and ecological destruction (Saoke *et al.*, 2015; Nishizawa, Shengning, Elson & Ushiyama, 2013). Kenya has a population of over 50 million, out of which over 15 million people live in the rural remote areas. Low population density and undeveloped economics have partly resulted to poor rate of electrification in the rural areas. Still with the grid connection in rural homes, electricity needs are unsatisfactorily met due to the high cost of electricity. Independent energy systems that rely solely on wind or solar power can hardly satisfy the nation's enormous energy needs. To provide amenities relying on electricity that are economical and sustainable, it is necessary to apply smart energy planning and technologies. This planning would speed up the creation of dependable energy systems that take into consideration local needs, priorities, and available resources. To modify the current systems, a hybrid smart technology which integrates multiple energy sources to support grids and standalone systems has been considered (Mukulo, Ngaruiya & Kamau, 2014).

These developments and decentralization of energy could ease access to energy in remote areas, reduce electricity crisis and lure related enterprises that provide employment opportunities to promote development and lifestyle improvement among local communities. Scientific advancements in energy harnessing technologies promote the African Union's 2063 agenda through proper utilization of the available and free natural resources and human capital for sustainable growth and development that is centered on human needs. The drive to meet the sustainable development goals has greatly increased public interest in renewable energy technologies (Adebayo, Layeni, Nwaokocha, Oyedepo & Folarin, 2019). With the immediate need for modern energy, exploitation of renewable energy sources using new extraction methodologies is necessary. This development will aid not only in power supply but also in reducing the negative effects of conventional sources, a matter of global concern in recent years as GHG emissions rise (Kassem, Camur & Aateg*,* 2020).

Wind and solar are two renewable energy sources among the most abundant, accessible, and clean. The two resources offer better ways to lessen energy shortages and environmental degradation. To this extent, the two sources are key ingredients to increasing the need for clean energy (Wekesa, Saoke & Kamau, 2020; Apunda & Nyangoye, 2017). According to Jayapriya, wind energy, which accounts for nearly 40% of all primary energy consumption, has recently become one of the RES mostly being explored (Jayapriya, Muruganandam, Raguraman, Senthilkumar & Dhinakaran, 2019). In addition, wind energy, which emits no direct emissions, is thought to be the most promising and quickly developing source of renewable energy (de Falani, Gonzalez, Barreto, de Toledo & Torkomian, 2020). As a result, wind systems would significantly lower carbon footprints and minimize pollution in the end (Evans, Strezov & Evans, 2009). According to Asim and his team, a substantial portion of the job sector has been greatly impacted by global scientific developments in wind (Asim, Islam, Hemmati & Khalid, 2022). Thus, wind energy remains preferable in the energy sector as the best complement to other renewable energy sources, particularly solar. According to the EPRA 2022 report, Kenya has a promising wind potential, with 73% of the country experiencing winds of 6 m/s or more at altitudes of 100 meters or higher (EPRA, 2022). Studies by Kamau, Kinyua and Gathua (2010), Saoke (2015), and Wekesa, Wang, Wei, Kamau and Danao (2015) reported that Kenya has a good potential of wind energy with class of over 7 in most parts of urban and rural environments thus viable for energy generation (Wekesa, Wang & Wei, 2016; Wekesa, Wang, Wei & Danao, 2014). In Kenya, hydroelectric power generation is quite unreliable due to the challenges facing most of the Sub-Saharan countries like drought attributed to global warming, deforestation and increased population among others. Power at times is rationed due to its insufficiency despite the countries efforts to provide energy access through plants like geothermal and diesel generators making the crisis persist (Wekesa, Wang & Wei, 2016). The unpredictability of weather conditions and the fluctuating cost of petrol and diesel fossil energies have greatly stimulated interest in renewable energies like wind and solar.

In low wind speed regimes, inadequate meteorological data on wind distribution in comparison to other renewable energy resources might have partly resulted to its low level of exploitation for energy production. In Kenya, information gathered by the meteorological centers is majorly meant for other consumption like civil aviation and agriculture as opposed to wind energy generation. Recently, wind energy plants set-up in Kenya have proven to be reliable sources. Turkana power plant is one such example, which is the largest in the region, generating over 300 MW. Improper mapping of wind flow, low system design efficiencies, inaccurate location of plants in certain areas and long distances from the lines of transmission are among the major challenges that have hampered growth and development of these farms in Kenya (Wekesa, Wang, Wei & Danao, 2014; Wekesa, Mutuku & Kamau, 2012). Proper address of these key issues can be a turning point.

Solar is another suitable energy resource in the modern world which among possible options of generating renewable energy has proven to be sustainable, abundant and safe (Wiginton, Nguyen & Pearce, 2010). Solar is readily available with higher yields of around 2500 kWh/m<sup>2</sup> in East Africa (Tovey, 1992). In Kenya, the average daily solar insolation is 5 kWh/m<sup>2</sup>, with ranges between 3.9 and 6.5 kWh/m<sup>2</sup> (Iakovleva, Guerra*,*Tcvetkov & Shklyarskiy 2022; Aboagye et al., 2021). These are indicators of good prospects for solar systems in the country. According to Reinhard, PV systems have the capacity to meet energy demands in populated areas while decongesting on urban power grid infrastructure (Reinhard, 2006). Solar thermal and solar photovoltaic energy are the two types of solar energy. Solar photovoltaic (PV) entails the conversion of sunlight into electrical energy, while solar thermal entails conversion of solar energy into heat energy. In this study, solar PV was of much interest (Kumar, Priyadharshini & Natarajan, 2015).

Complementarity is the alternate nature of energy resources in terms of their availability and energy potential. This concept is critical at the design phase of HES, since it minimizes unpredictability between any two renewable resources in their applications. According to Yang, the complementarity effect has promoted hybrid system development lately, making the technology more feasible in the  $21<sup>st</sup>$  century (Yang, Wei & Chengzhi, 2009). Resources like wind and solar are very site-specific and intermittent in terms of their output. The development of hybrid renewable energy systems can make use of the two resources because of their complementarity as a workable solution to the challenge of their intermittence (Solomon, Child, Caldera & Breyer 2020). Loads and distributed energy sources can work in tandem with the main grid power system to create a hybrid power system. The system may integrate a conventional source and RES, or purely RES (Lazarov, Zarkov & Bochev, 2005). Hybrid systems ensure reliability, affordability and security in local energy for both rural and urban sites, as well as narrowing the gap between power generation and power use on small scales. The hybrid technology enables integration of energy resources like wind, solar, hydro, biomass and other local resources. This integration can be realized using smart energy technology that allows dynamic control over a number of utilities, enabling autonomous and automatic operations in power systems. Such systems help in meeting the energy demands of a community in a way that fits the local geography and weather variations.

Wind and solar power generation is seasonally intermittent, on daily and hourly basis. Therefore, thorough resource assessment and analysis would be needed to determine the distribution and energy potentials of the two resource regimes before implementing a dual system supported by both. The hybrid energy system, which requires stable, dependable, and high-quality power generation, faces significant challenges as a result of resource variations. Utilizing the right technology to integrate wind and solar renewable resources would greatly minimize the impact of variability between the two resources and provide energy consumers with a sustainable supply of energy. These facts necessitate the application of hybrid technology to minimize the effect of renewable resource variations in power supply. With the climatic changes and the high level of energy demand, hybrid technology in energy production needs to be embraced. As a way to provide access to energy in remote locations where the establishment of a national grid is impracticable, hybrid renewable energy systems have gained popularity across the globe (Jha, Prashar, Rashid, Khanam, Nagpal, AlGhamdi & Alshamrani, 2022; Sanajaoba, 2019). Due to their availability, energy potential, and complementary behavior, wind-PV energies have proved better and feasible in hybrid energy systems advances. Wind and solar energy resources are homegrown and economical means of cutting down on energy bills and carbon emissions. This development eradicates the transient nature of energy production by single resource driven systems, introducing the generation of sustainable and reliable energy with zero negative effects. This study aimed to close the energy demand gap by hybridizing wind

and solar energies. In-depth assessments of wind-solar potentials for electricity generation were conducted for, examination of energy demand level, evaluation of wind-solar complementarity, and its viability in hybrid energy system (HES). These evaluations provided information on wind turbine integration with solar PV system for rural electrification.

#### <span id="page-28-0"></span>**1.2 Statement of the Problem**

Today's globe suffers greatly from a lack of clean energy, which is also a resource that is essential for the economic growth of any society. Kenya still faces the challenges of insufficient, unsustainable and unreliable energy, particularly in rural and remote areas. Communities living in remote areas are partly isolated from the national grid due to topological factors, and so they lack access to the national electricity. High population in some areas triggers an increase in energy demand which is insufficiently met by the national grid. Other than topology, high installation cost, monthly bills and maintenance cost have partly caused the lack of grid connectivity in rural households, resulting in economic instability. The national grid is unable to fully meet and sustain the energy demand due to the rising population. As a result, most energy consumers rely on traditional energy sources like fossil fuels, which have negative impact on the environment and health. These conventional energy sources have attracted the attention of the world through the United Nations climate change conferences around the world (currently COP28) (Burnett, 2023; Erbach & Roniger, 2023). Such energy sources are leading contributors of GHG emissions, which are poisonous and hazardous to life. Global warming, pollution and climate change are among the leading effects of GHG, making the earth quite inhabitable.

Power outage as a result of unreliable energy generation systems has raised many complaints attracting the need for alternative sources of power. Single resource driven energy systems like solar are effective only during the day when solar is available, sudden change in weather conditions or cloud cover switches off power generation by solar systems. Low wind speeds in some areas make the wind resource unattractive in large-scale energy generation. This makes wind resource less exploited to its full potential.

Wind and solar resources have been reported to have good characteristics that make them good candidates for the production of energy. However, the two resources are highly intermittent and site-specific, a challenge that affects power generation and stability in grids. Intermittence makes power supply periodic, reducing the feasibility of wind and solar systems in the energy market. This current study sought to address the challenge of intermittence through resource integration and system optimization to create access to reliable energy in low wind speed regimes.

#### <span id="page-29-0"></span>**1.3 Justification of the Study**

Research and acceleration of development in new and emerging low emission technologies which target alternative renewable resources which are reliable, less costly and safe to the ecosystem is a priority. About 800 million people in the world have zero access to energy. Out of this number sub-Saharan African has the highest number where people living in the rural making up the majority (EPRA, 2022). Sparsely populated regions hardly develop due to lack of power to run the basic facilities like health, schools and also for use in households. Off-grid stand-alone systems driven by renewable sources offer viable solution in mitigating the huge energy crisis in remote areas (Misak & Prokop, 2010). Challenges in the energy sector call for a reverse trend in the mode of energy production.

Wind and Solar renewable resources have attracted widespread attention due to their advantages. These resources offer a feasible resolution through hybrid technology. Wind and solar resources can be integrated due to their good complementarity behavior to reduce mismatch due to intermittency (Jurasz, Canales, Kies, Guezgouz & Beluco, 2020; Neto, Saavedra & Oliveira, 2020; Rosa, Christo, Costa & Santos, 2020; Jurasz, Beluco & Canales, 2018). Due to the limited studies on the complementarity of wind/PV systems in Kenya, there is a need to evaluate the viability of this characteristic in hybrid systems' development (Johannsen, Ostergaard & Hanlin, 2020). To provide alternative energy source by 2030, in line with the SDGs (The World Bank, 2017), lower global warming below 2.0°C as per the Paris Agreement (EPRA, 2022;The World Bank, 2017), and meet the African Union's Agenda 2063's No. 1 Aspiration, geared towards ensuring Africans' quality of life and sustainable development (African Union Commision, 2015), research in renewable energy technologies is indispensable. In this study, free and readily available wind and solar energy provide significant research motivation into their integration technology. Thus, wind and solar complementarity with the right harnessing technology could unlock the two resource potentials increasing their viability in energy production.

#### <span id="page-30-0"></span>**1.4 Objectives**

#### <span id="page-30-1"></span>**1.4.1 Main Objective**

To develop a wind-solar PV hybrid energy system for small-scale rural electrification in low wind regime areas in Kenya.

#### <span id="page-30-2"></span>**1.4.2 Specific Objectives**

- 1. To determine wind/solar resource energy potentials and their complementarity in Machakos for establishment of the local wind/solar characteristics and their viability in hybrid power system.
- 2. To analyze energy utilization levels for the determination of the appropriate hybrid system size for a rural household in Machakos.
- 3. To design and fabricate a horizontal axis wind turbine for hybridization with solar PV for site specific optimization.
- 4. To carry out field tests on the designed wind-solar PV hybrid system to evaluate its performance characteristics.

#### <span id="page-30-3"></span>**1.5 Research Questions**

- i. Are the wind-solar resource energy potentials and complementarity characteristics viable for wind-solar hybrid energy system installation in Machakos?
- ii. What is the average energy demand and daily load profile for a rural household in Machakos which would inform a proper energy system size?
- iii. What would be the most appropriate HAWT design to optimize energy in low and varying wind speed regimes to satisfactorily meeting the load requirement of a rural household in Machakos?
- iv. What would be the field power performance characteristics of the designed wind-solar PV hybrid system?

#### <span id="page-31-0"></span>**1.6 Conceptual Framework**

This study's goal was to design and optimize flexible HES from wind and solar PV integration to power of a typical rural household in Machakos, Kenya. Prior to designing and putting into place the proposed system, the wind and solar regimes were assessed to ascertain their level of complementarity, energy potential, and viability. The average house load was determined, which informed on component sizes. Last but not least, a horizontal axis wind turbine was fabricated and hybridized with solar PV based on the assessment's findings. To characterize the power performance behavior of the developed hybrid energy system. A field test was undertaken. Figure 1.1 shows a flow chart that outlines the study activities. There are five chapters in this thesis. The introduction is covered in chapter one. This section includes the study's background, theory, research questions, objectives, problem statement, study justification, and conceptual framework. The literature review is covered in chapter two and includes prior studies that are pertinent to this study, as well as the underlying theoretical ideas. Much focus is given to wind and solar system design and hybridization, which ends in development of an optimized wind-PV hybrid energy system suitable for areas like Machakos. Chapter three provides a description of the procedures and materials employed to achieve the goal of this study. Much focus was on the evaluation of wind and solar resources potential, energy demand analysis, design, optimization, and development of the wind-solar PV hybrid system. In relation to the research questions and study objectives, chapter four presents and discusses the research findings. Chapter five summarizes the study's accomplishments and offers suggestions for areas to concentrate on in subsequent research on this topic.



<span id="page-32-0"></span>**Figure 1.1: Block Diagram of the Conceptual Framework**

#### **CHAPTER TWO**

#### **LITERATURE REVIEW**

#### <span id="page-33-2"></span><span id="page-33-1"></span><span id="page-33-0"></span>**2.1 Introduction**

This chapter consists of three sections, theoretical principles, previous studies related to this current study and the research gaps. Fundamentals in wind/solar resource characteristics, study assessments, aerodynamic characteristics as well hybrid system configuration are presented.

#### <span id="page-33-3"></span>**2.2 Theoretical Principles**

This section describes the characteristics of wind and solar resources, assessments of solar PV and wind turbine systems, energy demand evaluations as well as wind/solar hybrid system configurations.

#### <span id="page-33-4"></span>**2.2.1 Wind Resource Characteristics**

The periodic nature of wind can be revealed by analyzing the wind distribution of a certain site across time. The energy density, wind speed, and Weibull's distribution parameters are only a few of the wind parameters that are discussed. The wind speed distribution can be described by Weibull's models provide in Equations. (2.1) and (2.2) (Manwell, McGowan & Rogers, 2010);

$$
f(v) = \frac{k}{c} \left(\frac{v}{c}\right)^{k-1} \exp\left[-\left(\frac{v}{c}\right)\right]^k
$$
 (2.1)

$$
F(v) = 1 - \exp\left[-\left(\frac{v}{c}\right)\right]^k
$$
\n(2.2)

where  $f(v)$  represents the wind speed likelihood,  $c$  the scale parameter in m/s and  $k$  the shape parameter.  $F(v)$  represents the Weibull's distribution's cumulative probability function. Equation (2.3) displays the Weibull's model's special case for the Rayleigh distribution function  $F_R(v)$  at  $k = 2$ . (Arikan, Arslan & Cam, 2015);

$$
F_R(v) = \frac{2v}{c^2} \exp\left[-\left(\frac{v}{c}\right)^2\right]
$$
 (2.3)

A linear fit of Equations (2.2) would be required in order to calculate the *k* and *c* parameters. Equation (2.4) is the result when the natural logarithms of Equation (2.2) are taken (Ulgen & Hepbasli, 2002) ;

$$
\ln\left\langle -\ln\left[1 - F\left(v\right)\right]\right\rangle = k \ln(v) - k \ln c \tag{2.4}
$$

Equation (2.4) generates a linear model with a *y* intercept of *-k ln c* and a gradient of  $k$ , from which  $c$  can be derived. It is possible to estimate  $c$  and  $k$  factors using Equations (2.5) and (2.6), which connect the energy pattern factor  $(E_{pf})$  to the mean wind speeds obtained by Equation (2.7) (Manwell *et al*., 2010; Indhumathy & Sukkiramathi, 2007);

$$
k = 1 + \frac{3.69}{\left(E_{pf}\right)^2} \tag{2.5}
$$

$$
c = \frac{v_m}{\Gamma\left(1 + \frac{1}{k}\right)}\tag{2.6}
$$

$$
E_{pf} = \frac{\left(v^3\right)_m}{\left(v_m\right)^3} = \frac{\frac{1}{n} \sum_{i=1}^n v_i^3}{\left(\frac{1}{n} \sum_{i=1}^n v_i\right)^3}
$$
(2.7)

 $\left(\frac{v}{c}\right)^2$  req<br>
req<br>
hen<br>  $v$ ) –<br>
th a be to patto<br>
expect in the patto<br>
expect cause of the part of metals<br>
13 Where *Γ* is gamma, *v<sup>m</sup>* is the mean wind speed, *v* is the wind speed, *n* is the number of wind speed data points. Wind speed is affected by tower height, a condition known as wind shear (Jha, 2011; Manwell *et al.*, 2010). Studies have shown that wind speed and installation height above the earth's surface affect power generation capacity of a wind turbine. Due to surface roughness, wind experiences opposition to flow across the earth's surface. This resistance is majorly caused by turbulence around obstacles like mountains, hills, trees, buildings and rocks. The effect decreases with height until nonobstructed air flow is restored. The rate of wind speed increases as turbulence decreases depending on the degree of surface roughness. A standard height of 10 meters is used to install the majority of meteorological equipment (Kamau *et al*.,

2010). However, for better wind energy yields, installation at higher heights is necessary despite the cost. Thus, wind shear offers an opportunity for higher wind speeds and permits the deployment of larger turbine blades, increasing systems power output significantly (Jha, 2011). Increase in wind speed with height is not constant, in times of temperature inversion during calm wind atmospheres, wind speeds increase to a certain height and then begin to decrease (Jha, 2011). Moreover, temperature in different layers of the atmosphere changes with height, leading to change in wind speeds. Wind power estimation at higher heights can be done using extrapolation methods. Logarithmic and power law methods are frequently employed (Manwell *et al.*, 2010; Ray, Rogers & McGowan, 2006). The logarithmic law is provided by Equation (2.8), where z and  $z<sub>r</sub>$  represent the new and reference heights, respectively. The new and reference wind speeds are  $U(z)$  and  $U(z_r)$ , respectively, and the roughness length is *z<sup>o</sup>* (Ray, Rogers & McGowan, 2006);

$$
\frac{U(z)}{U(z_r)} = \frac{\ln\left(\frac{z}{z_o}\right)}{\ln\left(\frac{z_r}{z_o}\right)}
$$
\n(2.8)

Vertical shear is characterized by surface roughness  $(z<sub>o</sub>)$ , where  $z<sub>o</sub>$  is the altitude with wind speed hypothetically at 0 m/s. Surface roughness is dependent on the geography of a place. Wind speed cannot always be extrapolated using the logarithmic rule because the law is indefinable when the speed is the same at different heights. Therefore, to overcome this limitation, the power law provided in Equation (2.9) serves as the commonly used shear model (Arikan et al., 2015);

$$
\frac{U(z)}{U_r(z_r)} = \left(\frac{z}{z_r}\right)^\alpha \tag{2.9}
$$

where U and  $U_r$  are wind speeds at heights *z* and *z<sub>r</sub>*, respectively, while  $\alpha$  is the shear exponent. Shear exponent is a coefficient that varies from 0.10 to 0.4 for flat and urban locations, respectively, with tall buildings depending on factors like atmospheric stability and surface roughness. Shear exponent can be approximated using Equation (2.10) (Ray *et al*., 2006);
$$
\alpha = \frac{1}{\ln\left(\frac{z}{z_0}\right)}\tag{2.10}
$$

Given the existing scenario, an exponent value of 0.1429 has frequently been chosen for neutral stability (Gerard, 1958). Table 2.1 provides power law exponent values at different terrains (Anjum, 2014; Ray *et al*., 2006).

<b>Landscape Description</b>	Exponent, $\alpha$
Lake, ocean, or a smooth, hard surface	0.10
Sparse vegetation on bare terrain	0.14
Level terrain with some trees and foot-high grass.	0.16
Long rows of crops. Trees and hedges	0.20
Several trees and few buildings	$0.22 - 0.24$
Wooded countryside, suburbs and small towns	$0.28 - 0.30$
Crowded cities with tall building	(0.4)

**Table 2.1: Power Law Exponents and Different Terrains** (Ray *et al.*, 2006)

Based on this literature, the power law technique was used in this study with an assumed shear exponent value of 0.25 as the system installation and test were done at IEET, JKUAT, an area characterized by many trees and buildings. Wind power is a cubic function of wind speed, thus, wind power and hub height are connected using Equation (2.11) (Jha, 2011; Masters, 2004).

$$
\frac{P}{P_r} = \left(\frac{H}{H_r}\right)^{3\alpha} \tag{2.11}
$$

where *P* denotes power at a new height *H, P<sup>r</sup>* denotes power at a reference height *Hr*, and  $\alpha$  denotes the shear exponent.

Wind power density (WPD) is the amount of power per unit area perpendicular to the wind direction, given by Equation (2.12) (Letcher, 2017);

$$
WPD = \frac{1}{2}\rho v^3 \tag{2.12}
$$

Where  $\rho$  is density of air and  $\nu$  is the wind speed. The maximum power available for a given rotor area *P<sup>A</sup>* can thus be determined using Equation (2.13), (Letcher, 2017; Keshavan, Ramu & Sankar, 2016) ;

$$
p_A = \frac{1}{2} \rho A v^3 \tag{2.13}
$$

 $\frac{1}{2} \rho v^3$ <br>wind<br>termine<br> $\rho A v^3$ <br>s the v<br>ind spined. The enverse tube.<br>a parti<br> $\rho c^3 \left( 1$ <br>scale<br>he mes<br> $\frac{1}{2} \int_{0}^{2} f(x) \, dx$ <br>is cale<br>he mes<br> $\frac{1}{2} \int_{0}^{2} f(x) \, dx$ <br>is de mes<br>is de mes<br>id n d n d<br>id n d 16<br>id n d 1 where *A* is the rotor's sweep area,  $\nu$  is the wind speed, and  $\rho$  denotes the air density. Wind power is harvested based on wind speed, with cut-in and cut-out wind speeds, between which the best power is obtained. Decrease in exit velocity  $\nu$  of wind results when a turbine is used in harvesting the energy at velocity  $u$ . The same mass of air leaves the plane of the turbine as it comes, and therefore it expands on leaving the turbine causing expansion of the flow tube. Equation (2.14) can be used to compute the yearly and monthly WPD  $(P_w)$  for a particular location based on the Weibull's PDF (Adeyeye, Ijumba & Colton, 2021)

$$
P_{\rm w} = \frac{1}{2}\rho c^3 \left(1 + \frac{3}{k}\right) \tag{2.14}
$$

where is air density, *c* is the Weibull's scale parameter and *k* is the shape parameter. The *c* and *k* parameters are related to the mean wind speed  $(\bar{v})$  as illustrated in Equation (2.15) (Ulgen, & Hepbasli, 2002);

$$
\overline{v} = c\Gamma\left(1 + \frac{1}{k}\right) \tag{2.15}
$$

where *Γ* is gamma. When the value of *k* is 2 for the Rayleigh density function, power density  $(P_w)$  is given by Equation (2.16);

$$
p_w = \frac{3}{\pi} \rho v_m^3
$$
 (2.16)

where  $v_m$  is the mean wind speed and  $\rho$  is air density. As a result, wind power is proportional to the cube of wind speed and air density. More energy will be extracted in a more dense air near the sea level than at higher elevations, since density is highly dependent on elevation and temperature. The Betz limit, which is 0.593 of the total wind energy as shown in Equation (2.17), restrictions the amount of power  $(P_E)$ extracted from the wind resource. Notably, even for the most advanced turbine designs, real-life *C<sup>P</sup>* values range between 0.35 and 0.45 (Twidell & Weir, 2015);

$$
P_E = \frac{1}{2} \rho A v^3 C_p \tag{2.17}
$$

Annual energy output (AEO) can be calculated using Equation (2.18) (Udoakah  $\&$ Ikafia, 2017),

$$
AEO = \frac{1}{2} \rho A U^3 C_P (8760 hrs / yr).
$$
 (2.18)

### **2.2.2 Solar Resource Characteristics**

The sun is an unlimited source of energy that can be directly or indirectly harvested (Timilsina, Kurdgelashvili & Narbel, 2012). Two technologies are used to harvest sunlit and heat from the sun, namely: passive, which uses solar energy directly without conversion, and active, which transforms solar energy into photovoltaic or solar thermal energy (Ullah, Saidur, Ping, Akikur & Shuvo, 2013).

Solar energy from the sun, which takes the form of electromagnetic radiations, is referred to as solar radiation. Depending on the radiation wavelength, a medium can absorb, transmit, or scatter the light from the sun. Solar radiation can be measured in two different ways: solar irradiance, which is the instantaneous solar radiation in a given area expressed in  $W/m^2$ , and solar insolation, which is the cumulative solar radiation of a given area over time expressed in kWh/m<sup>2</sup>. The relationship between solar irradiance and solar insolation is shown in Figure 2.1. The average daily global insolation under clear skies is 5 kWh/m<sup>2</sup> , but the average solar energy reaching the earth's surface is  $1 \text{ kW/m}^2$ .



**Figure 2.1: Relationship between Solar Insolation and Solar Irradiance** (Honsberg & Bowden, 2019)

Direct normal irradiance (DNI), diffuse horizontal irradiance (DHI), and global horizontal irradiance (GHI) are the three forms of sun radiation that are transmitted (Stoffel, Renne, Myers, Wilcox, Sengupta, George & Turchi, 2012). DNI is visible from a 5° field of view on a surface leaning perpendicular to the sun's direction and reaching the ground without scattering. A Pyrheliometer, as shown in Figure 2.2 (a), is used to measure DNI. Pyrheliometer DHI is the percentage of solar radiation that is reflected from a horizontal surface by airborne atoms and molecules. A shaded Pyranometer is utilized to measure this component. GHI is the combination of DNI and DHI on a horizontal surface related through the solar zenith angle. Figure 2.2 (b) shows an unshaded Pyranometer is used to measure GHI. These instruments work under the principle of Seebeck effect, an effect that occurs when voltage difference is produce between two electrical conductors at different temperature. The electrical signal is converted and recorded as watt per square meter (Keshavan *et al.*, 2016). GHI can be used together with DNI and DHI to approximate the amount of solar radiation on a solar collector.



**Figure 2.2: (a) Pyrheliometer used to Measure DNI and (b) Pyranometer for Measuring GHI** (Raja, 2019)

The quantity of electromagnetic energy that strikes the ground surface from the sun is known as solar insolation (Beckman, Blair & Duffie, 2020). The globe experience various seasons as the earth revolves around the sun because of its elliptical nature. During summer solstice in the Southern Hemisphere, the earth is approximately  $1.47 \times 10^{11}$  m from the sun. The sun declination angle is -23.5°, on the tropic of Capricorn on December 22nd . All the Southern Hemisphere locations experience increased solar intensity due to sun's proximity. The winter solstice occurs in the Southern Hemisphere in June  $21<sup>st</sup>$  when the earth is furthest from the sun, about  $1.52 \times 10^{11}$  m (Beckman *et al.*, 2020). The sun is high in the sky, shining at the tropic of cancer, with a declination angle of 23.5°. The Northern Hemisphere experiences high solar intensity, while the Southern Hemisphere Experiences low solar intensity (Kothari, Kaushik & Panwar, 2009).

According to Johnson, solar radiation's intensity decreases as it moves away from the sun. When the sun is farthest from the earth, the solar intensity that reaches the planet is at its lowest, and when it is closest to the planet, it is at its highest. The inverse square relation is provided by Equation  $(2.19)$  (Johnson, 2012);

$$
I = \frac{P}{4\pi r^2} \tag{2.19}
$$

where  $I$  is intensity,  $P$  is total power in watts radiated from the source, and  $r$  denotes the source distance in metres.

More energy is anticipated during hotter seasons of the year. Absolute temperature affects the wavelength and energy of radiations that are released. This phenomenon is described by the Wien's and Stefan-Boltzmann radiation laws, which are given in Equations (2.20) and (2.21) (Marr & Wilkin, 2012);

$$
\lambda_{\text{max}} = \frac{b}{T} \tag{2.20}
$$

$$
E = A \sigma \varepsilon T^4 \tag{2.21}
$$

Where *A* denotes the surface area, *b* denoted the Wien's constant, *T* denotes the absolute temperature,  $\lambda$  is the wavelength  $\sigma$  represents the Stefan-Boltzmann constant, and  $\varepsilon$  is the emissivity constant.

According to Rathod, Mittal and Kumar (2017), the amount of solar radiation that reaches the earth's surface is dependent on the environment, time of day, local features, ecological and biological processes, human activities, and surface inclination. Additionally, from the perspective of PV technology, the quantity of solar insolation that strikes a surface also fluctuates according to the sun's location, the earth's declination angle, the latitude angle, the zenith angle, the azimuth angle, and the sun's hour angle (Beckman *et al.*, 2020; Šúri, Huld, Dunlop & Ossenbrink*,* 2007). The declination angle, denoted by  $\delta$ , is the incline of the Earth's around the sun, which varies from  $-23.5^{\circ}$  to  $23.5^{\circ}$  in a year. The declination angle can be computed using Equation (2.22) (Kaushika, Mishra & Rai, 2018; Karafil, Ozbay, Kesler & Parmaksiz, 2016; Cengiz & Mamis, 2015). Latitude is the angle made by the projection of the line on the equatorial plane with the radial line joining the site to the centre of the earth. It varies from-  $90^{\circ}$  to  $+90^{\circ}$ . Azimuth is the angle on the horizontal plane between line due south and the projection of normal to the surface on the horizontal plane. Measure from south. Positive towards east. South is  $0^{\circ}$ , east +90 $^{\circ}$ , west -90 $^{\circ}$  and north 180 $^{\circ}$  or -180°. Zenith is the angle between the sun rays and the vertical direction, complement to the solar altitude angle. The angle between the location's longitude and the longitude of the sun is known as the hour angle  $(\omega)$ . It is provided by Equation (2.23). Angles before and after noon are (-) and (+), respectively. At noon, the hour angle is zero (Kaushika *et al*., 2018; Karafil *et al*., 2016);

$$
\delta = 23.5 \sin \left[ \frac{360}{365} (284 + n) \right]
$$
 (2.22)

$$
\omega = 15(t_s - 12) \tag{2.23}
$$

where *n* denotes the day in a year beginning with the  $1<sup>st</sup>$  of January and  $t<sub>s</sub>$  represents the hour of the day.

The solar position at any given time and season of the year influences how much solar insolation reaches the panel surface. The perspective at which solar reaches a specific location affects the solar panel's orientation for best energy harvest (White, 2018). These components and solar angles are responsible for the diurnal and seasonal fluctuation of the solar resource. These qualities compel the requirement for an appropriate supplementary resource for integration in its energy generation applications.

### **2.2.3 Site Assessment**

Before installing a system, it is important to conduct a site assessment to assess the strength, viability, and availability of the RES. Some RES like wind and solar are highly intermittent in space and time. For a good site, several factors need to be considered. For the solar system, factors like solar angles, peak solar hours, shading caused by topographical features, clouds, structures, and trees must be put into consideration. Such features influence the absorption of solar radiation by a PV, slowing down the rate at which they generate electricity.

As earlier discussed in section 2.2.2, the magnitude of solar insolation received on the ground's surface is determined by sun angles. These angles include, the tilt angle, latitude, azimuth, and zenith, all of which must be analyzed for a site's potential and dependability for solar electricity. Peak sun hours (PSH) are the hours in a day when a location receives the maximum solar irradiation (peak sun) at standard test condition (STC) (White, 2018). PSH determines a PV system's size in relation to a given daily load demand. For sites located in the equatorial zone, a nearly average daily insolation is received. As a result, the solar module fixed option was preferred to the tracking option, which is mostly used in locations further from the equator.

### **2.2.4 Energy Load Assessment**

A specific site's or household's load estimation must be accurate and use the proper load profiles. System sizing is carried out as the bare minimum necessary to provide the daily energy load. This assessment considers the total number of household appliances in use, their rated power, and the active appliance hours per day. The total energy consumed is calculated by adding the products of the rate in watts and the number of hours (watt-hours). When evaluating the best PV system, the total daily watt-hours (Wh) provided the daily load.

# **2.2.5 Solar PV System Assessment**

Solar-PV systems actively convert sun radiations into electrical energy. Its design is based on a number of considerations namely; available solar radiation, daily energy load, the amount of energy backup needed and the type of power needed (DC or AC) (Goswami, 2015). There are two types of energy systems: stand-alone and gridconnected. Figure 2.3 shows the layout of a stand-alone solar system. While detached systems are beneficial for producing power in isolated places, grid-connected are commonly employed as power sources in urban areas. These two systems can power a single home or a large village. Even though battery systems are the best storage options for stand-alone systems, they are expensive to purchase and maintain.





In order to protect batteries from the effects of charge-discharge, it is necessary to operate them under ideal conditions. Due to their lower cost and longer lifespan, lead acid batteries have been utilized in standalone PV systems and have performed well (Jossen, Garche & Sauer, 2004). In this study, a standalone solar PV system was taken into consideration for hybridization to provide solar energy to a rural household.

# **2.2.6 Solar PV System Component Sizing**

Sizing is the process of determining the best current and voltage values for a power system components to satisfy the energy requirements of a specific facility or a household (Al-shamani, Yusof, Othman, Mat, Ruslan, Abed & Sopian, 2013). System performance is strongly dependent on climatological conditions at a given site (Lasnier & Gan , 2017). In sizing, the need for system reliability and economic concerns are thus two influential factors to avoid an undersized or oversized system. For any suitable wind/PV hybrid system, an optimal system sizing approach is necessary. The ratings and quantities of solar panels, batteries, inverters, and charge controllers that make up a hybrid wind-solar PV system must be determined for a specific installation based on local energy demand models, wind speeds and solar radiation data (Ariyo, Famutimi, Olowu, Akintade & Abbas, 2016).

# **2.2.6.1 PV Module**

A PV module is a group of solar cells in a sealed unit that convert photons into electricity (White, 2018). PV modules are manufactured, and their specifications provided under standard test conditions (STC) corresponding to one atmosphere and solar irradiation value of 1000 W/m<sup>2</sup> at 25 °C (Benghanem, Haddad, Azahrani *et al.*, 2023). Solar module specifications include: short circuit current (*ISC*), open circuit voltage (*VOC*), maximum output current (*Imax*), maximum output voltage (*Vmax*), maximum output power  $(P_{max})$ , module efficiency  $(\%)$  and the temperature coefficient  $(\frac{\%}{\degree}C)$ .

Monocrystalline, polycrystalline, and amorphous silicon are the most prevalent types of silicon used in PV technology. Monocrystalline is more efficient with an average of 16% to 23% whereas polycrystalline are slightly less efficient with an average of 13% to 16%. (Elsayed, Elsamhay, Haggag *et al*., 2022). The difference between the two modules is not significant, since monocrystalline is more expensive and has a higher carbon footprint (White, 2018). Amorphous silicon has the lowest efficiency of between 5% and 7% (Kaushika et al., 2018). In this study, polycrystalline module was used due to its low cost and carbon footprint. PV modules are rated at STC, however, real-world variations in temperature and solar irradiance brought on by shading from clouds, buildings, trees, and other physical features may make these conditions less than ideal. Solar panels can be joined to form a larger array which produces the desired voltage or current. Equation (2.24) was used to calculate the actual PV system capacity in kW expected from the PV array. This is the ratio of daily household demand in kWh to the location's PSH. The size is scaled up by 30% to account for losses in the system (White, 2018; Masters, 2004);

$$
System capacity = \frac{Daily demand in kWh}{Solar peak hours (Average daily solar insolation)}
$$
 (2.24)

PV modules are rated in watts with a tolerance of between 0 to 5% at a cell temperature of 25 . Dirt build up still reduce the PV output depending on the location. The accepted dirt derating factor is up to a maximum of 5%. Derating is also done with respect to ambient temperature. The output voltage reduces when temperature rises

above  $25^{\circ}$  and increases for temperatures below  $25^{\circ}$  (White, 2018). To account for temperature losses, tolerance losses and dust losses, the size of the panel size is always increased by 30%. Equation (2.25) can be used to calculate the number of panels necessary to meet a given load by comparing the actual system capacity to the panel rating (White, 2018; Masters, 2004);

No of solar panels = 
$$
\frac{\text{System capacity}}{\text{Panel power rating}}
$$
 (2.25)

### **2.2.6.2 Storage System**

This system element is necessary to store energy for use when the solar panel is unable to provide enough power to the load (Ariyo *et al*., 2016). This occurs at night and in overcast weather. Size requirements for batteries are based on load capacity and backup power requirements (Akikur *et al.*, 2013). By preventing strain during the worst cases of sunlight, battery sizing helps to prolong the battery life. The two main types of batteries are lithium-ion (watt-hour capacity) and lead-acid (ampere-hour capacity). For small standalone PV systems, lead acid accumulators are more common and are therefore preferred. They are more affordable, readily available locally, and have a higher charging efficiency. In order to account for the days of autonomy, leadacid batteries should be sized up by a factor of 2 to 5 depending on the load, since they can only be discharged to a maximum of 50% of their capacity. Capacity of a battery is affected by parameters such as inverter size, efficiency, total appliance load, autonomy days, depth of drain (DOD), and nominal voltage. The battery capacity can

be calculated using Equation (2.26) (Masters, 2004);  
Butery capacity = 
$$
\frac{\text{Energy load Wh} \times \text{Days of autonomy}}{\text{Normal voltage} \times \text{DOD} \times \text{Efficiency}}
$$
(2.26)

where the DOD is the level to which the battery can be drained, while days of autonomy are the days the battery can last without recharge under poor sunlight conditions. Batteries have recommended maximum DOD from the manufacture. Draining batteries beyond this recommendation makes them lock up hence destroying them. PV system requires determination of the actual voltage depending on the system size and total energy consumption. Batteries are commonly supplying power in 12, 24

or 48 volts small, average and large loads, respectively. This study involved smallscale energy application and thus 12 V battery was applicable.

#### **2.2.6.3 Inverter**

An inverter is used to convert DC to AC in order to power the household's AC appliances. A system's inverter input should always be greater than the sum of its appliances' wattage while maintaining the same voltage as the battery. This component capacity should be sufficient for a standalone system to handle the total power used at any given time; ideally, as a safety measure, it should be 25–30% higher than the total appliance wattage (Ariyo *et al.*, 2016). The inverter size can be determined using Equation (2.27) (Ariyo *et al*., 2016; Masters, 2004);

$$
(\text{Anyo } \text{ et } \text{at.}, 2010, \text{wastes}, 2004),
$$
\n
$$
\text{Inverter size} = 1.25 \times \text{Total appliance}\ \text{wattage} \tag{2.27}
$$

### **2.2.6.4 Hybrid Charge Controller**

This component controls the current and voltage from WT and PV to the battery. It accommodates varying wind velocities as well as low- or no-irradiance conditions, such as cloudy, rainy, and nighttime days. By making sure the battery is not overcharged or deeply discharged, it increases battery life (Al-shamani *et al*., 2013). It monitors the maximum power point to ensure that the subsystems receive and expend power as efficiently. A charge controller's voltage rating should be more than the panel's open circuit voltage, and its current rating should be equal to the panel's shortcircuit current up-scaled by 30% safety factor. As a result of this capacity, it can withstand currents from the turbine and PV systems. The controller size can be determined using Equation (2.28) (Masters, 2004);

$$
Controller rating = 1.3I_{sc}
$$
\n(2.28)

Where  $I_{SC}$  is the PV short circuit current.

# **2.2.7 Maximum PowerPoint Tracking (MPPT)**

Wind and solar energies are highly intermittent in nature. The WT generator's best speed must be maintained to extract maximum energy from the turbine (Malik *et al*.,

2020). A location's solar irradiance varies according to time, cloud and mountain shading effects, and other physical factors. Due to these fluctuations, wind and solar power systems produce non-linear power outputs (Singh, Vinary, Balyan, Gangadhara & Prabhu, 2021). In order to ensure maximum energy capture from turbines and solar panels at varying solar irradiance and wind speeds, the systems ought to operate at their maximum power points (MPP), which is shown in Figures 2.4 and 2.5, respectively. (Singh *et al*.,2021; Pande, Nasikkar, Kotecha & Varadarajan, 2021; Mousa, Youssef & Mohamed, 2019).

Since solar and wind energy systems produce power at various frequencies, a step-up system was required to match their outputs with the battery. This synchronization is accomplished with the help of a Maximum PowerPoint Tracking (MPPT) controller. A wind turbine's rotational speed can be modified with the help of a hybrid controller to provide the best TSR and maximum *C<sup>P</sup>* throughout a wide range of wind speeds.



**Figure 2.4: Wind Turbine MPP at Optimum TSR (Mousa et al., 2019)**



**Figure 2.5: Solar Panel I-V Characteristics showing the MPP** (Singh *et al.*, 2021)

Additionally, it modifies the voltage and currents from the solar panels, whose output is depended on meteorological conditions, the wind generator, and the battery bank. MPPT controller converts DC into high-frequency AC before converting it back to another DC value to precisely match the panels with the batteries. Therefore, by adjusting the initial PV voltage and the initial rotor speed for equivalent outputs, the controller aids in increasing the system's efficacy. A DC-to-DC boost converter is used to increase voltage from solar and wind systems. A diode, transistor, and a capacitor or an inductor serve as the converter's storage elements. A switching transistor's duty cycle is modulated by a buck converter, a DC to DC component, to keep a constant output DC bus for both PV and WT generators (Singh & Sharma, 2018). Matching output voltages are obtained by controlling the buck-boost duty cycles set using the Proportional Integral Controller (PIC). Equations (2.29) and (2.30) give the output voltages of the boost and buck converters, respectively (Almi, 2014);

$$
V_0 = \frac{1}{1 - \alpha} V_i \tag{2.29}
$$

$$
V_0 = \alpha V_i \tag{2.30}
$$

where  $\alpha$  is the varying duty ratio,  $V_i$  denotes the input voltage, and  $V_0$  denotes the output voltage As stated by (Badwawi *et al*., 2015), voltage converters play a vital role in improving DC or AC power. By ensuring maximum power harvest from the two

renewable resources, the MPPT controller enables the hybrid energy system to operate at MPP.

#### **2.2.8 Wind Turbine System Characteristics**

Wind energy is transformed into electrical form by use of a WT (Shankar & Kumar, 2019; Jayapriya *et al.*, 2019; Wolfram, Shelef & Gertler, 2012). A WT changes a portion of wind's mechanical energy into rotational motion which drives a generator to produce electricity through electromagnetic induction (Bianchi, De Batista & Mantz, 2006). Wind turbine rotors can be propelled by the drag or lift forces created by the interactions of the wind. The interaction between wind and rotor is therefore crucial for the system to generate power. Depending on the axis orientation, WT can be categorized as either VAWT or HAWT. A diagram showing sections of a HAWT is shown in Figure 2.6.



**Figure 2.6: Components of a HAWT System** (Elprocus, 2020)

Because VAWT's generator and transmission are at ground level, they can access energy coming from all directions without yawing. Due to their interception with the low energy wind speeds, their disadvantage is that they generate little energy. Their use has significantly decreased due to their expensive maintenance requirements. Turbines with horizontal axis have their rotors up top, where the wind has the most energy. Additionally, the turbines have a yaw machinery that turns the propeller and nacelle to face the direction with the strongest wind, capturing as much energy as possible. In this study, a HAWT was considered for the application. In practice, energy transformation by HAWT designs make use of airfoils whose performance is depended on the blade shape and airfoil characteristics (Manwell *et al.*, 2010).

The HAWT system consists of a rotor (blade and hub), shafts, gear system, tower and a generator. The rotor which is made up of blades and hub changes wind speeds into rotatory motion. Blades are parts that generate torque from wind necessary to drive the generator. The hub connects the blades to the main shaft, which links the rest of the drives. There are three different kinds of hubs: rigid, teetering, and hinged hubs. While tethering hubs permit relative motion between the parts that connect the blade to the main shaft, rigid hubs keep their blades fixed to the main shaft. Hubs with hinges enable flapping motion in relation to the plane of rotation. Rotors can have one, two, three, four, or more blades. Since the moment of inertia is lower in a vertical position than it is in a horizontal position, single bladed rotor designs are impractical because they throw the rotor out of balance. Therefore, a counterbalance is always needed on the rotor to lessen vibration. Two-bladed rotors are robust and easy to design, but in recent years, three-bladed rotors have gained popularity due to their advantages over two-bladed rotors. When the system turns or yaws, three blades ensure uniform stress distribution. Three-bladed rotors, as opposed to two-bladed rotors, create constant centrifugal force, against which the tail smoothly glides to alter the turbine's direction (Manwell *et al.*, 2010).

The main shaft, which transmits torque from the rotor to the gearbox, is a low-speed shaft held using bearings. A gearbox is a part that quickens the generator's input shaft's rotational speed. Because of the varying wind speed, wind rotors and the main shaft occasionally rotate at low speed. As a result, gears are essential parts of wind systems because they speed up the generator's rotation, enabling it to produce significant power from the wind resource. The HAWT's yaw system allows the WT to align itself with the direction of wind. Yaw systems can be either free or active. In order to align the turbine, active yaw systems are typically used for up winds. Downwind applications use free yaw systems, which rely on the rotor's aerodynamics to align in the direction of wind.

To maximize power extraction from wind resources, key concerns affecting the aerodynamic properties of wind turbines must be addressed prior to WT system designing stage (Asim *et al*., 2022). According to Gomez, Lopez, J., Jimenez, Lopez, G. and Villalon (2014), a turbine's conversion efficiency is dependent on the airfoil, where the forces that contribute to the torque required to rotate the generator occur. According to Shankar and Kumar (2019), it is critical to understand the greatest amount of energy that can be recovered from wind resources. This is because WT extract the most energy from the wind current flow. This knowledge informs designers on creation of structures as perfectly as possible to reduce energy loss due to blades, wakes, and drag effect. In this study, rotor hub and blades were fabricated for wind tunnel experimental tests. The WT blades were constructed based on blade element and momentum theories (Gomez *et al*., 2014; Burton, Jenkins, Sharpe & Bossanyi, 2011). At design stage aerodynamic parameter like Reynolds number, solidity, angle of attack and tip speed ratio are key in determining power conversion efficiency of a wind turbine. Some of these parameters can be determined using Equations (2.31) to (2.35) (Asim *et al.*, 2022; Ssenyimba, Kiggundu & Banadda, 2020; Adebayo *et al*., 2019; Nijssen & Povl, 2013);

$$
\text{Rotor radius:} \quad R = \sqrt{\frac{2p}{\rho \pi U^3 C_P}} \tag{2.31}
$$

Tip speed ratio: 
$$
\lambda = \frac{\omega R}{U} = \frac{2\pi R n}{U}
$$
 (2.32)

$$
\text{Solidity:} \quad \sigma = \frac{BS}{\pi R^2} \tag{2.33}
$$

Power coefficient: 
$$
C_p = \frac{2\pi n}{1/2 \rho A V^3} = 4a(1-a)^2 - \frac{Bc}{2R}c_d \lambda^3
$$
 (2.34)

Torque and Power 
$$
P = Q\omega
$$
,  $C_p = C_Q\lambda$  (2.35)

where *U* represents the free stream wind speed, *P* denotes the wind power, *Q* denotes the torque, *C<sup>P</sup>* represents power coefficient and *C<sup>Q</sup>* represents torque coefficient, *a* denotes the induction factor, *n* represents rotational speed,  $\rho$  denoted air density, *B* number of blades, *S* represents the area of one blade, *A* represents the swept area, *C<sup>d</sup>* denotes the coefficient of drag, *R* represents the rotor radius, *c* represents the chord,  $\omega$  denotes rotational velocity,  $\lambda$  denotes the TSR and  $\sigma$  represents solidity.

The power coefficient *CP*, which connects the power extracted by the wind turbine to the free stream wind power, is calculated using Equation (2.36). This coefficient gives the measure of turbines ability to transform wind energy into useful energy, with the TSR and blade pitching angle playing important roles (Letcher, 2017);

$$
C_p = P / P_W \tag{2.36}
$$

*P<sup>W</sup>* denotes wind flow power, whereas *P* denotes rotor power. In practice, even the greatest turbine designs operate below the Betz limit at 0.35 to 0.45 as earlier alluded to by Bianchi *et al.* (2006) and Lund (2005). According to Oğuz and Özsoy (2015), for low wind speed turbines with more than two blades, the coefficient varies between 0.2 and 0.45, but between 0.4 and 0.5 for two-bladed high speed turbines.

WT system output power varies with wind speeds, where each has a specified power performance curve used to predict its energy (Elistratov & Kudryasheva, 2019). Figure 2.7 shows a model of a turbine power performance curve. On a wind speed scale, the cut-in, rated, and cut-off wind speed points can be used to characterize turbine operation. The cut-in speed is the point at which the system begins to produce power. The cut-off speed is the point where the system brakes, whereas the rated speed is the value where the generator produces its maximum power. The power output is regulated utilizing controls for the maximum rated value between the cut-off and rated speeds (Hemeida, El-Ahmar, El-Sayed, Hasanien, Alkhalaf, Esmail & Senjyu, 2020). Region A covers the period from start-up to the point at which the generator is turned on. The turbine is in Region B when cut-in speed is exceeded, but still inadequate to produce maximum power. The goal is to enhance aerodynamic efficiency below the turbine's rated speed in order to absorb as much energy as possible.



**Figure 2.7: Model of a WT Performance Curve** (Hemeida *et al*., 2020)

Wind speeds in Region C are sufficient to rotate the generator at its rated power; so, the purpose at this level is to carefully regulate speed and power at regulated levels. Region D is reached when the turbine is halted to prevent damage due to high speeds (Aho, Buckspan, Laks, Fleming, Jeong, Dunne, Churchfiled, Pao & Johnson, 2012).

In wind turbine design, aerodynamic efficiency is utmost to ensure maximum energy conversion from the available wind speeds (Hansen, 2015; Maalawi & Badr, 2003). The design ought to maximize lift which yields power and minimize draft for which opposes the motion of the rotors. For optimum WT performance, high lift to drag ratio, preferably above 30 is critical (Schubel & Crossley, 2012). Wind turbine rotor performance is also dependent on the type of blade material used. Some of the blade materials used are fiber glass, carbon fiber, wood compounds, aluminum alloy, steel, Polyurethane among others. Selection of these materials ought to consider strength, durability, cost, density and availability. In this study, aluminum and Styrofoam materials were used. Aluminum is readily available, non-toxic, light, cheap and easy to machine. Expanded polystyrene foam (EPF) is a composite generally called Styrofoam. Styrofoam is easy to mold and has special properties due to its structure. It is incredibly light due to the low density of its cells and the material's 90% air content. Composites are lightweight, strong, stiff, corrosion-resistant, electrical insulators, and resistant to environmental deterioration. They are also simple to cut into desired

aerodynamic shapes (Manwell *et al.*, 2010). These properties make it a good shock absorber and suitable for use in fabrication of wind turbine airfoils.

#### **2.2.8.1 Rotor Blade Aerodynamics**

When designing WT blades, structural and aerodynamic properties are among the most crucial aspects to take into account for optimum performance. Wind speed, turbine rated power, TSR, solidity, and the blades number are a few of the aerodynamic factors that affect airfoil performance. WT blades designs can be flat, curved or bent. Flat blades have been used for thousands of years before in windmills. Of late they have become less common due to their low efficiency. The blades push against oncoming wind resulting in slow rotational motion. Flat blades are easy to design and cut from plywood or metal sheet. However, their power generation rate is low. Figure 2.8 shows an airfoil nomenclature



### **Figure 2.8: Airfoil Nomenclature** (Sayed, Kandil & Shaltot, 2012)

An airfoil is a geometrical aerodynamic structure that generate mechanical forces out of its relative motion with wind (Ardany, Pandiangan & Hasan, 2021). The airfoil shape makes air flow faster at the top side of the blade than it does at the lower (concave) side. The pressure difference caused by the velocity difference between the top and bottom surfaces leads to aerodynamic lifting forces that cause blade movement. The measurements of the airfoils that make up wind turbine blades depend on their strength, assumed airfoil properties, and desired performance. Airfoils have a number of structural characteristics that describe them. The mean camber is the

centroid of all locations that are equally distanced from the upper and lower surfaces. The leading and trailing edges have the most forward and backward mean camber locations, respectively. The chord line (*c*) joins the leading and trailing edges. Camber is defined as the difference between the mean camber line and the chord line measured normal to the chord line. The airfoil thickness is the distance between the upper and lower faces perpendicular to the chord line. The angle of attack is the angle formed by the chord with respect to the direction of the wind (Ardany *et al.*, 2021)

WTs operate throughout a wide range of attack angles, especially deep into the stall region, where little is known about the airfoil's behavior (Nijssen & Povl, 2013). The airfoil's span, which is the length normal to its cross-section, provides the aspect ratio, a crucial parameter in the performance of an airfoil. The geometric parameters that affect an airfoil's ability to perform aerodynamically are the leading edge radius, the greatest thickness and its distribution, mean camber line, and trailing edge angle (Manwell *et al.,* 2010). According to NACA four digit airfoil description, the first digit gives the maximum camber as a percentage of the chord, second digit gives the distance of the maximum camber from the leading edge in tenths of the chord while the last two digits gives the maximum thickness of the airfoil as a percentage of the chord (Singh & Sharma, 2024).

There are two types of airfoil shapes: symmetrical and asymmetrical. The chord and mean camber lines overlap on the top and lower sides of the symmetrical airfoil. This airfoil produces no lift at zero angle of attack. The center of pressure remains constant as the angle of attack and lift coefficient change. For all angles less than the stalling angle, the tip remains at 0.25 of the chord. An asymmetrical airfoil is an airfoil whose shape on either sides of the chord is different. These are cambered airfoils with either a negative or positive camber. The center of pressure and aerodynamic center are different, making the lift generate a moment about the aerodynamic center. Under the same conditions, asymmetrical airfoils are more efficient and generate greater lift force than symmetrical airfoils (Singh & Sharma, 2024).

Lift forces in curved airfoils enable wind turbine blade tips to rotate more quickly than the wind, producing more power and achieving higher efficiencies. This contributes to the rise in popularity of lift-based wind turbines. Along their length, airfoils experience

drag, a force that works to slow them down. The drag force is orthogonal to the lift force and can be reduced to the absolute minimum by bending, twisting, tapering, or inversely tapering. These characteristics increase the blades' efficiency. A high lift to drag ratio is required for a greater power coefficient.

The AOA determines the lift force where the point of minimum pressure advances, and the pressure gradient widens as the angle widens. Up until a maximum approximate attack angle of 20°, the lift component increases. After that point, the lift component starts to decrease as the drag component sharply increases. Figure 2.9 represents the relationship between the power coefficient and angle of attack



**Figure 2.9: Relationship of Lift Coefficient Verse Angles of Attack** (Andrew,2017)

Turbulence increase encourages separation of the boundary layer which leads to flow reversal, reducing lift. An ideal angle of attack thus exists when the rotor creates the best rotational motion. Current blades are made with a twist of between 10° and 20° from the root to the tip. This twist reduces the AOA from the root, where air moves slowly, to the tip, where air moves more quickly. The blade receives the best rotation and lift from this. Viscous friction resists the motion caused by airfoils, resulting in two forces and a turning effect at a quarter chord length from the leading edge. Drag force develops parallel to the wind direction, whereas lift force develops perpendicular to the wind direction. Lift is formed by uneven pressure between the upper and lower surfaces, whereas drag is induced by viscous forces as well as uneven pressure on surfaces facing away from and toward the wind flow. The pitching moment circulates around the axis perpendicular to the airfoil cross-section.

The Reynolds number (Re), which is the ratio of inertial forces to viscous forces, as provided by Equation (2.37), is an important characteristic that defines fluid flow properties (Nijssen & Povl, 2013);

$$
Re = \frac{UL}{v} = \frac{\rho UL}{\mu}
$$
 (2.37)

where  $v = \frac{\mu}{\lambda}$  $=\frac{\mu}{\rho}$  denotes kinematics viscosity,  $\mu$  denotes dynamic viscosity,  $\rho$ represents fluid density, *U* represents velocity, and *L* denotes the characteristic length. Two-dimensional coefficients established in wind tunnel testing for various angles of attack and Reynolds numbers are employed in rotor design. The coefficients of lift (*cl*) and drag (*cd*) are determined by Equations (2.38) and (2.39), respectively. The pitching moment coefficient (*c<sub>m</sub>*) is given by Equations (2.40) (Manwell *et al.*, 2010);

$$
c_{l} = \frac{Lift}{\frac{1}{2}\rho U^{2}c}
$$
\n(2.38)

$$
c_d = \frac{Drag}{\frac{1}{2}\rho U^2 c}
$$
 (2.39)

$$
c_m = \frac{Moment}{\frac{1}{2}\rho U^2 Ac}
$$
\n(2.40)

where *A* denotes the airfoil area, *c* represents the chord, *U* denotes the velocity of undistributed air flow, and  $\rho$  represents air density.

### **2.2.8.2 Blade Element Momentum Theory**

Blade element momentum theory merges momentum and blade element theories to analyze the performance of a WT rotor. Description of rotor annular sections is done, which are then combined to obtain the rotor's overall performance characteristics. Momentum theory makes use of a momentum balance on a revolving annular stream tube going through the rotor, while blade element theorem examines the lift and drag coefficients produced on the blade sections (Ingram, 2011)

The thrust of a WT can be calculated by taking into account the law of conservation of linear momentum (Ingram, 2011; Manwell *et al.*, 2010). An axial stream tube encircling a WT is presented in Figure 2.10. The tube has four sections, section 1 upstream of the turbine, sections 2 and 3 just before and after the wind turbine and section 4 downstream of the WT blades. Energy is extracted from wind between section 2 and 3 leading to pressure change in the tube. Assuming the pressure at section 1 and 4, *P<sup>1</sup>* and *P<sup>4</sup>* are equal, while wind velocities in sections 2 and 3, *V<sup>2</sup>* and *V<sup>3</sup>* are equal and that the flow is frictionless, then the pressure difference between section 2 and 3 can be given by Equation  $(2.41)$  where  $\rho$  is the fluid density (Letcher, 2017);



**Figure 2.10: Wind Turbine Rotor Encircled by an Axial Stream Tube** ( Letcher, 2017)

$$
p_2 - p_3 = \frac{1}{2} \rho \left( V_1^2 - V_4^2 \right) \tag{2.41}
$$

Force is the rate at which momentum changes and a product of pressure and area, therefore force can be given by Equation (2.42) (Ingram, 2011);

$$
dF = \frac{1}{2}\rho(V_1^2 - V_4^2)dA
$$
 (2.42)

Axial induction factor, reduced velocity in section 2 and velocity in the wake section (section 4) are given in Equations (2.43) to (2.46) which after substitution gives the force generated as shown in Equation (2.51) (Ingram, 2011);

$$
a = \frac{V_1 - V_2}{V_1}
$$
 (2.43)

$$
V_2 = V_1 (1 - a)
$$
 (2.44)

$$
V_4 = V_1 (1 - 2a) \tag{2.45}
$$

$$
dF = \frac{1}{2}\rho V_1^2 \Big[4a(1-a)\Big] 2\pi r dr \tag{2.46}
$$

With the reduced velocity and the velocity in the wake section, the extracted wind power *(P)* can be written as in Equation (2.47) (Letcher, 2017);

$$
P = 2\rho a \left(1 - a\right)^2 V_1^3 A \tag{2.47}
$$

Power coefficient is depended on induction factor (*a*) and can be calculated using Equation (2.48) (Letcher, 2017);

$$
C_p = \frac{P}{\frac{1}{2}\rho V_1^3 A} = 4a(1-a)^2
$$
 (2.48)

At an induction factor value of 1/3, *C<sup>P</sup>* reaches its upper limit of 0.593. This is the Betz limit of wind turbines, as earlier discussed. The trust coefficient  $C_T$  is given by Equation (2.49), where the maximum theoretical value of thrust coefficient is 8/9 which occurs at  $a = 1/3$  (Letcher, 2017);

$$
C_T = \frac{T}{\frac{1}{2}\rho V_1^2 A} = 4a(1 - a)
$$
\n(2.49)

### **2.2.8.4 Blade Element Theory (BET)**

 $V_2 = V_1(1-a)$  (2.44)<br>  $V_4 = V_1(1-a)$  (2.44)<br>  $dF = \frac{1}{2}\rho V_1^2 [4a(1-a)]2\pi r dr$  (2.46)<br>
city and the velocity in the wake section, the extracted wind<br>
an a in Equation (2.47) (Letcher, 2017);<br>  $P = 2\rho a (1-a)^2 V_1^3 A$  (2.47)<br>
epen The theory of blade elements describes the forces acting on a blade as a function of the lift and drag coefficients, as well as the wind AOA. WT blades are divided into *N* elements, each with a unique flow caused by differences in rotational speed, chord, and twist angle. For good overall performance, the section properties along the blade span must be integrated (Ingram, 2011). It is believed that there is no aerodynamic interaction between the components and that the airfoil's lift and drag qualities are the only factors influencing the forces.

### **2.2.9 Hybrid Energy System**

Hybrid energy systems are systems made by combine one or more conventional sources with at least one renewable resource, or a combination of two or more renewable energy with or without storage (Kartite & Cherkaoui, 2019). This system is an important step in moving away from economies dependent on fossil fuels. The most popular types of hybrid electrical power generators, excluding diesel generators, are solar thermal + biomass, solar + wind, hydraulic + wind, and solar + hydraulic. In this study, a wind-solar hybrid system was considered. A schematic of wind/ PV hybrid system configuration is presented in Figure 2.11.



**Figure 2.11: Schematic of a Wind/PV Hybrid System** (*Wind And Solar Power System, Wind Solar Hybrid System, Solar Wind Turbine*, n.d.)

Based on their mode of operation and hybrid system structure, hybrid systems can be categorized. Hybrid Renewable Energy Systems (HRES) can be grouped into two depending on the operational modes, namely: grid connected which can be run parallel, and stand-alone which function independently. In remote locations without grid connection, stand-alone systems are primarily used to produce electricity. Additionally, hybrid systems can be categorized based on their structure, which details the systems according to: the presence or absence of conventional sources of energy, the quantity of combined renewable resources, and the type of energy produced, where systems can produce mechanical, electrical, thermal, light, fuel production, or mixed forms of energy.

Energy systems may also be categorized depending on their rated power, with low power systems having a 1 kW rating or less, middle power systems rating between 1 kW and 10 kW, and high power systems ratings of over 10 kW. The presence or absence of energy storage systems can also be used to classify hybrid systems; systems may have energy storage units or not.

Hybrid system configuration methodologies categorize these systems as AC, DC or both AC and DC as well as serial or parallel energy flow (Lazarov *et al*., 2005). In serial category the energy flow in the system is unidirectional while in parallel the flow is bidirectional. Figures 2.12 (a) and 2.12 (b) show layouts of serial and parallel hybrid systems, respectively, while



**Figure 2.12: (a) Layouts of Serial and (b) Parallel HES** (Lazarov *et al*., 2005)

The hybrid energy system layout considered for installation in a given site largely depends on factors like renewable resource energy potential, system purpose, topology of the area, the energy demand and the cost of installation. In this research, a serial DC-AC combine wind-solar hybrid energy system was considered for implementation to cater for both AC and DC loads. Figures 2.13 and 2.14 show DC, AC and the combined DC and AC systems.



**Figure 2.13: (a) DC and (b) AC Configurations of HES** (Senthil, Araavind & Ghosh, 2018)





# **2.3 Previous Works Relevant to Study**

This section presents the previous wind-solar assessments, turbine aerodynamic, windsolar complementary characteristic, hybrid energy systems and energy demand.

# **2.3.1 Wind Resource Assessment**

According to Kumar C., Kumar J. and Majid (2019), one of the electricity sources with the quickest growth rate globally has been identified as wind. However, in Kenya the

energy input from wind resource is still fairly low, despite its fast growth. In light of these facts, for wind resource to significantly contribute towards meeting the energy demand, it is necessary to make separate investments in wind energy (Cozzi & Gould, 2021; US EIA, 2013; Bekele & Tadesse, 2012). Choge, Rotoch, Tonui and Maritim (2013) studied the Uasin-Gishu County's wind energy probability distribution in Kenya, where the range of 1.3 m/s to 4.0 m/s of meteorological wind speed was characterized using the Weibull and Rayleigh distribution models. The distribution revealed *k* and *c* ranges of  $3.0 - 5.21$  and  $3.0 - 4.0$ , respectively. The predicted wind power density at 20 m was found to range between 40.67 W/m<sup>2</sup> and 80.38 W/m<sup>2</sup> revealing its suitability for small-scale wind energy exploitation. Using weather information from 2001 to 2006, Kamau *et al.* (2010) examined the potential for wind energy in Marsabit County, Kenya. According to the report, wind classes 7 to 8 revealed a WPD range of 1776 W/m<sup>2</sup> to 2202 W/m<sup>2</sup> whereas the  $k$  and  $c$  parameters ranged from 2.5 to 3.05 and 11.86 to 12.97 m/s, respectively. In Kisii region, Kenya, Ongaki, Maghanga and Kerongo (2021) evaluated energy potential in wind using Weibull and Rayleigh models. The distribution revealed a *k* and *c* values of 1.91 and 3.25, respectively. At hub heights of 10 m and 13 m, Ikobe and Kisii University stations recorded typical wind speeds of 1.7-2.0 m/s and 2.4-2.8 m/s, respectively. The sites revealed low wind speeds which were unviable for large scale electricity generation. However, with increase in hub height the potential was adequate to run small-scale wind turbines. Empirical and numerical methods were used by Wekesa, Wang, Wei and Zhu (2016) to examine the performance of a small-scale VAWT at Mwingi-Kitui in Kenya. The wind resource was represented using empirical statistics, and the aerodynamic performance was addressed using computational fluid dynamics (CFD) technique. WPD range of  $44.50 \text{ W/m}^2$  to  $85.48 \text{ W/m}^2$  was recorded at a hub height range of 20 m to 60 m. This hub height range was found to be viable in power generation using small wind turbines (SWTs). These studies showed that Kenya's wind resource potential could be useful, especially in small-scale energy applications.

# **2.3.2 Aerodynamic Performance Assessment**

Wind energy is one of the most recent areas of interest in the field of renewable energy research, as previously highlighted by Singh *et al.* (2012). Pressure difference makes air travels from areas of high pressure to areas of low pressure, resulting in wind (Blaabjerg & Ma, 2017). Wind resource is characterized by aspects like wind speed, density of air and wind distribution which quantify its energy. Therefore, prior to planning and designing any wind based energy system, wind resource assessment and evaluation is important to determine its viability in energy production at a given site. Wind distribution, flow velocities, turbulence, wind orientation, and vertical shear are a few of the wind resource characteristics to evaluate (Zhang, Chowdhury, Messac & Hodge, 2013). These factors are crucial in the estimation of wind resource energy potential as well as in future projection of its power output.

There are two types of wind energy converters, lift and drag. In the drag type, wind direction is symmetrical to the rotor's spin, a condition that reduces its relative speed. Despite it being widely used, drag type converter has been reported to be ineffective, according to a study by Saoke *et al.* (2015*)*. Due to their greater ability to harness energy, lift machines were considered in this study (Asim *et al.*, 2022; Smulders, 2004). The efficiency of a wind energy converter can be increased by making the blades rotate more quickly than the wind (Nelson, 2013). The relative velocity at which the wind hits the blade at a certain radius determines the lift magnitude. At equal surface area, lift machines can produce greater force than a drag machines. According to Konstantinidis and Botsaris (2016), a much higher lift and power coefficient is provided by the wind force. These facts partly support the choice of lift-driven WT developed in this study. In view of these facts, good understanding of the aerodynamic factors influencing a wind system's performance is crucial to ensure system designs which are dependable and efficient (Asim *et al*., 2022).

To maximize wind turbine performance, designers need to consider employing aerodynamic characteristics like rotor area, blade area, blade material, and blade shape at fabrication stage (Cozzi & Gould, 2021; US EIA, 2013). As also supported by Nandurkar *et al.* (2017), design parameters increase the system's efficiency by allowing for variations in lift and drag coefficients across the blade span. Cambered airfoils offer much lift in wind turbine and as such, they are often utilized by designers than symmetrical ones due to their ability to operate in any direction with reduced drag coefficient (Schubel & Crossley, 2012). Furthermore, to maximize rotor lift, it is crucial to consider the blade's angle of attack, which is the angle between the wind

incidence angle and the blade. In comparison to symmetrical airfoils, cambered blades can create lift at a pitch angle of zero and a greater lift at higher angles of attack (Schubel & Crossley, 2012). In this study, cambered blade shape was preferred to symmetrical shaped ones.

According to Manwell, McGowan and Rogers (2010), a rotor's aerodynamic performance is influenced by its design rated power, rated wind speed, tip speed ratio, solidity, airfoil, number of blades, rotor power control, and orientation. The rotor swept area and wind speed are directly related to the rotor power. High TSR causes low solidity, which, when all other factors are held constant, leads to a smaller blade area resulting in lighter and more affordable turbine blades. Three blades are found on the majority of wind turbines used to generate electricity. In this work, a three-bladed rotor was developed with the intention of minimizing stress on the blade roots and keeping a constant polar moment of inertia with respect to yawing. In fluid dynamics, turbulence is a factor characterized by chaotic changes in pressure and flow velocities. This factor greatly impacts power yields from wind energy systems, more so the small wind turbines which are set- up near obstacles like buildings and trees (Scheurich  $\&$ Brown, 2013). Turbulence also has a range of implications on wind energy, such as effects on power performance, turbine loads, fatigue and wake effects, and noise propagation (Bardal & Sætran, 2017).

Researchers have examined the influence of turbulence intensity on the efficiency of WT aerodynamics (Emejeamara, Tomlin & Millward 2015; Pagnini, Burlando & Repetto, 2015). Two small turbines with HAWT and VAWT axes were used in the study by Pagnini *et al.* (2015) for power curve analysis in turbulent sites. According to the reports, in more turbulent conditions, VAWT was found to be more effective in generating power than HAWT. In this study, a HAWT which performs well in areas of low turbulence was considered.

Numerical models, fine scale and large eddy simulations can give statistical data on turbulence whose characterization can help in turbine power predictions. As measured by turbulence intensity measurements, which is the ratio of the horizontal wind speed's standard deviation to its average for a specific period of time, turbulence is quantified (Bardal & Sætran, 2017). In turbine performance characterization, numerical and experimental methods have been applied with the experimental being limited to several factors like cost, technical skills, constrains of time, physical and environmental factors that affect the measurements (Eboibi, 2013). Blade Element Momentum (BEM) theory and Computational Fluid Dynamics (CFD) are the two types of numerical approaches. CFD is a technique that visualizes how fluid flow and their effects on the objects they interact with. Using mathematical models, numerical methods and software tools, CFD gives qualitative expectation of the fluid flow pattern. A system's performance is assessed using a BEM model based on mechanical, geometrical, and flow characteristics. Castelli and Benini (2010) reported the difficulty associated with BEM theory in comparison to CFD in studying the aerodynamic operation in the unsteady fluid flow. By use of CFD which incorporates the Navier Stokes equations in the turbine profile, these limitations could be overcome.

Solidity-ratio of chord length to pitch was investigated by Consul, Willden, Ferrer and McCulloch (2009) where a two and four blade VAWTs of the NACA profile were modelled using the 2D CFD approach. The solidities were,  $\sigma = 0.019$  and  $\sigma = 0.038$ , correspondingly. The effect of varying solidity was investigated for a range of TSRs of  $\lambda = 3$  to  $\lambda = 8$ . From the higher solidity examination, VAWT maximum power coefficient was realized at  $\lambda = 4$  and  $\lambda = 6$  for smaller solidity evaluations. In areas with turbulent flow like urban sites, the VAWT is the most appropriate since they have the ability to contain winds from any side without yawing, they have lower tip speed ratios hence low noise emissions, ease maintenance and low cost of manufacturing (Wekesa, Wang, Wei, Kamau & Danao, 2015; Wekesa, Wang, Wei & Kamau, 2014). Saoke, Kamau, Kinyua, Nishizawa and Ushiyama (2015) investigated the performance of folded straight, tapered and inversely tapered blades. The blades varied in power coefficient at various bend angles, with the inversely tapered blade having two parallel fold lines of  $5^\circ$  and  $10^\circ$  giving the maximum *C<sub>P</sub>* of 0.372 at a pitch angle of  $20^\circ$ .

In accordance to a study by Komor (2009), design and installation of wind turbines are heavily influenced by the site's topological features, energy needs, and wind conditions. For wind turbines, wind speeds as high as 15 m/s, 25 m/s, or even 34 m/s can provide a sizable amount of power. Nevertheless, as stated by Apunda and Nyangoye (2017), low energy yields are the result of resource intermittence and low

wind speeds, less than  $4 \, \text{m/s}$  in some areas. To increase the quantity of energy yields from the available wind, energy optimization is necessary.

In this study, energy optimization was achieved through modifications of blade material, blade geometry (size and shape), pitch and yaw controls. Wind turbine rotors get affected by torque and thrust forces where torque is the force responsible for turning the rotor and energy generation, whereas thrust force pushes against it. Highly cambered airfoil design with a large area and variable pitching was used to maximize energy production. Moreover, system yawing was deployed to capture wind energy from the directions with high wind speeds. These adjustments were made to help the rotor efficiency get closer to Betz limit of 59.3%, the uppermost limit in wind power conversion.

#### **2.3.3 Solar PV Resource Assessment**

Solar photovoltaic is among the best-known methods used in harnessing energy from the sun. Deployment of solar energy systems in an area requires prior assessment to establish the solar resource local characteristics. The assessment is dependent on the exposure of the local area to sunlight that might change depending on the site's geographical location. The amount of solar radiation striking an area varies depending on local, spatial, meteorological and global factors. It therefore calls for an ideal assessment model that would account for each of these aspects. Solar radiation models that can do well considering spatially changing attributes like inclination, orientation and latitude have been suggested and used in open source software like the ArcGis Solar Analyst (Fu & Rich, 1999) and GRASS r. sun (Hofierka & Suri, 2002). The simulations have been used to determine solar PV potentials in areas based on digital terrain model at the municipal level and in a city centered on roof geometry (Kausika & Sark, 2021; Nguyen & Pearce, 2012)

Studies done by Paidipati, Frantzis, Sawyer and Kurrasch (2009) reported on developments of models of estimating solar potentials considering factors like population, shading and climate as required in this current study. Roof surfaces were evaluated in a study by Lukač, Zlaus, Seme, Zalik, and Stumberger (2013) for solar potential and LiDAR installation compatibility. Using a Pyranometer, the diffuse and

global sun irradiations were measured and the normal vectors of the surfaces computed at each point. Considering non-ideal climatic conditions, Gooding, Crook and Tomlin (2015), and Jacques, Gooding, Giesekam, Tomlin and Crook (2014) developed a method for assessing the capacity of PV using a low-resolution LIDAR data. Jochem, Hofle, Rutzinger, and Pfeifer (2009) used LIDAR to determine solar energy potential considering the influence of the nearby objects and cloud effects. Santos, Gomes, Brito, Freise, Fonseca and Tenedorio (2011) created elevation and digital surface models using LIDAR, GIS and PVGIS that helped in determining energy production. Among the developments of estimating solar radiation, PVGIS model was applied whose results were validated using ground measurements which were measured using a Pyranometer.

According to reports on resource assessments of solar energy, solar is one of the most promising and practicable alternatives for dependable power generation in Kenya. According to earlier assessments, Kenya has a sizable amount of solar PV potential with an average daily insolation range of 4-6 kWh/m<sup>2</sup> (Tigabu, 2016). This possibility affirms the country's readiness to utilize solar photovoltaic electricity. Solar resource quantity and ubiquity in the tropics make solar energy a better and more dependable source in comparison to other renewable energy sources. Therefore, PV systems are promising with a significant ability to meet the continuously increasing need for energy (Mulaudzi, 2018).

To assess Kenya's potential for PV electricity, Oloo, Olang and Strobl (2015) carried out a feasibility study. Focusing on variables that affect solar radiation, such as atmospheric transmissivity, diffuse ratio, altitude, and topological effects, the study used a hemispherical perspective and shed analysis based on terrain orientation. The study discovered a 5 kWh/m<sup>2</sup> daily average solar PV potential, with the Rift Valley, Mount Kenya, and Western regions having the highest potential and Eastern regions having the least. These studies prompted further research on the complementarity of renewable energy sources and their integration impacts positively on the system flexibility and reliability. Kariuki and Sato (2018) analyzed the temporal and spatial variability of global horizontal irradiance (GHI) and direct normal irradiance (DNI) using a 19-year meteosat satellite dataset. Low GHI and high DNI inter-annual variability were observed primarily in Kenya's eastern and northern deserts. GHI was

taken into account in this work because of its low level of variability. These prior studies triggered the curiosity to further investigate solar-wind resource complementarity and its feasibility in hybrid energy systems development.

### **2.3.4 Wind and Solar Resource Complementarity**

The ability of renewable energy sources to vary in terms of their availability and energy potential is referred to as complementarity. As was previously mentioned, Kenya's equatorial location provides ample solar and wind resources, making it a suitable location for the harnessing of these renewable energies. Wind and solar have long been viewed as clean, limitless, and secure forms of energy despite their intermittent nature (Dihrab & Sopian, 2010; Mousa, AlZu'bi & Diabat, 2010). A few previous studies on renewable resources have reported on high intermittence and site specificity of wind and solar resource. In this view, therefore, a successful implementation of wind-solar driven energy systems necessitates thorough resource assessment and analysis to determine the extent of complementarity on daily and monthly time scales. Development of hybrid energy systems which integrate more than one renewable energy sources would require a careful consideration of their complementarity behavior. This allows for systems flexibility in energy generation. In the light of this characteristic, variability in power generation could be overcome by taking its advantage. Recently, resource complementarity has made it possible to explore on wind-solar PV hybrid technology, increasing its market popularity (Malik, Zehra, Ali, Ubedullah, Ismail, Hussain, Kumar, Abid & Baloch, 2020; Yang *et al.*, 2009). Renewable energy hybrid systems have advanced in delivering cost-effective and dependable clean energy, as reported by Ahmed, Miyatake and Al-Othman (2008). Other studies have shown a remarkable pattern of switching between wind and solar energy, outstanding practicality, and higher energy outputs. Performance of the two resources reveals that they could be used in the creation of hybrid energy systems by 2030 in order to meet the rising demand for energy (Fesli, Bayir & Ozer, 2009).

Wind-powered systems are significantly impacted by weather, seasonal, and geographic variations, whereas solar-powered systems are impacted by shading effects. These factors result in a sharp decline in wind and solar energy potentials. Consequently, a methodology is needed to determine their degree of complementarity

(Neto *et al*., 2020). Previous studies have created approaches for determining the level of complementarity between wind and solar resources, with interesting findings. Energy, time, and amplitude complementarities have been used as three different components in a quantitative method to evaluate the complementarity between renewable resources by Risso, Beloco and Alves (2019). Using time series and energy, the energy potentials and likelihood of either a wind or solar resource supporting hybrid systems at different times were illustrated. Using energy and time complements, the complementarity of wind and solar resources and its impact on energy generation by hybrid systems was examined in this study. In Poland, Jurasz *et al.* (2018) investigated how complementarity affected power supply was in small-scale hybrid systems. The effects of the resources were examined on time frames of fifteen minutes, an hour, and a month. Sizing of a solar-wind hybrid systems using this nonlinear relationship between complementarity, storage capacity, and hybrid system capacity was discussed. Jurasz *et al.*, 2020 reviewed on complementarity of renewable resources for future solutions in energy. A good match between energy demand and supply from renewables has been reported. Thus, complementary metrics are key in optimizing energy system designs for maximum energy harnessing. Neto *et al.* (2020) examined how the complementary nature of solar, wind, and tidal energy played a role in isolated hybrid micro-grids where it was reported that using a variety of sources increased energy production.

Xu, Ruan, Mao, Zhang and Luo (2013) employed the relative fluctuation rate to examine how well-suited wind and solar power sources are for both on-grid and isolated micro grids. The study found that the mismatch between energy generation and demand decreased as a result of the combination of renewable energy sources. The impact of the complementary nature of wind and solar resources on energy storage capacity was investigated by Solomon, Kammen and Callaway (2016). It was reported that wind-solar complementarities provide large benefits to future grids, unlike standalone wind-solar based grids. It was shown that the best complementarities led to a high penetration of renewable energy, with a 20% overall energy loss and reduced requirement for storage and backup. According to a study on wind-solar complementarity by Thomaidis, Santos-Alamillos, Pozo-Vazquez and Usaola-Garcia (2016), using both resources boosted the dependability of power supply systems.
Sun and Harrison (2019) investigated the effectiveness of the wind-solar complementarity in increasing the capability of distribution networks. The study showed that by using the complementarity between renewable energy sources, a hybrid design can enable the network to handle additional generation capacity with higher energy levels. Due to the above-mentioned disadvantages of a single resource driven energy system, this study explores further on wind and solar resource complementarity particular in a low wind speed regime to establish its viability in generating energy through hybrid energy systems optimized based on the local characteristics.

The complementarity of renewable energy resources is a topic with little literature in Kenya. In particular, the complementary nature of solar and wind and the benefits of integrating them in hybrid mini-grids are allegedly largely unrecognized in Kenya (Johannsen *et al.*, 2020). From a technological and theoretical perspective, this is partially caused by the absence of small-scale wind turbines in Kenyan mini-grids. Further, systemic, technological, and financial obstacles are reportedly impeding the growth of hybrid mini-grids in Kenya. This study seeks to fill this gap by analyzing and characterizing wind and solar regimes in terms of their complementarity and energy potential.

Given the state of the art, much focus is placed on the complementarity of renewable resources for large-scale deployment, the evaluation of individual resources, and the independent development of wind and solar PV systems. Systems powered by a single renewable resource have proven unreliable due to low wind speeds and resource intermittence. To counteract this trend, it is necessary to assess the viability of windsolar complementarity in hybrid energy systems. This study focuses on the complementarity and energy potential of wind and solar resources as key factors to inform on the development of a suitable standalone HES for low wind speed regime areas.

# **2.3.5 Hybrid Energy Systems Assessment**

As concerns on environmental pollution and climate change increase, renewable energy sources such as solar, wind, biomass, tidal, geothermal, among others, are growing in popularity as alternatives to conventional energy sources. Nowadays,

hybridizing two or more of these renewable sources is a topic of intense research interest. Assessments have been carried out previously to establish the viability of combining two or more resources for energy production. Such an integrated system is referred to as hybrid. Most of these systems consists of more than one energy sources, among which at least one is renewable (Lazarov *et al*., 2005). Al Badwawi, Abusara and Mallick (2015) reviewed on hybrid solar PV and wind energy systems reporting integration as a solution to their intermittent and unpredictable behavior. Further, major issues in grid and stand-alone systems as power fluctuation and harmonics were reported. These issues could be resolved through proper systems designing, control facilities and system optimization.

Proper energy system optimization is necessary as it would inform on the size and number of the components needed for energy harnessing. Optimization shows the viability and reliability of energy system models in particular applications. Srikanth, Muni, VishnuVardhan and Somesh, (2018) developed and simulated a wind-PV hybrid energy system. The primary components of the simulation modeling, which was done in MATLAB, were the PV and wind subsystems, the inverter, and a backup battery. Simulation results showed usefulness of hybrid systems both in industrial and household applications. Dixit and Bhatia (2013) considering low wind speeds in northern India, designed and tested a home hybrid solar-wind energy system. An improvement in productivity and consistency of power generation from integration of the two renewables was reported. It was further reported that, though unsuitable for grid connection, such hybrid systems would be beneficial for small-scale domestic applications like lighting.

Nema, P. and Nema, R. (2010) designed an optimized PV-wind hybrid system for CDMA/GSM for telephone-based station in Bhopal, central India. A site's meteorological data on wind speed and solar insolation was used to inform the design. For the application, the WT and PV array sizing determined by simulation and optimization in HOMER was optimal. In Afyonkarahisar, Turkey, an isolated windphotovoltaic hybrid power system with battery storage for laboratory general illumination was sized, designed, and installed (Oğuz  $\&$  Özsoy, 2015). Out of the required load of 500 W, 400 W was to be supplied by the PV system while 100 W was to be supplied by the WT in accordance to the energy potentials of the two renewable resources. The energy load in this case was similar to the typical rural household energy load in Machakos. Solar resource in Machakos has a higher potential for energy than wind resource. As a result, photovoltaics is likely to produce more energy when solar radiation is at its peak compared to wind turbines.

Aghenta and Tariq Iqbal (2019) used BEopt software to evaluate a thermal model and determine the hourly load to develop a hybrid power system for a household in Nigeria. Using HOMER Pro, the single-phase step-up transformer, single phase full-bridge inverter, inverter voltage mode controller (P.I.C), DC-DC boost converter, MPPT controller, and PV arrays were all modelled. The outcomes of a MATLAB/Simulink simulation revealed that hybrid wind-solar PV systems have the potential to power homes. Thus, hybrid energy systems are potential solutions in reducing domestic energy crisis. This technology could similarly be deployed in Machakos for rural household electrification.

Efficiency of PV systems is dependent on solar flux density, location, type of PV technology, system configuration and component efficiency. Of all these considerations, location and available irradiance play a key role (Radha Charan, Laxmi & Sangeetha, 2017). Another study by Rezzouk, and Mellit (2015) revealed that hybrid systems driven by solar resource and other sources like diesel are viable and reliable due to resource intermittence. Recent studies on renewable energy have significantly increased the effectiveness of clean energy harvesting systems (Page, Turan & Zapantis, 2020). Such systems are becoming more common in small projects like pumping and electrifying off-grid communities (Bogno, Sawicki, Petit, Aillerie, Charles, Hamandjoda & Beda, 2018; Rahrah, Rekioua, Rekious & Bacha, 2015; Mohammedi, Rekioua & Mezzai, 2013). To reduce the discrepancy between daily generation and load demand, energy generation capacity is calculated to fit the power load requirement. This is in accordance to studies by Takada, Ijuin, Matsui and Yamada 2024. Energy production and demand matching is based on solar radiation, hourly average wind speed, and power consumption. Bekele (2009) studied the viability of wind/PV hybrid systems to power 200 households in selected areas of Ethiopia, where HOMER was used in optimization and sensitization analysis. The

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findings in these studies revealed interesting strengths of wind and solar PV, justifying their choice in this current study.

In Kenya, a hybrid wind-solar power system's modeling, simulation, and best-sizing have been done in northern Kenya (Okinda & Odero, 2016). The main conclusions supported the integration of complementary wind and solar regimes. A perfect system design for off-grid deployment was developed in light of these findings, with a standard energy cost of 17 US cents per kWh. However, there was need for site optimization of wind turbine and solar PV in hybrid power generation system. Utilizing wind and solar energy resources to develop a hybrid wind/PV energy system is thus justified by the viability of the resources' complimentary nature.

The periodic nature of the environmental conditions like wind, solar and water hinder the rapid development of these RES (Soetedjo, Lomi & Mulayanto, 2011; Adzic, Ivanovic, Adzic & Katie, 2009). HRES with energy storage devices as a backup have been developed to increase the dependability of renewable resources (Bhandari, Lee, K. Lee, G., Cho & Ahn, 2015). Among other combinations, these HRES may consist of PV-battery, PV-diesel, wind-battery, wind-diesel, PV-wind-battery, or PV-winddiesel. Recently, most researches have concentrated on developing single systems that integrate two or more renewable energy sources, with the outcomes showing higher levels of dependability and great cost-effectiveness (Bernal-Agustín & Dufo-López, 2009).

Numerous studies have documented the effective use of standalone duo- and tri-hybrid systems to electrify rural and urban areas around the world. Off-grid system use, analysis, modeling, optimization, and social-economic research have all been done successfully in recent years., Daut, Irwanto, Suwarno, Irwan, Gomesh and Ahmad (2011) performed a feasibility analysis of solar radiation and wind speed for PV-wind hybrid generation systems in Perlis, north Malaysia. According to the study, addition of wind resources would support energy production in Perlis up to 10% of the total output.

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Panahandeh, Bard, Outzourhit, and Zejli (2011), used HOMER to model the installation of a PV-wind hybrid system with hydrogen storage for rural electrification in Essaouira, Morocco. Akikur, Saidur, Ping and Ullah (2013) carried out research on stand-alone solar systems and hybrid systems, including solar-wind, solar-hydro, solar-wind-diesel, and solar-wind-diesel-hydro/biogas systems. HOMER was used to study small hydro-PV-wind hybrid systems for off-grid rural electrification in Ethiopia by Bekele and Tadesse (2012). The feasibility of wind/PV hybrid systems in lowering energy consumption in both rural and urban settings was reported in these studies. Integration of renewable energy resources through hybrid systems has proven to be reliable means of energy production in areas with varying environmental conditions. Thus, in this study, hybrid technology was deployed to provide an opportunity to explore on wind and solar resource characteristics which would open up the creation of access to clean energy for electrification in rural areas.

## **2.3.6 Energy Demand Assessment**

To reduce poverty and promote social and economic development, it is crucial to provide energy to developing nations. The Sustainable Development Goals, which are based on the Millennium Development Goals, recognize this fact (Modi, McDade, Lallement & Saghir*,* 2005). Energy deficiency can be alleviated by adopting SDG No. 7, which aims to achieve inexpensive and universal access to electricity by 2030. Though it's relatively expensive to achieve this using the extended grid connection for the scattered and sparsely populated rural areas only, ensuring a balance between the energy supply and demand for users is crucial (Shabbir & Taneez, 2013; Szabo, Bodis, Huld & Moner-Girona, 2011).

Estimation of the impact of electrification on development has been discussed in literature. According to studies on rural electrification by Dinkelman (2011), Khandker, Samad, Ali and Barnes (2014), and Kitchens 2013), electrification is a factor that significantly improves the employment, education, agricultural, and health sectors. Due to the high cost of energy, low-income households in developing countries incur a hefty burden, spending more than 20% of their income on energy alone. Because of this, policymakers view the energy crisis in communities as a problem that needs to be solved as soon as possible (Njiru  $\&$  Letema, 2018). The use

of renewable energy sources found in nature, such as biomass, solar, and wind, can help solve this issue by increasing their share in electricity generation as also required by COP28. To meet the rising demand for energy and ensure stability in supply of electricity, new energy generation technologies must be developed. Thus, prior to planning, sizing, and installation of renewable energy systems, it is essential to carry out a thorough load demand evaluation and analysis (Mwakitalima*,* King'ondu & King, 2015).

Energy demand assessments have previously been carried out successfully in Kenya and other African nations, laying the groundwork for the installation of RES. Diemuodeke, Addo, Dabipi-Kalio, Oko and Mulugetta (2017) examined the coastline of the Niger Delta in Nigeria for local energy consumption. In the evaluation, HOMER hybrid optimization software was employed to predict the load demand required for deciding on the optimal PV energy system. The findings revealed an expected load demand of 5.64 kWh daily. In order to lessen the possibility of a power supply interruption at Potou, on the northern coast of Senegal. Ould Bilal, Sambou, Nduaye, Kebe and Ndongo (2010) presented a storage-based solar-wind system design scaling problem, where a significant financial impact on the ideal configuration and the influence of the load profile on system design was reported.

In the Tanzanian settlement of Kikwe, the total daily energy consumption was 601.33, 54.43, 70.01, and 31.25 kWh, respectively, for household, community, commercial, and small-scale industrial loads. In the entire village, the daily load demand was 757.02 kWh, with maximum and minimum loads of 56 kW and 5 kW, respectively. According to Mwakitalima *et al*. (2015), the daily average load for a small household is 1.2 kWh. Such a load is comparable to the usual Machakos household energy load, which for most residences is less than 1.5 kWh per day. As reported in a study by Ondraczek (2013), installation capacities could be insufficient to supply energy in rural and urban areas , but due to a high rate of consumption. The sparse demography of the country was observed as having impeded the establishment of the national grid in rural and semi-urban areas. As a result, it cost a lot of money to establish the national grid network as reported by (Msyani, 2013; Kassenga, 2008; Kabaka & Gwang'ombe, 2007). Due to these demographics in a country, renewable energy sources like wind and solar outstand as promising sources of creating access to energy (Tigabu, 2016). In Nairobi, Kenya, middle-class estates, Magambo and Kiremu (2010) carried out a study on residential electricity use and demand trends. It was reported that the average yearly energy load per family varied from 285 W to 3.6 kW, and the average monthly energy usage per household was 208 kWh.

Access to energy has a direct impact on the development index, which is measured by improvements in standards of living, education levels, and life expectancy (Cvrlje & Ćorić, 2010). Innovative approaches must be looked for to expand access to clean and safer energy in order to promote this development. In this regard, easily accessible and abundant renewable resources like solar and wind can be a solution. Majority of the studies that are currently available in literature only address the demand for solar and wind energy on a large scale. This study focused on small-scale energy consumption levels with daily loads of below 1 kWh, an average household was used as sample case to size and develop a wind-PV hybrid system for site-specific optimization.

## **2.4 Summary of Research Gaps**

Some existing gaps have been presented in the previous studies on the diffusing of wind–solar hybrid energy systems in the market (Johannsen *et al*., 2020). This has partly been attributed to the lack of meteorological data on their energy potential and level of complementarity to ascertain their viability in power generation. Further, complementarity aspect between solar and wind resource and its impact on energy generation especially in low wind speed regime areas in Kenya has been scantly and inconclusively discussed. As a result, the two resources are less exploited to their full potential.

Despite their being free and readily available in nature, wind and solar resources have been reported to be highly intermittent a characteristic that leads to mismatch between energy production and demand (Jurasz *et al.*, 2018). Low wind speeds make the resource less attractive for energy generation. However, their viability in energy generation in low wind speed regimes could be increased by exploring on their complementarity characteristic.

Taking advantage of the aforementioned gaps, to ensure full exploitation of wind and solar resources, this study focused development and optimization a wind/solar hybrid energy system based on local wind-solar characteristics in areas with low wind speeds regimes. This development entailed in-depth assessment of the local wind and solar characteristics as well as the energy demand level. The study findings aided in a sitespecific wind turbine design optimized to operate in low wind speed regimes in Kenya.

# **CHAPTER THREE**

# **MATERIALS AND METHODS**

## **3.1 Introduction**

This chapter presents the methods that were used in data collection and analysis. The field experimental set-up, methods, data collections methods, wind turbine design and fabrication procedures are discussed. Similarly, wind-solar hybrid system development and its field tests are presented, whose performance analysis reveals its effectiveness in overcoming resource intermittence. Moreover, the system potentials to meet household energy demand under varying environmental conditions are also presented. Lastly, the hybrid systems economic analysis and its environmental impact assessment procedure necessary for the systems cost-benefit analysis are presented.

## **3.2 Research Design**

Research design is the conceptual structure within which research is conducted (Kothari, 2015). This study applied both exploratory and experimental research designs to realize its research objectives. Quantitative approach was employed where energy demand, wind and solar resource data was generated. Rigorous analysis of this data was done to draw conclusions and inform on the proper design of wind-solar hybrid energy system for Machakos. Inferential, experimental, simulation approaches were used in data collection and while correlational approach was employed in data analysis. Inferential approach employed the survey method, where energy demand analysis was used to obtain the relationship between energy demand and the energy production by wind and solar resources. Energy optimization was achieved thorough experimental and iterative approached, which entailed linear manipulation of the wind and solar resource variables to achieved optimal solutions needed for maximum energy yields. Simulation approach provided an artificial environment to investigate the solar resource characteristics in remote areas where experimental set-up was impossible. Finally, the research findings yielded an optimized wind/solar hybrid system that would operate under varying conditions of wind and solar resources during the day and night.

## **3.3 Study Area**

Field set-ups and experiments were conducted in Machakos, a low wind speed regime area where wind and solar data were collected, and energy demand surveys done concurrently. Wind turbine designs, fabrication and testing was done in Jomo Kenyatta University of Agriculture and Technology (JKUAT) which is also a low wind speed regime area. Figure 3.1 shows the study area which is located in Machakos County.



**Figure 3.1: Area map of Machakos County, Kenya** (Kaluwa, Oduwa, Abdirahman *et al*., 2022)

The selected site whose geographical location is 1°31′S and 37°16′E, is found in Machakos about 62 km from Nairobi, Kenya. Machakos County has an approximate area of 6208 sq. km with a population of about 1.5 million people. Of all the nine subcounties in Machakos County, Machakos central is the most populated with a population of over 260000 people. Machakos has a hilly terrain covering most of the parts and lies within the equatorial, a zone which is endowed with vast solar resource. Solar and the available wind were exploited through suitable technology for energy production.

#### **3.4 Study Procedures**

This section discusses the field assessments, simulations and experimental design, system component sizing and fabrication procedures adopted in this study. Field study entailed assessments of wind and solar resource characteristics and well as investigation of the energy demand level in the rural households.

#### **3.4.1 Experimental Procedures**

The tools employed in this investigation comprised of a temperature sensor, a wind direction and speed sensor, a radiation sensor, and a data logging system. Using the radiation sensor, the solar flux density reaching on the ground was measured. The irradiance range of the radiation sensor, a thermopile pyranometer-MS-602 type, was 0 to 2000 W/m<sup>2</sup> , its wavelength range was 285 to 3000 nm, and its spectral sensitivity range was 0.35 to 1.5 m. Its sensitivity threshold was 7.26  $\mu$ V/m/W/m<sup>2</sup>. The wind direction and speed were determined using a wind vane (scale 0-360°), and a cupanemometer (scale  $0-50$  m/s, accuracy  $+/-$  0.1 m/s), respectively. Temperature was measured using a temperature sensor that produced an analog output voltage proportional to the ambient temperature. Data logging was carried out using the Yokogawa LX100 (Datum-Y), a microprocessor-based logger with computerized serial connection and data collecting technologies. Yokogawa LW100 has a DC voltage measurable range of  $+/-100$  mV to  $+/- 50$  V. Waveform display is off for voltage less or equal to 0.9 V and on for voltages greater or equal to 2.1 V. The logging frequency was 30 times quicker than the rotating frequency of the cup-anemometer to minimize errors (Wekesa *et al*., 2016).

The field set-ups used in solar and wind resources evaluation are presented in Plates 3.1 to 3.3. To ascertain the solar and wind distribution pattern of and their energy potentials, a specific set of wind variables were recorded. The solar radiation, wind speed, wind direction, and temperature were measured from January to December 2019, using the Pyranometer, the cup-anemometer, wind vane, and temperature sensors, each fixed at 20 m above the ground.



**Plate 3.1: GHI Measurement using the MS-602 Pyranometer**



**Plate 3.2: Wind Vane, Temperature, and Cup-Type Anemometer Sensors**

The data logger was used to record each instrument's output signal at a frequency of 1 Hz (Wekesa, Wang, Wei & Kamau, 2014). Eqns. (2.12) and (2.14) have been used to examine the wind speed distribution using OriginPro8 on hourly, daily, and monthly time intervals to determine its energy viability. The data logging system's operation flowchart is presented in Figure 3.2



**Plate 3.3: Data Logging System**

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**Figure 3.2: Data Logging System Flowchart**

# **3.4.2 Sampling Procedure**

Sizing a perfect renewable energy system that is appropriate for installation depends heavily on the assessment of daily energy use and the load profile of a specific household. This is because wind and solar resources are ephemeral in nature. Using households as the sample population, data on energy use was collected using the

cluster sampling method. The sampling approach used is illustrated in Figure 3.3. Yamane offers a method for estimating the sample size (*n*) of a given data using Equation (3.1) (Yamane, 1967);

$$
n = \frac{N}{1 + N(e)^2} \tag{3.1}
$$

where  $e$  is the degree of precision and  $N$  is the size of the population. Using this methodology, a sample size of 75 households was determined by assuming an average of 300 households in each village, a 90% confidence level and a 10% precision level.



**Figure 3.3: Flow Chart Showing Sampling Method**

Four clusters in a given zone were considered, where information was acquired based on the houses' active appliances. The survey's components included the types of electrical appliances accessible and their power ratings, the energy sources employed, household size, monthly income, and the daily usage rates of each electrical equipment. According to the research, a household has an average of five members. In rural locations, the most common appliances were those utilized for communication, illumination, and entertainment. Utilizing the end-use approach, which makes use of customer-owned appliances, load analysis was performed. Phones, lights, TVs, radios,

heaters, fans, ironing boards, vacuum cleaners, hair dryers, and blenders were among the common active appliances. Homes with appliances like refrigerators, ovens, and stoves were quite rare. By use of a Microsoft excel the total and average loads were calculated using Equations  $(3.2)$  and  $(3.3)$ , respectively (Magambo & Kiremu, 2010);

$$
E_{\text{Total}} = \sum_{i}^{N} P^{K} t \tag{3.2}
$$

$$
E_A = \frac{1}{N_H} \sum_{i}^{N} P^{K} t
$$
 (3.3)

where *E* represents energy, *P* represents an appliance's power rating, *k* represents the number of similar appliances, *t* represents the period of usage, *N* denotes the number of homes in the zone, *E<sup>A</sup>* represents the daily average load, and *N<sup>H</sup>* represents the number of appliances in use. The daily demand curve was plotted, which revealed the energy demand pattern of a typical rural household.

#### **3.4.3 Simulation Procedure**

Solar radiation characteristics were simulated using the PVGIS (Photovoltaic Geographical Information System) tool for comparison and validation using the experimental measurements. PVGIS was picked because of its outstanding features, which include a fresh database, extensive coverage, detailed time series calculations, and downloadable profiles. The PVGIS online software interface used in this study is shown in Figure 3.4



# **Figure 3.4: PVGIS Interface**

The software simulates and displays results in a minute. PVGIS estimated the daily and monthly irradiance, annual PV energy output, and annual solar in-plane irradiance based on the provided parameters (Dondariya, Porwal, Awasthi, Shukla, Sudhakar, Murali & Bhimte, 2018). In its estimation of solar radiation, the PVGIS took into account the location's topography, geometry, and atmospheric factors (Gooding, Crook, *et al.*, 2015; Gooding, Smith, Crook & Tomlin, 2015). The simulation took into account the geometric factors affecting an area's declination, latitude, and sun hour angle. These exceptional considerations of PVGIS made its use in resource assessment justifiable. The following steps were used to complete the PVGIS simulation:

Step 1: The URL for the web-based software located as

[http://re.jrc.ec.europa.eu/pvg\\_tools/en/tools.html#PVP.](http://re.jrc.ec.europa.eu/pvg_tools/en/tools.html#PVP) This aided in finding the terrestrial latitude and longitude of the study sites.

Step 2: Choice of the location, which was done by keying in the geographical coordinates for the sites  $(1<sup>0</sup>31'S, 37<sup>0</sup>16'E).$ 

Step 3: Solar PV parameter setting. Simulation was done based on PVGIS-CMSAF and PV technology crystalline silicon PV technology, where installation peak PV power capacity was set at 1 kWp to size the PV system components. To cater for system performance inefficiencies partly due to resistance and heat, a system loss of 14% was set for low voltage consumers. The mounting option was set to be freestanding while azimuth and slope angles were set at 180° and 15°, respectively.

Step 4: The PVGIS is then run.

On clicking the visualization tab, a report is produced containing average annual energy production, average annual irradiance, yearly variability which is expected over the 10-year period of data capture.

## **3.4.4 System Component Sizing**

Based on the wind and solar energy potentials, a HES components were sized to meet an average daily load of 0.588 kWh in a rural household.

#### **3.4.4.1 Solar Panel**

Even under the worst conditions of solar insolation, a solar system must be able to support the load and system losses (Voss  $\&$  Reise, 2012). Using the ratio of daily household load in watt-hours to PSH in July as in Equation (2.24), the solar panel size was determined as given in Equation  $(3.4)$ ;

$$
\frac{588 \text{ Wh}}{4.7 \text{ hrs}} = 125.1 \text{ W}
$$
 (3.4)

To account for system losses, the solar panel was up-scaled by a factor of 0.3, increasing the panel rating to 163 Watts. Thus, a 165 Watts solar panel would be suitable.

## **3.4.4.2 Battery**

Due to its low cost, a lead acid battery would be appropriate. The storage capacity considering 588 Wh daily load was calculated using Equation (2.26), which took into account a battery efficiency of 85%, a DOD of 60%, and a nominal voltage of 12 V. The battery capacity was calculated as given in Equation (3.5);

$$
\frac{588 \text{ Wh}}{0.85 \times 0.6 \times 12 \text{ V}} \times 2 = 192 \text{ AH}
$$
 (3.5)

For the household load, a 12 V, 200 AH battery with a two-day autonomy would be sufficient.

#### **3.4.4.3 Inverter**

A suitable inverter size should be 25–30% larger than the combined wattage of the appliances. This size would allow it to withstand the household wattage without getting damaged. This sizing is consistent with the study done by Ariyo *et al*. (2016). From Equation (2.27), the inverter size was determined as shown in Equation (3.6). Consequently, a 12 V, inverter giving at least 225 W was appropriate.

$$
178 W \times 1.25 = 222.5 W \tag{3.6}
$$

### **3.4.4.4 Hybrid Charge Control**

The size of an HCC would be determined by current and voltage values to match the 12 V battery, WT and solar panel. The HCC rating was computed using Equation (2.28), yielding a value of 1.3 times the PV module's short circuit current. A 25 A controller would be ideal for a maximum current of 18 A.

# **3.4.4.5 Wind Turbine**

A low wind speed regime with a wind speed range of  $0 - 10$  m/s, average cut-in wind speed of 2.0 m/s, 5.0 m/s rated wind speed and 15 m/s cut-off wind speed determined using a power curve specified the ideal wind turbine suitable for application.

#### **3.4.5 Design and Fabrication**

The characteristics of a WT shown in Table 3.1 were calculated using Equations (2.17) and Equations (2.35) to (2.41).

Rotor diameter (m)	2.9
Blade number	3
Solidity	0.2
Type of airfoil	<b>NACA4418</b>
Reynolds number	100000
<b>TSR</b>	2.3
Power coefficient $(Cp)$	0.46
<b>Efficiency</b>	85%
<b>RPM</b>	75
Rotor power $(W)$	235
Chord length (mm)	300
Rated wind speed (m/s)	
Generator power (W)	200

**Table 3.1: Wind Turbine Design Parameters**

The blade element momentum theorem, which takes into account aerodynamic forces that depend on free-stream velocity as well as the distance from the axis, formed the foundation for the construction of wind turbine blades. After creating the blades out of two lightweight materials, aluminum and Styrofoam, the rotor was fabricated and put through aerodynamic tests in a wind tunnel to evaluate its performance. The optimal blade airfoil was chosen using the analysis of lift-to-drag ratio at different angles of attack, shown in Figure 3.5. Based on the wind flow variations and the characteristics of the airfoil type, the Reynolds number of Machakos' wind regime was estimated at  $1 \times 10^5$ , classifying it as a turbulent flow zone.



**Figure 3.5: Lift to Drag Ratio vs AOA for Various Airfoils**

The NACA 4418 was determined to be the appropriate airfoil based on this flow regime due to its high lift to drag ratio of 47 in comparison to other airfoils. An ideal airfoil for a lift-driven machine, according to Smulder's notion, should have a lift-todrag ratio of at least 30, and this ratio was found to be in conformity with his recommendation (Schubel & Crossley, 2012). In this study, WT blades characteristics were customized to maximize energy in low wind speed areas.

# **3.4.5.1 Aluminum Blades**

Using a 4 mm thick aluminum sheet, a blade with a 1300 mm span and a 10° tapered trailing edge was fabricated. Three folds of 20°, 15°, and 15° parallel to the leading edge were used to increase the blade's strength while ensuring that the blade surface was in constant fluid dynamic contact. A 4 mm thick blade is strong enough to avoid flexing when rotating, easy to form and bent without breakage. By manually adjusting the pitch angles, it was possible to attain the optimum angle at which the rotor would have the greatest lift. The aluminum blades fabricated were as shown in Plate 3.4.



# **Plate 3.4: Aluminum Blade Design**

# **3.4.5.2 Styrofoam Blades**

In accordance with the blade element theory, a piece of styrofoam was shaped into a tapered blade using 13 sized sections cut from a manila paper. The sections were as Plate 3.5 illustrates. The Styrofoam blades were sized and shaped using the NACA 4418 model characteristics, which produced the uniformly tapered blade shown in Plate 3.6.

A fiber-reinforced plastic was glued together to form the cover coat, which was then strengthened with resin. In order to make the blades more resilient, smooth, and watertight, a body filler was used in the molding process



**Plate 3.5: Styrofoam Blade Element Cross-Sections**



**Plate 3.6: Styrofoam Blades Strengthened with Fiber Material**

# **3.4.5.3 Rotor Hub**

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As shown in Plate 3.7, an aluminum cast was used to fabricate a three-blade rigid hub with a diameter of 300 mm. The hub had three pipes each of diameter 30 mm and length 150 mm, spaced 120° apart. Bearings were fixed to allow for smooth rotation. This hub's variable pitching capabilities were intended to maximize wind power conversion. During the wind tunnel testing phase, energy optimization via pitching allowed for lift variation at different angles of attack.



# **Plate 3.7: Wind Turbine Rotor Hub**

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# **3.4.6 Wind-Solar PV Hybridization**

Figure 3.6 illustrates the hybrid energy system's block diagram. The experimental setups used included the fabricated WT rotor coupled to a 12 V, 200 W, 750 RPM induction generator, a 12 V, 200 W polycrystalline solar panel, MPPT hybrid windsolar controller rated 25 A, 300 W wind, 40 A, 500 W solar with a 300 W damp load, Yokogawa LX100-Datum-Y data logger and AcuRite Iris 5 in 1 weather station instrument with a wireless data logger. AcuRite Iris has a wireless range of 100 m, temperature range of -40 $^{\circ}$ C to 70 $^{\circ}$ C, wind speed range of 0 to 159 km/h, 16 points of wind direction and an operating frequency of 433 Hz.

The hybrid charge controller measures the wind power and if sufficient meets the loads and charges the battery if the state of charge (SOC) is below maximum conducting the excess power to the damp load. If wind power is not sufficient the controller measures solar PV power which if sufficient meets the loads and charges the battery if SOC is below maximum. If the battery is full, the controller channels the excess power to the dump load. When both wind and solar power is insufficient the controller measures the battery power which if sufficient meets the load. Figure 3.7 shows the system's operational flowchart.



**Figure 3.6: Layout of a Hybrid Power System**



**Figure 3.7: Process Flow Diagram for a Hybrid Energy System**

### **3.4.7 Energy Optimization**

The wind turbine's ability to produce electricity is greatly influenced by its design and optimization factors. In this light, it's critical to consider the site's energy potential as well as the distribution of wind when defining the specifications of a WT. As a result, using blade type, shape and area, pitching and yaw mechanisms, the WT design was optimized to ensure energy capture in low wind speed regimes. A flow chart illustrating how energy optimization using iterations was accomplished is presented in Figure 3.8.



**Figure 3.8: Process Flow Diagram for Optimization**

To harness maximum energy at the rated wind speed of 5 m/s, rotor design specifications were determined. The two sets of fabricated blades; Styrofoam and aluminum, were used to perform iterations on the variables affecting rotor power, such as rotor area, revolving speed, torque, TSR, and pitch angles. Wind tunnel speeds up to a maximum of 20 m/s were used to vary the rotor speed. The optimal point at which the rotor produces its greatest amount of power was determined through iterations for a blade pitch angle range of  $0^{\circ}$  to  $40^{\circ}$  at intervals of  $5^{\circ}$ . Equation (2.13) was used to calculate wind power, while Equation (2.35) was used to calculate rotor output power

based on the specifications presented in Table 3.1. The rotor *C<sup>P</sup>* value was determined by comparing the free wind power and the rotor power.

#### **3.4.8 Economic Viability Assessment**

Cost-benefit analysis of a project informs on its economic viability, supporting future decision-making on its implementation. According to national and private economic valuations, economically feasible projects must meet certain criteria. Systems economic viability can be determined using a number of tools, namely: payback period (PP), accounting rate of return (ARR), net present value (NPV), internal rate of return (IRR) and profitability index (PI) (Zeraatpisheh, Arababadi & Pour, 2018). Payback period gives the length of time required to recover the funds expended in a project, whereas ARR is the ratio of average net profit to average investment cost. Both PP and ARR are non-discounted methods and thus ignore the time value of money, though they show some economic value of the investment. The longer the PP, the less attractive the investment. PP and ARR can be calculated using Equations (3.7) and (3.8), respectively (Zeraatpisheh, Arababadi & Pour, 2018);

$$
PP = \frac{\text{Initial investment cost}}{\text{Cash inflow per year}} = \frac{C_{\text{system}}}{E_{\text{day}} \times C_{\text{u}} \times 365}
$$
 (3.7)

$$
ARR = \frac{Average net profit}{Average investment cost}
$$
 (3.8)

Net present value gives the project value in terms of the difference between the present value of cash inflows and the current value of cash outflows over a period. It considered time value of money where a positive NPV indicates a profitable project, a negative NPV indicates loss while a zero NPV indicates a neutral investment with neither gain nor loss. NPV can be calculated using Equation (3.9) (Zeraatpisheh, Arababadi & Pour, 2018);

$$
NPV = \sum_{t=0}^{T} \frac{c_t}{(1+r)^t} - c_o \tag{3.9}
$$

Where  $C<sub>o</sub>$  is the total initial cost,  $C<sub>t</sub>$  is the net cash flow during time *t*, *r* is the discount rate of 6% on average in accordance to CBK, (2024) press release and *t* is the number

of time periods. Internal rate of return (IRR) is the pace at which the cash returns after investment. It determines the rate at which the NPV becomes zero. Similarly, it considers the time value of money and a higher value of IRR is an indicator of a more desirable investment. IRR can be calculated using Equation (3.10) (Zeraatpisheh, Arababadi & Pour, 2018);

$$
0 = \sum_{t=0}^{T} \frac{c_t}{(1 + IRR)^t} - c_o
$$
\n(3.10)

Lastly, profitability index (PI) gives the profit investment ratio. A greater value is desired as it indicates a profit making investment. A PI of one gives the minimum acceptable profit for a project. PI can be calculated using Equation (3.11) (Zeraatpisheh, Arababadi & Pour, 2018);

$$
PI = \frac{PV \text{ of future cash flows}}{\text{Initial Investment cost}}
$$
 (3.11)

These mathematical models were used to determine the economic benefits of investing in wind-solar hybrid systems in relation to the national grid and conventional energy sources. Benefits of the hybrid energy system include independence from national grid whose cost fluctuates depending on inflation rates as well as shift from over reliance on fossil fuels, which are major contributors of greenhouse gases. These benefits are in terms of avoided costs of natural grid and CO<sub>2</sub> direct air capture (DAC) technology. The cost of grid power  $(C_{grid})$  for the system's lifetime was calculated using Equation (3.12) (Sharma *et al.*, 2016);

$$
C_{\text{grid}} = 365 \times E_{\text{day}} \times C_{\text{u}} \times L \tag{3.12}
$$

where *Eday* is the daily energy load, C<sup>u</sup> is the cost of a unit of energy as per KPLC utility including all levies, adjustments and taxes incurred in grid power supply. *L* is the project life span, which is the time taken for most of the components to depreciate to a point of replacement for economic viability (Zeraatpisheh *et al*., 2018).

In this study, the estimated project life span was estimated as 20 years. Considering labor and the costs of the hybrid system components which include panel, turbine, battery, inverter and charge controller, the hybrid system's net present cost (*Csystem*)

was estimated using Equation (3.13) (Hemeida*,* El-Ahmar, El-Sayed, Hasanier, Alkhalaf, Esmail & Senjyu., 2020; Sharma *et al*., 2016);

$$
C_{system} = (C_{panel} + C_{turbine} + C_{battery} + C_{inverter} + C_{controller} + C_{installation})
$$
 (3.13)

According to the EPRA 2022 report, the rate of  $CO<sub>2</sub>$  emission in Kenya is 0.5 kg/kWh (EPRA, 2022). Based on this rate, the amount of reduction of  $CO<sub>2</sub>$  emission per household was determined using Equation (3.14) (Sharma *et al.*, 2016);

$$
CO_2 = E_{day} \times \beta \times 365 \times L \tag{3.14}
$$

where  $\beta$  is the carbon emission factor per kWh.

#### **3.5 Study Setup**

This section presents the experimental set-ups used to carry out system design test both in the fluids laboratory and outdoors.

# **3.5.1 Wind Turbine Tunnel Test**

Wind tunneling was carried out at the Fluids' laboratory in JKUAT to evaluate the performance of the designed WT rotor. The test setup was as presented in Plate 3.8. Through a 1.2 m square test section, the rotor was driven by a wind blower at varying wind speeds to a maximum of 10 m/s within the range of 0-10 m/s in a selected low wind speed regime.

An induction motor functioned as the load, where an inverter was utilized to control the frequency from high to low speed. The torque and rotational speed measured by the torque meter were utilized to compute the TSR and *C<sup>P</sup>* using Equations (2.37) and  $(2.41).$ 





# **3.5.2 Field Test Setup**

The PV module was mounted at the rooftop of the JICA workshop in JKUAT while the WT was installed at a hub height of 8 meters from the ground. Prevalent range of average wind speed between 3.0 m/s and 3.9 m/s around Juja which is a low wind regimes area as reported in a study by Saoke *et-al.,* 2012 and wind speed ranges of 2.78 m/s to 5.56 m/s in JKUAT as reported by Wekesa *et al.,* 2012 were found to be comparable to the average range of wind speeds of 2.5 m/s to 4.9 m/s in Machakos (Figure 4.2) classified also as a low wind speed regime area. Thus, out of convenience, the system was tested at IEET in JKUAT where it was expected to give power performance characteristics applicable in other low wind speed regime areas like Machakos. The WT and solar systems were hybridized using a hybrid MPPT charge controller for solar and wind energy integration. All controller inputs and outputs were connected to a data logger for current and voltage data capturing at a frequency of 1 Hz. AcuRite sensor was mounted beside the turbine to capture wind speed and direction. The WT and speed sensor were as shown in Plate 3.9 while the installed solar system was as shown in Plate 3.10



**Plate 3.9: Wind Turbine and Acurite Iris 5 in 1 Sensor Installed at IEET, JKUAT in 2023**



**Plate 3.10: Solar PV System Installed at the JICA Workshop Rooftop, IEET in JKUAT**

In addition to resource integration, the HCC shown in Plate 3.11 aided in protecting the battery from overcharge, over discharge and overload. Plate 3.12 shows AcuRite Iris, a 5 in 1 weather station data logger with a PC connected.



# **Plate 3.11: Setup of a Hybrid Charge Controller, Battery, Inverter and Loads Connected to a Data Logger**

To charge the battery and power the loads, the WT and solar PV systems transformed wind mechanical energy and solar irradiance, respectively, to electrical energy. Even at low wind speeds, the MPPT hybrid charge controller ensured effective use of the available wind resource in charging the battery and supplying the loads. The wind speed at a tower height of 8 m was used in vertical shear analysis to estimate wind speeds at higher hub heights using Equation (2.9). The vertical distribution profile for wind speeds to a maximum of 50 m above the earth's surface was plotted. The shear analysis revealed the wind power potentials at higher altitudes, where the power densities were calculated using Equation (2.12). Actual energy generated was determined and extrapolated for the higher heights using Equation (2.11). Actual and expected output were correlated to determine the developed hybrid system's conversion efficiency. Plate 3.12 shows AcuRite Iris, a 5 in 1 weather station data logger with a PC connected for wind data capturing.



# **Plate 3.12: Acurite Iris 5 In 1 Data Logger with PC Connect**

Battery charging voltage and load voltages were investigated and comparison done with the inputs from the sources to ascertain the system's power stability. Complementarity of wind and solar and its impact on battery charge and supply to the loads was investigated to determine the system's flexibility and reliability under changing weather conditions during daytime and nighttime. The designed hybrid system's effects on the environment and benefits for a 20-year lifespan were also examined.

# **3.6 Data Collection**

In this research, inferences were drawn from primary data, which was collected through assessments presented in Figure 1.1. Energy demand data was collected using sample surveys, which employed the direct communication (interviews) with the energy consumers and questionnaire method in selected households of Machakos. Members provided the active appliances and responded to a set of questions which were used to calculate the daily average loads. Wind-solar resource data collection and systems tests were conducted using experimental techniques both in the field and laboratory environments.

## **3.7 Data Analysis**

Data collected from the field assessments on energy demand, wind and solar distribution and energy potentials was analyzed using OriginPro8 software. Analysis revealed the energy utilization levels in a rural household of Machakos. The average household load demand was determined to inform on the size of an apt wind-solar PV hybrid energy system for the region. Wind and solar distributions patterns and their energy potentials were analyzed to reveal complementarity pattern in terms of time, space and energy components. This was a significant characteristic of the two resources in the development of hybrid energy system. The characteristic reveals the viability of the two resources in overcoming the intermittence effects which results to fluctuations in power output.

Various profiles were plotted to present wind and solar characteristics on hourly, daily and monthly time scales. Graphical analysis was used to represent the daily and monthly minimum and maximum wind speeds and solar radiation levels, giving inference on their complementarity level. The distribution of wind speeds and direction in Machakos was represented by a wind rose, a profile of concentric circles showing the various percentage frequencies of time the wind blows from a certain direction. This would inform on system installation layout at site. Daily and monthly averages of wind speeds and solar insolation were calculated and presented. Wind turbine design test and optimization results were similarly analyzed and presented graphically. Quantitative analysis of the wind tunnel tests results was done in OriginPro8 and Microsoft Excel. Relationship profiles between wind speeds, RPMs, tip speed ratios and power coefficient variables at variable blade pitch angles were presented. This analysis provided the optimum operating conditions of the wind turbine for maximum wind energy conversion.

Based on the inference made from the field and wind tunnel results, a wind-solar PV hybrid energy system was developed. The systems power performance analysis was done to determine the system's level of flexibility under varying environmental conditions. Vertical wind shear analysis was done to determine the system's generation capacity at hub heights of 50 m and 100 m from the ground level. Lastly, system's economic viability was analyzed to determine its benefits and environmental impact upon implementation. These economic benefits were realized in terms of the avoided costs and CO<sup>2</sup> reduction level.

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# **CHAPTER FOUR**

# **RESULTS AND DISCUSSION**

# **4.1 Introduction**

This chapter presents research findings of solar and wind resource distribution, complementarity analysis and energy demand analysis. Further, wind tunnel test results, analysis of the developed hybrid system power performance as well as its economic viability are discussed.

# **4.2 Resource Distribution**

#### **4.2.1 Distribution of Wind Resource**

Table 4.1 compares average monthly wind speeds at 20 m from January to December with the minimum, average, and maximum monthly temperatures in Machakos for the year 2019. The data in Table 4.1 was used to correlate average wind speeds and temperatures in the months of January to December. Figure 4.1 shows daily averages and peak wind speeds as an average of all wind speeds recorded in the year 2019. A Mean daily wind range of 3.0 m/s to 4.0 m/s was recorded. This range is closely correlated with wind speeds in a study by Ongaki *et al*. (2021) for Kisii region in Kenya, with a distribution that revealed average *c* and *k* values of 3.25 and 1.91 respectively, similar to the value obtained in this study. Wind speed clusters within this range were prevalent, with some days registering a peak of 9.0 m/s.

Month	Average wind	Minimum	Average	Maximum
of the	speed	temperature	temperature	temperature
year	(m/s)	$({}^{\circ}\mathsf{C})$	$^{\circ}$ C)	$({}^{\circ}C)$
Jan	2.7	10.0	19.5	25.0
Feb	2.9	10.0	20.3	26.0
Mar	3.7	12.0	20.7	27.0
Apr	3.9	13.0	20.0	25.0
May	4.1	12.0	19.0	23.0
June	4.9	10.0	17.4	22.0
July	4.5	9.0	16.5	22.0
Aug	3.9	9.0	17.0	22.0
Sep	3.4	9.0	18.5	25.0
Oct	3.1	11.0	20.1	25.0
<b>Nov</b>	2.6	12.0	19.4	24.0
Dec	2.6	11.0	19.2	24.0

**Table 4.1: Monthly Average Wind Speeds and Temperatures in Year 2019**



**Figure 4.1: Daily Average and Peak Wind Speeds in 2019**

Figure 4.2 shows the monthly average wind speeds and temperature extrapolated to show their monthly distribution. With the least possible temperature of 9 °C, June and July were the coolest months in comparison. Maximum mean wind speed was 4.9 m/s in June and 4.5 m/s in July. The hottest months were March and September, with maximum temperatures of 27 °C and 25 °C, respectively.



**Figure 4.2: Average Monthly Wind Speed and Temperature in Year 2019**

Monthly average wind velocities ranging from 2.5 m/s to 4.9 m/s at 20 m were observed at standard pressure and temperature. The wind speeds were compared to the wind speeds obtained in a study by Ongaki *et al*. (2021) whose distribution registered low wind speeds of 2.9 m/s on average at 10 m found to be unsuitable for large scale wind energy generation. However, increase in hub heights increased wind resource viability in driving small wind turbines for energy generation on small scale. This inference agrees with studies by Mukulo *et al*. 2014 for Mwingi-Kitui plateau, Kenya. As also suggested by Ongaki *et al*. (2021), exploitation of such low wind speed regimes similar to Machakos for energy generation calls for installation of higher efficiency and modern turbines with at higher heights to harness energy from higher wind speeds. Moreover, observe also that cold seasons give higher wind energy capacities than warm seasons, since wind energy is directly proportional to wind speeds and air density. This fact justifies the choice of wind energy in complementing solar energy during cold seasons.
#### **4.2.1.1 Weibull's Analysis**

Wind speed average  $(v)$ , Weibull's  $c$ , and  $k$  variables are crucial in characterizing wind resource distribution and energy potential. These factors are revealed by the Weibull's distribution. Machakos Weibull's distribution of the wind resource profile is shown in Figure 4.3.



**Figure 4.3: Weibull's Distribution for Wind Speeds Between 0-10.0 m/s**

According to the analysis, wind speed range of 2.0 m/s to 4.0 m/s are prevalent, which could be considered low. Approximately 90% of the day, the site revealed a high probability of having wind speeds below 6.0 m/s whose energy returns could be low. This wind potential may not be appropriate for large-scale energy generation. Nevertheless, in order to exploit the available potential as a backup for solar systems, such wind regimes show the need for WT design optimization to achieve turbines that could operate in low wind speed regimes with a cut-in of 2.0 m/s. The skewed wind distribution as per the analysis, revealed low wind speeds, scale, and shape parameters. Thus, these findings imply that Machakos has low wind energy potential indicated by a *c* value of 3.22 m/s and a *k* value of 1.9 at an average wind speed of 3.01 m/s. Using findings of a study by Kamau *et al.* (2010), which reported a range of 2.5 to 3.05, the

*k* values were compared. Variations of *k* parameter have a significant impact on energy, since it shows the extent of resource variability (Li and Zhi, 2016).

The value of *k* has an inverse relationship with the wind speed variability. A high value of *k* gives a peaked distribution where wind speeds are close, implying less speed variation. The *k* value of 1.90 attained in this study suggests that the wind resource is highly variable, causing periodic changes in the power supply. The site under study was thus identified as a low wind area with the most probable wind speeds at 3.22 m/s as implied by the *c* value. These *k* and *c* values are comparable to the values obtained in a study by Ongaki *et al.* 2021 earlier presented in this section*.* This potential necessitated the use of an alternative source to satisfactorily meet the load demand. Further, the region could be classified in wind class of  $\approx 1$  by the values of *c* and *k*, making it unsuitable for producing wind energy on a large scale. However, with so much attention to advances in WT technology, wind power could be an option for electricity on small-scale. Such developments focus on WT aerodynamics and lightweight resources like composites for the blades (Nishizawa *et al.*, 2013). Moreover, large towers and lengthy blades make turbine installation in low-speed wind areas feasible (de Falani *et al*., 2020).

Wind may be less reliable due to its erratic nature, but it could be useful through HES, which allow for multiple integration of energy resources. These results clearly show that energy demand for Machakos's even on a small-scale, cannot be fully satisfied by wind resources alone. By utilizing the complementarity phenomenon between energy resources, systems could be more flexible and reliable in meeting the load demand.

## **4.2.1.2 Wind Direction**

The distribution of wind directions was presented in a wind rose diagram as a percentage of all outcomes during the data collection period as presented in Figure 4.4. It is evident that wind speeds originating from NE and SE directions have been found to have the highest prevalence over the year at frequencies of 28% and 35%, respectively. These directions could have been influenced by three hills surrounding the site. From the study findings, a HAWT with a yawing mechanism would be the most recommended turbine for installation in Machakos since it allows turning to align with SE or NE directions for optimum power generation. As reported in literature, the choice of a HAWT in this study is justified since it permits yawing, which causes the rotor to turn towards directions in search of higher wind speeds, unlike VAWT (Elistratov & Kudryasheva, 2019; Wekesa *et al*., 2015, Wekesa *et al*., 2017; Wekesa, Wang, Wei & Kamau, 2014). This makes the lift driven turbines harvest maximum wind energy



**Figure 4.4: Distribution of Wind Flow Directions** 

# **4.2.2 Distribution of Solar Radiation**

The PVGIS software was used to analyze and profile the findings of the solar PV assessment. Tables 4.2 display the GHI, DHI, and DNI distributions for real sky at fixed plane and while Table 4.3 presents the clear sky distributions under sun track option. This data was used to analyze the monthly solar resource potential in comparison to the measured values, as well as its complementary with wind resource. As also reported in a similar study by Kariuki and Sato (2018) CSI and GHI are higher and of low variability compared to DNI and DHI values, indicating that regions near the equator experience nearly constant insolation for most of the months.

Month	DNI	DHI	GHI	<b>CSI</b>
	(W/m <sup>2</sup> )	(W/m <sup>2</sup> )	(W/m <sup>2</sup> )	(W/m <sup>2</sup> )
Jan	682	215	898	1040
Feb	749	219	969	1100
Mar	719	244	965	1140
Apr	626	279	906	1130
May	434	306	741	1090
June	326	311	638	1070
July	310	308	619	1080
Aug	325	324	649	1120
Sep	596	256	853	1120
Oct	609	273	882	1080
<b>Nov</b>	549	267	817	1030
Dec	575	245	812	995

**Table 4.2: GHI, DHI, DNI, and CSI Monthly Peak Values at Fixed Plane**

Due to the fact that solar radiation always falls normally on a PV module surface, tracking option had a higher solar irradiance in comparison to fixed plane option. Fixed angle mode was chosen since a sizable portion of the solar radiation falls uniformly on the surfaces in all regions close to the equator like Machakos.

Month	DNI	DHI	<b>GHI</b>	<b>CSI</b>
	(W/m <sup>2</sup> )	(W/m <sup>2</sup> )	(W/m <sup>2</sup> )	(W/m <sup>2</sup> )
Jan	800	222	1030	1190
Feb	807	223	1030	1180
Mar	723	250	973	1150
Apr	631	274	908	1140
May	464	301	770	1120
June	347	307	660	1120
July	318	297	621	1120
Aug	326	321	650	1130
Sep	611	255	867	1130
Oct	628	285	915	1140
<b>Nov</b>	663	245	918	1160
Dec	681	258	946	1170

**Table 4.3: GHI, DHI, DNI, and CSI Monthly Peaks for Sun Track Option**

Figures 4.5 presents the hourly solar irradiance profile for the hottest month, while Figure 4.6 presents the coldest month of the year. Peak GHI was over 900 W/m<sup>2</sup> in March, while the lowest was  $600 \text{ W/m}^2$  in July. Due to its proximity to the equator, Machakos experiences nearly similar solar irradiance values in the coldest and hottest months. PVGIS simulation data was validated using experimental solar irradiance data from ground measurements. Figure 4.7 presents the measured values of daily of solar irradiance in March, June, and July. Similar to the outcomes of the simulation results presented in Figure 4.5 and 4.6, a maximum solar irradiance of 958 W/m<sup>2</sup> in March and minimum values of 502 W/m<sup>2</sup> and 618 W/m<sup>2</sup> in June and July were recorded, respectively. These monthly variations in solar resource potentials justifies the need for another renewable complementing resource that would support the loads during the coldest months of the year, when solar insolation is at its worst. As previously mentioned, wind energy is an excellent choice to complement solar energy when the solar irradiance is low.



**Figure 4.5: Daily Average Irradiance in March 2019 (a) at Fixed Plane and (b) at Vertical Tracking**



**Figure 4.6: Daily Average Irradiance in July 2019, (a) at Fixed Plane and (b) at Vertical Tracking**



**Figure 4.7: Daily Average Irradiance in March, June and July 2019**

The simulation results of the measured monthly and daily average solar insolation, as well as the estimated average PV energy, are recorded in Table 4.4.





An average daily insolation of 5.84 kWh/m<sup>2</sup> at a 1 kWp installed capacity is revealed, translating to a yearly solar insolation of 2130 kWh/m<sup>2</sup> . According to the daily insolation, the area has 5.84 hours of peak sunlight per day under the usual test conditions of 1 kW/m<sup>2</sup> peak sunlight. The calculated daily insolation value of 5.84 kWh/m<sup>2</sup> closely compares to the value of 5.0 kWh/m<sup>2</sup> that was provided in a study by Oloo *et al.* (2015) and also lies within the daily insolation range of  $5 - 7$  kWh/m<sup>2</sup> reported in a study by Kariuki and Sato (2018).

In March and July, the insolation peak values were 213 kWh/m<sup>2</sup> and 145 kWh/m<sup>2</sup>, respectively. These monthly potentials further translated to 6.9 kWh/m<sup>2</sup> and 4.7 kWh/m<sup>2</sup> daily in March and July, respectively. Note that, as recorded in Table 4.4, the ground measurements revealed average daily insolation of 6.8 kWh/m<sup>2</sup> and 4.5 kWh/m<sup>2</sup> in March and July, respectively. The simulation results strongly correlate to the ground measurement results, validating the PVGIS tool as also realized in a related study by Oloo *et al*. (2015). Further, these findings show that PVGIS is accurate and reliable in estimating solar resource energy potentials in inaccessible areas due to topology, insecurity, floods among other factors.

As reported in studies by Iakovleva *et al.* (2022), solar insolation varies with solar angles like latitude, declination, azimuth, hour angle which depend on the earths position. Observe in Table 4.4 that these positions provided a daily insolation range of 4.7 kWh/m<sup>2</sup> to 6.9 kWh/m<sup>2</sup> implying that Machakos experiences between 4.7 to 6.9 hrs of peak sun. The close range in solar insolation not only show the adequate capacity but also the reliability of solar resource in creating access to electricity within the equatorial region, Oloo *et al*. (2015). Figure 4.8 presents a plot of the simulated monthly solar insolation.



**Figure 4.8: Simulated Solar Insolation**

Annual PV energy was also simulated at a fixed solar panel tilt angle of 15°. Figure 4.9 presents the average monthly PV energy output profile. Results revealed an annual solar energy potential of 1740 kWh at 1 kWp installation capacity. In general, months with the sun's proximity to the equator have greater potential for energy than months when the sun is farther away. The maximum monthly average solar energy output is 170 kWh in March, while the lowest output was 122 kWh in July. The daily averages for March and July based on this potential are 5.5 kWh and 3.9 kWh, respectively. These results reveal that with a well sized energy system, Machakos being a stride from the equator has high solar irradiation enough to power rural households even in the worst month of solar radiation. This conclusion agrees with a Kenyan study by Akello, Saoke, Kamau and Ndeda (2022) who revealed the potential of regions a stride from the equator as potential areas for solar energy harvest.



### **Figure 4.9: Simulated PV Energy Output**

#### **4.3 Wind and Solar Complementarity**

Hourly, daily, and monthly interchange patterns were revealed by the wind and solar resources at the site. Depending on the time and weather, they were frequently found to be in and out of phase alternately. In Figure 4.10, the time series for solar and wind resources are presented on hourly timescale. Machakos receives 12 hours of sun radiation daily from 0600 hours to 1800 hours due to its proximity to the equator, as also reported in a study by Oloo *et al*. (2015). As a result, for a good percentage of the day, hybrid wind/PV systems are solely dependent on solar energy. With the absence of solar irradiance at night, solar PV systems alone are unable to power the loads. This condition increases the rate of battery discharge, depleting its backup power. The complementarity effect of wind resource with solar increase its techno-economic viability attracts its exploitation for integration through hybrid energy systems, as also suggested in the study by Johannsen *et al*. (2020). Thus, wind has been found to be a good energy source during periods of low solar energy.



**Figure 4.10: Hourly Time Series of Solar Irradiance and Wind Speed**

It was observed that in the evening between 1700 and 0800 hours and during the day between 0800 and 1700 hours, wind and solar potentials were out of phase. This pattern points to intriguing possibilities for the complementarity in the production of wind-PV energy. Between 0800 hrs and 1700 hrs, solar radiation was sufficient and predominate. The trend changed for periods between 1700 hrs and 0800 hrs where wind resource dominated. This high level of complementarity could be attributed to the abrupt changes in weather brought on by rotation and revolution of the earth. Figure 4.10, in particular, reveals the appropriateness of wind and solar in driving HES and as also observed in a study by Jurasz *et al*. (2018) and Jurasz *et al.* (2020) considering complementarity metrics in sizing wind/solar hybrid systems have a positive impact on reliability and resource curtailment due to improper sizing. This effect could also reduce on large storage needs also observed in a study by Solomon *et al.* (2020)

Figure 4.11 illustrates the monthly switch over of wind and solar energies. The analysis revealed a negative correlation between the two resources, demonstrating strong complementarity during the different seasons of the year. Solar insolation was high in the first and last four months of the year, with peaks of 213 kWh/m<sup>2</sup> and 193 kWh/m<sup>2</sup>

in March and September, respectively. June and July recorded the lowest values of 146  $kWh/m^2$  and 145 kWh/m<sup>2</sup>, respectively. Comparatively, the maximum average wind speeds were 4.9 m/s and 4.5 m/s in June and July, respectively. This change switched the potential from solar to wind. This trend ensured nonstop supply of power to households from January to December.

The erratic weather changes that occasionally result from shading effects and earth's movements lead to low energy capabilities of solar and wind resources. These changes partly result in power instability, a situation that could subject the energy storage facilities to high rates of discharge, prolonged low change states, and high operating temperatures as also highlighted in a study by Neto *et al*. (2020). In order to protect energy users from the detrimental effects of intermittent wind and solar resources, hybrid systems and substantial storage capacity are essential.



**Figure 4.11: Solar and Wind Resource Monthly Complementarity**

According to the complementarity analysis, hybrid systems have been found to be adaptable enough to provide consistent supply of energy in both promising and harsh weather circumstances. This study findings provide the knowledge needed on viability of complementarity in wind and solar systems' hybridization which was largely unrecognized in Kenya resulting in low spread rate of hybrid systems as suggested by Johannsen *et al*., (2020). This development opens up on the potentials in small wind turbine technology in energy generation.

In this study, complementarity has been found to be fundamental in renewable energy systems since when one source's power output suddenly drops, the supply automatically switches to the other sources, increasing power stability and system's flexibility. As also suggested by Kumar *et al.* (2019) and observed from Figure 4.10 and 4.11, complementarity would reduce battery discharge time increasing its life span as well as battery capacity requirements decreasing the system's cost. With these strengths, therefore, complementarity effect could increase the penetration of smallscale wind/solar HES in remote areas. This view also agrees with inferences done in a study by Solomon *et al*., (2020).

# **4.4 Energy Demand Analysis**

In three selected zones of Machakos, data on energy consumption patterns was collected. Data analysis determined the household daily load and its load profile. Daily average energy loads in zones A, B and C are as shown in Tables 4.5 were a range of 0.97 kWh to 1.498 kWh across the three zones is reported. Table 4.6 presents the average daily loads below 1 kWh, a range where most of the rural household loads fall. Table 4.7 present the frequency distribution of households according to their daily energy consumption levels.

**Table 4.5: Average Energy Loads per Cluster, Expressed in kWh**

	Zone A	Zone B	Zone C
Cluster 1	0.97	1.008	1.375
Cluster 2	1.399	1.054	1.498
Cluster 3	0.943	0.954	1.356
Cluster 4	1.054	0.985	1.367
Average	1.09	0.99	1.4

	Zone A	Zone B	Zone C
	(kWh)	(kWh)	(kWh)
Cluster 1	0.43	0.589	0.550
Cluster 2	0.64	0.578	0.579
Cluster 3	0.57	0.473	0.614
Cluster 4	0.50	0.645	0.643
Average	0.56	0.590	0.596

**Table 4.6: Cluster Average Energy Loads for Homes using less than 1 kWh daily**

**Table 4.7: Frequency Distribution of Household Loads**

Load	Zone A	Zone B	Zone C	Total
(kWh)	%	%	%	%
$0 - 1$	60	53	42	52
$1 - 2$	24	38	32	31
$2 - 3$	13	9	17	13
$3 - 4$	3	0		
$4 - 5$	0	0	2	
$5 - 6$		0		

The frequency distribution of levels of energy utilization in three rural zones is presented in Figure 4.12 while Figure 4.13 presents the general energy demand distribution in all zones. 52% of consumers used below 1 kWh daily (Figure 4.13), with daily energy loads ranging from 0.052 kWh to 4.23 kWh. With an overall average of 1.161 kWh, the daily loads for the three sites were 1.092, 0.99, and 1.4 kWh. This indicates that the majority of rural families use less than 1.5 kWh of electricity each day. This low consumption could be a result of poor social-economic status attributed to low incomes, a situation that triggers the use of conventional sources of energy to meet the required energy demand. Further analysis on households consuming less than 1 kWh daily revealed an average daily consumption of 0.582 kWh where mean consumptions in zones A, B and C were 0.56 kWh, 0.59 kWh and 0.595 kWh, respectively. For an average household consuming less than 0.582 kWh a day, translated to 17.46 kWh per month and 213 kWh yearly. This demand is comparable to a monthly load of 15 kWh which translates to a daily average of 0.5 kWh reported in a study on energy preference and consumption intensity in Kenya by Mbaka,

Gikonyo and Kisaka (2019). Further, it was noted that demand is significantly influenced by people's habitat and way of life.



**Figure 4.12: Levels of Energy Use in the Three Zones**

In comparison to rural areas, people use more appliances in cities, hence the increase in energy consumption. The zones are part of the rural area of Machakos, where only a few homesteads have connection to the national grid. The few homes that are wired to the grid find it a challenge to meet the monthly bills due to the high cost of electricity.



**Figure 4.13: Patterns of Energy Consumption in Machakos**

According to a study by Dominguez, Orehounig and Carmeliet 2021, much of the rural population in Kenya lacks access to electricity due to costs. Their poor economic status

only allows the connected few spend roughly 20 % of their little income on electricity with preference on lighting which takes about 60% of the bills (Ngare & Kioli, 2019). A similar scenario is revealed by the findings of this study. As also observed by Mbaka *et al.* (2019) economic status trigger the use of non-clean energy sources with the rural areas consuming larges proportions of the same. This study showed that a solution to overcome the energy access challenge and develop the best system design called for accurate estimation of the household consumption levels.

In spite of the challenges, a few of the economically stable households own small panels which hardly meet the necessary energy load demand. Notably, as a result of electricity cost, the majority of homes in remote areas do not use high power consuming appliances. Table 4.8 lists the devices that are commonly found in rural homes and have daily energy usage of below 1 kWh. In this study, hybrid system sizing took into account the household chosen from among the three zones.

Appliance	Rating (W)	Active hour	Total units (Wh)
		in a day	
4 bulbs	11		220
2 Radios	50	3	300
3 Phones	8	2	48
1 Lamp	10	2	20
Total	178	12	588

**Table 4.8: A Typical Rural Household's Load Demand in Machakos**

Observe in Table 4.8 that low-wattage appliances in an average rural household have a limited time of use to avoid high electricity bills. Figure 4.14 presents the load profile for a typical rural household in Machakos. The profile reveals high energy loads in the evening.

In comparison, much electricity is utilized between 1700 hrs and 2200 hrs. After their day of activities, people return home during this time. At this time, the main energyconsuming devices are lighting, televisions, radios and phone chargers. When people sleep most appliances are put off minimizing electricity consumption significantly, except for a few bulbs, which use negligible energy. With absence of solar during the night, battery discharge is kept to a minimum. This study findings show that the need

to meet the nighttime energy load demand makes hybrid energy system that incorporates wind/solar renewable resources more viable since their complementarity daytime and nighttime meets the energy needs reliably.

Wind resource investigation have proven its feasibility as a supplement to solar energy due to its accessibility, particularly during times of low insolation. Thus, development of a site-specific wind PV hybrid energy system in this study is justified.



**Figure 4.14: Load Demand Profile for a Typical Rural Household**

# **4.5 Wind Tunnel Test Results**

This section offers an examination of rotational speeds, TSR, and power coefficients for various wind speeds and blade pitch angles in addition to the optimization results. Figure 4.15 (a) and (b), respectively, shows a graph of RPMs vs wind speeds for rotors made of foam and aluminum. Compared to aluminum blades, Styrofoam blades reported speeds that were somewhat higher, between 60 and 102 rev/min. For the aluminum rotor blades, higher RPMs were achieved at pitch angles of 12°, 15°, and 18°, with a peak value at a pitch angle of 15°, The speed decreased for pitch angles greater than 18° due to increase in drag forces near the stalling point for large AOA. Rotor blades experienced a sharp decline in lift near the stalling point, which reduced lift to drag ratio, resulting in a low coefficient of power. Styrofoam blades showed

greater rotor speeds for pitch angles between 18° and 25°, and lower speeds at angles higher than 25°. The maximum rotor speed was reached at a pitch angle of 20°.



**Figure 4.15: (a) RPM vs Wind Speeds for Styrofoam and (b) Aluminum Blades, respectively**

According to Manwell *et al*. (2010), when rotor blades pitch at the critical angle, two thirds of the wind speed is transformed into kinetic energy, producing maximum possible power. As a result, the critical angles of the aluminum and foam blades were fixed at 15° and 20°, respectively. Below these angles, a lot of wind was obscured, increasing the drag impact. Pitch angles of 15° and 20° therefore provide the best operational conditions for the two types of rotor blades. Figure 4.16 (a) and (b) depict a graph of the TSR vs wind speeds for foam and aluminum rotors, respectively.

The TSR has a considerable effect on the *C<sup>P</sup>* of the turbine. The analysis found that greater tip speed ratios had a tendency to lean toward low wind speeds, suggesting promising prospects for the turbine's energy conversion in low wind speed regimes. The aluminum blades' maximum TSR was 2.9 at a pitch angle of 15°, while the foam blades' maximum TSR was 3.1 at a pitch angle of 20°. Styrofoam blades recorded higher tip speed ratios in comparison to aluminum blades. Even though their performance characteristics were close, Styrofoam revealed to be a more efficient wind energy converter than aluminum due to the slightly higher value of  $C_P$  it revealed. This good performance could be attributed to its low density of  $0.96$  g/cm<sup>3</sup> compared to aluminum at 2.7  $g/cm<sup>3</sup>$ , the material is over 90% air making it light and easy to rotate faster than aluminum. Calculations and comparisons were made for both blade types' power coefficients and the corresponding TSRs.



**Figure 4.16: (a) TSR vs Wind Speeds for Styrofoam and (b) Aluminum Rotors, respectively**

Utilizing the fabricated rotor blades, the extractable wind power was estimated using the coefficient. For aluminum and Styrofoam rotors respectively, Figures 4.17 (a) and (b) show graphs of the power coefficient against TSR whereas Figures 4.18 (a) and (b) present graphs of wind power estimates at optimal *C<sup>P</sup>* verses wind speeds.

In accordance with a related study by Saoke *et al*. (2014), the optimal TSRs were observed to shift towards the right. This could be attributed to stall effects at low TSRs characterized by high AOA. Observe in Figure 4.17 (a) that, for the aluminum blades, a maximum *C<sup>P</sup>* of 0.431 at a pitch angle of 15°was recorded. This coefficient corresponded to a TSR of 2.0. According to the graph of Figure 4.18 (a), a *C<sup>P</sup>* of 0.431 corresponded to a power of 220 W. At a pitch angle of 20°, foam blades revealed a higher *C<sub>P</sub>* of 0.465 in the graph of Figure 4.17 (b), corresponds to a TSR of 2.1. A

coefficient of 0.465 would result in a maximum wind power of 238 W according to Figure 4.18 (b). These  $C_p$  could strongly be attributed to the optimized blade design that enabled lift maximization even below the rated wind speed.



**Figure 4.17: (a)** *C<sup>P</sup>* **vs TSR for Aluminum Rotor and (b) Styrofoam Rotor, respectively**

In line with literature, the power estimates were below the limit of 300 W calculated at the Betz limit *C<sup>P</sup>* of 59.3%. Low *C<sup>P</sup>* was realized below and after the optimum TSR, which could be attributed to increased drag forces which reduced lift force. The TSR results compared to those from Nishizawa study on tapered WT blades, which recorded a *C<sup>P</sup>* of 0.39 at a TSR of 4.06 (Nishizawa, 2011). Additionally, Saoke, Nishizawa, Ushiyama, Kamau, (2015) reported a maximum power coefficient of 0.372 in a study on small tapered blades pitched at various angles. This *CP* was found to be comparable to the findings in this study. It was noted that the rotor revolved at low speeds with wakes below the optimal TSR, implying that minimal wind was available for energy conversion as a large portion spilled past the blades. Above the optimal TSR, the rotor revolved quickly, acting like a wall and obfuscating wind. Thus, high wind speeds increased drag, which reduced lift force. Similar, higher pitch angles caused the rotor to stall, declining its power output. These findings strongly agree with findings by Wen *et al*. (2017) that, as TSR rises, *C<sup>P</sup>* falls. By making sure that the WT systems operates at their optimum point, conditions which are detrimental to the WT hardware could be avoided.



**Figure 4.18: (a) Extractable Power vs Wind Speeds for Blades made of Aluminum and (b) Styrofoam, respectively**

Expected power output at the best possible revealed *C<sup>P</sup>* value of 0.465 was examined to characterize the power performance of the WT at the optimum TSR. Where power at a *C<sup>P</sup>* of 0.465 and rated wind speed of 5 m/s was compared to the maximum expected power extractable at the Betz *C<sup>P</sup>* value of 0.593. A graph of power at Betz limit verse WT output power is shown in Figure 4.19.



**Figure 4.19: Expected WT Power Output**

For a 200 W generator used in this study, the power reached its maximum at the rated wind speed of 5.0 m/s then remained constant for higher wind speeds. These findings reveal that, low wind speed regions like Machakos with average wind speeds of 3.0 m/s even though neglected, could be viable for small-scale power generation with wind turbines of cut in wind speed of 2.0 m/s, rated winds speed of 5.0 m/s and a cut-off wind speed of 15 m/s. At the optimal operating conditions revealed by the wind tunnel findings, the developed turbine could convert wind energy at a *C<sup>P</sup>* of 46% and 43% for Styrofoam and aluminum blades, respectively. According to the configurations in Table 3.1, this power, closely reflects the anticipated WT power output of 235 W as per the design configuration. This amount of power would be adequate to support rural household loads and small-scale utilities.

### **4.6 Hybrid System Power Performance**

A wind solar hybrid energy system was developed and a field test undertaken. This section presents analysis of vertical wind shear, voltage and current integration trend, battery charging, and load supply.

#### **4.6.1 Wind Shear Analysis**

Vertical wind distribution estimated applying the power law in Equation (2.9) and the energy potentials are reported. Figure 4.20 shows wind shear analysis and the corresponding WPDs to a hub height of 100 m above the ground. Observe from Figure 4.20 that, wind shear expands energy capacity, making a given site a suitable wind resource.



**Figure 4.20: Vertical Wind Shear and Corresponding Power Densities**

The shear analysis revealed power densities in a range of 20  $W/m^2$  to 140  $W/m^2$  from which a suitable turbine would extract significant energy from wind resource. At a shear coefficient of 0.25, wind energy was estimated using 3.2 m/s as the reference wind speed at a hub height of 8 m. For turbine hub heights between 10 and 100 meters above the ground, mean wind speeds of 3.4 m/s to 6.0 m/s were recorded. The vertical distribution profile agrees with the EPRA findings discussed earlier in the literature (EPRA, 2022). The developed WT, designed to operate optimally at a wind speed of 5 m/s, would generate maximum power at hub height of 50 m at an estimated wind speed of 5.1 m/s. A rotor of diameter 2.9 m and a *C<sup>P</sup>* of 0.431, as previously reported in this study, which would produce 225 W from a WPD of 79 W/m<sup>2</sup>.

These findings were compared to results in a study by Mwanzia *et al.* (2019), where empirical power densities ranging from  $31.65$  W/m<sup>2</sup> to  $54$  W/m<sup>2</sup> were reported. According to the study, the power densities corresponded to numerical densities ranging from 71.76 W/m<sup>2</sup> to 125.45 W/m<sup>2</sup> in a turbine hub height range of 40 m to 100 m, respectively. The revealed power densities in this study indicates the viability of wind resource especially in driving small wind turbines as also observed by Choge *et*  al. (2013) in his study that reported a range of  $40.67 \text{ W/m}^2$  to  $80.379 \text{ W/m}^2$  at hub heights of 10 m and 20 m, respectively.

# **4.6.2 Power Performance Analysis**

Figures 4.21 shows the input voltage integration, while Figure 4.22 shows the current integration from solar and WT systems through the MPPT controller to meet the loads and charge the battery. Wind was prevalent between 1800 hrs and 0600 hrs, while solar was most prevalent between 0600 hrs and 1800 hrs. At night, wind power supply predominated with an average voltage of 9.05 V and current of 4 A higher than solar power supply with an average of 2 V and 0.8 A. The trend interchanged between 0600 hrs and 1800 hrs where solar dominated maintaining the supply above an average voltage of 13.23 V and current of 5.4 A. The voltage and current values obtained translates to an average power of 71.4 W for a 100 W solar panel with a  $V_{\text{max}}$  of 18 V and I  $_{\text{max}}$  of 5.56 A at the standard test condition of 1000 W/m<sup>2</sup>. This analysis revealed good complementarity between wind and solar energy supplies.



**Figure 4.21: Voltage Outputs of the Hybrid Energy System**



**Figure 4.22: Output Currents of the Hybrid Energy System**

The complementarity characteristic of wind and solar, earlier discussed in a previous section, is reflected and validated by the power performance findings. Wind voltage was found to be comparatively higher during the day than at night. This swapping in power potential can be attributed to increase in wind speeds caused by decrease in air

density when ambient temperatures rise. These results revealed good system flexibility in its operation, a characteristic that would overcome power outage due to sudden changes in weather, intermittency and cloud cover among other factors. This analysis justifies the suitability of the developed hybrid energy system in supplying stable power to rural households. Wind shear analysis to a turbine hub height of 50 m revealed more extractable energy capacity sufficient for rural electrification in Machakos. Figure 4.23 shows integration of solar power with wind power at varying turbine hub height.



**Figure 4.23: Wind Shear Analysis to a Hub Height of 50 m**

Analysis revealed a huge energy potential in wind resource for heights above 10 m. In this study, hub heights of 8 m to 50 m were of much interest due to hub installation costs. Observe that, at WT hub heights of 8 m to 50 m, wind power increased from 36 W to 143 W, respectively. This increase translates to daily energy between 0.864 kWh and 3.432 kWh, respectively. Using the WPD range of 20 W/m<sup>2</sup> to 79 W/m<sup>2</sup> earlier presented in Figure 4.20, a rotor of diameter 2.9 m would generate 57 W to 225 W at a *C<sup>p</sup>* of 0.431. This rate would translate to a daily energy of 1.368 kWh and 5.4 kWh, respectively. This daily potential was determined using Equations (2.17) and (2.18).

Table 4.9 shows the energy estimates of WT at higher hub height. WT power effectively complemented solar power, which revealed an average output of 143 W. This PV power translated to 0.835 kWh at 5.84 peak sun hours per day. This solar energy balanced with the wind turbine's energy of 0.864 kWh at 8 m hub height. Energy production capacity per year at 8 m hub height was 315 kWh. Despite the cost of turbine installation at higher height, more wind energy could be realized with a maximum of 1.3 MWh annually at hub height of 50 m above the ground. The findings in this study show that wind resource in low wind speed regimes could still be viable with increasing hub height enough to balance with solar energy from bigger PV systems. Despite the low wind resource potential higher heights turn out to be promising in energy generation using hybrid systems. Higher hub heights enable the creation of a balance in daily energy generation from two complementary technologies thus reducing the mismatch between generation and household energy demand. Moreover, more energy from higher hub heights improves on power stability by reducing power fluctuations. This development would minimize the need for higher storage capacities decreasing the systems investment cost.

Hub	<b>Expected</b>	Measured	<b>Daily Energy</b>	<b>AEP</b>
Height(M)	Power (W)	Power (W)	<b>Production</b>	(kWh)
			(kWh)	
8	57	36	0.864	315
10	67	43	1.032	377
20	113	72	1.728	631
30	154	98	2.352	858
40	191	121	2.904	1060
50	225	143	3.432	1253

**Table 4.9: Annual Wind Turbine Energy Production at Increasing Hub Heights**

## **4.6.3 Wind Solar PV Hybrid System Specifications**

Table 4.10 show the appropriate HES suitable for a rural household in Machakos.

<b>Component</b>	<b>Specification</b>
Rotor diameter	2.9 <sub>m</sub>
Swept area	6.6 $m^2$
Number of blades	3
Power coefficient Rotor power rating	0.431 220 W
Rated wind speed	$5.0 \text{ m/s}$
Hub height	50 <sub>m</sub>
WT Generator rating	200 W
PV rating	165 W
<b>HCC</b>	15A
Battery (Lead acid)-1 DOA	100 AH
Inverter	$0.25$ kW

**Table 4.10: Specifications of the Developed Wind-Solar Hybrid System**

Styrofoam blade performed slightly higher than aluminum however, Styrofoam is costly and cloud have adverse effects on health and environment. Thus, aluminum was chose which is cheap, readily available, non-toxic and easy to machine. Aluminum would generate power at a high  $C_p$  of 0.431 slightly below Styrofoam but sufficient to power small-scale energy loads. Based on these facts, aluminum was choses for application in low wind speed regimes. Table 4.9 shows that the developed hybrid system produced 63% of the projected power, taking into account power losses. Based on this performance, 200 W generator driven would be suitable for an average rural household electrification.

### **4.7 Economic Viability Analysis**

Based on the economic analysis parameters discussed in section 3.4.8, the economic benefits of the developed HES were evaluated and tabulated in Table 4.11. The approximate investment cost of the wind-solar HES project was USD 631. Considering an average daily load demand of 0.588 kWh presented in Table 4.8, the approximate annual cash inflow (avoid cost) was USD 154 which factored grid electricity at Ksh 30 per unit and carbon direct air capture (DAC) annually. DAC plant capturing  $CO<sub>2</sub>$  at a rate of 4000 tCO<sub>2</sub> annually, costs at most USD 800 per ton (International Energy Agency, 2022; *Why Kenya Could Take the Lead in Carbon* 

*Removal*, 2023). Using the economic models in section 3.4.8, analysis showed that installation of a HRES could be economically viable within the 20 years of the system life span. The analysis revealed a discounted payback period of 5.47 years, a net present value of USD 537 at a discount rate of 6 %. The present value of future cash inflows was estimated at USD 1168 which would give a profitability index of 1.85.

The net  $CO<sub>2</sub>$  emission reduction in life span of 20 years was 2.11 tons for a household, which translates to 633 tons for a whole village with similar household average loads. Considering carbon removal method from air using DAC plants, emission equivalent to 0.8% would be avoided. The obtained payback period of less than 6 years, the positive NPV value, and the profit index value which was greater than 1, revealed that the project is attractive and installation of a wind/solar hybrid energy system for smallscale energy generation in low wind speed regimes is economically viable.

<b>System Cost (USD)</b>		<b>Benefits</b>	
Solar panel:	77	Energy load:	0.588 kWh
Battery:	77	Annual avoided costs:	<b>USD 154</b>
Inverter:	14	Economic life time:	20 years
Turbine:	231	Payback Period	5.47 years
Pole:	77	NPV:	<b>USD 537</b>
Controller:	116	PI:	1.85
Installation:	39	PV of future cash inflows:	<b>USD 1168</b>
Total cost	631		
$CO2$ reduction rate:		$0.5 \text{ kg/kWh}$	
Net CO <sub>2</sub> per Household:		$2.11$ Tons	
300 households:		633 Tons	

**Table 4.11: Hybrid Energy System's Economic Viability**

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# **CHAPTER FIVE**

# **CONCLUSIONS AND RECOMMENDATIONS**

### **5.1 Conclusion**

Despite the unpredictable nature of wind and solar resources in Machakos, their periodic complementarity has been found to be prevalent. Analysis revealed a negative correlation between the two resources on monthly, daily, and hourly time-scales. This relationship revealed a high degree of complementarity between wind and solar in Machakos, a characteristic that could make them more attractive increasing their exploitation for energy generation.

In this study, complementarity was found to be a feasible solution in overcoming the effects of resource intermittence and low wind speeds. The findings revealed a daily insolation of 5.84 kWh implying 5.84 PSH at a 1 kWp installation capacity. The highest average daily insolation, 6.9 kWh/m<sup>2</sup> , occurred in March, and the lowest, 4.7 kWh/m<sup>2</sup> , occurred in July. A skewed distribution of wind speeds was revealed, with Weibull's *c* and *k* values of 3.22 m/s and 1.9, respectively. This suggests that low wind speeds, which are highly variable are prevalent. Over 90% of the total wind speeds fell within a range of 1.0 m/s to 5.0 m/s at an average of 3.01 m/s and a WPD of 17  $W/m<sup>2</sup>$ at a height of 20 m. This potential classifies Machakos in a wind class of  $\approx 1$ , a low wind speed regime. This fact makes such regions unsuitable for large-scale wind electricity production. However, wind complementarity with solar may make it economically viable in electricity generation. Therefore, Wind/solar resource energy potential and complementarity are viable for wind-solar PV hybrid energy systems installation in low wind speed regime areas.

Energy demand analysis showed that 52% of rural families consume below 1 kWh per day. The daily energy loads were between 0.052 and 4.23 kWh, where much of the household's consumption is below 1.5 kWh. For the majority of households that consume less than 1 kWh per day, an average rural household consumes 0.582 kWh per day. These levels of energy demand can satisfactorily be met using an optimized wind-solar PV hybrid energy system.

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A solar panel was sized and a HAWT designed for the low-speed wind regime in Machakos. Two rotor blades, 2.9 m diameter were fabricated from aluminum and Styrofoam. Analysis showed a positively skewed TSR profile, indicating good performance at low wind speeds. At a pitch angle of  $20^{\circ}$  and a TSR of 2.1, the foam blades revealed a *C<sup>P</sup>* of 0.465. Similar results were obtained with aluminum blades, which had a maximum  $C_P$  of 0.431 at 1.9 TSR and 15 $\degree$  pitch. With power coefficients of 0.431 and 0.465, the rotor blades yielded 220 W and 238 W, respectively, for aluminum and Styrofoam rotors. The findings showed that Styrofoam blades outperformed aluminum blades in wind energy conversion. The low density of Styrofoam, which makes it a superior material for wind turbine rotor blades, can be attributed to its good performance. However, this study considered aluminum due to the harmful effects on health and environment that might arise over time from the use of Styrofoam. A 235 W aluminum bladed rotor design of cut in wind speed of 2.0 m/s, rated wind speed of 5.0 m/s and a cut-off wins speed of 15.0 m/s would be suitable for wind energy harnessing in low-speed regimes.

The optimized WT generated 36 W from a low wind speed of 3.2 m/s at a hub height of 8 m. This translated to a daily energy output of 0.864 kWh which balanced with the daily PV energy output of 0.835 kWh at 5.84 PSH. Wind shear projection attained a wind speed of 5.1 m/s, at a hub height of 50 m. The power density at 50 m hub height was 79 W/m<sup>2</sup> which was sufficient for the wind turbine to generate at its maximum PowerPoint at its rated wind speed of 5.0 m/s. Further exploration of the vertical shear revealed more wind energy capacities at higher hub height, where hub heights of 50 m would generate between 143 W, translating to 3.432 kWh per day. In view of this analysis, a maximum hub height of 50 m would be optimal for a turbine rated at 5 m/s. Increase in hub height in low wind speed areas revealed increased power production creating a balance of energy generation from the two technologies. This balanced reduced mismatch between demand and energy generation by wind and solar resources. Power performance analysis revealed good wind-solar power integration and improved system power flexibility which could impact positively on system costs by minimizing the need for large storage capacities.

The hybrid system economic analysis revealed a NPV of USD 537, a discounted payback period of 5.47 years and a profit index of 1.85. A single household would reduce 2.11 tons of  $CO<sub>2</sub>$  within the 20 years of system economic life span. Further, the developed wind-solar hybrid energy system has been found to be a promising solution to the energy crisis, global warming and climate change mitigation. This development supports the increase in share of renewables in energy generation agenda of the COP28, as well as the achievement of the SDGs. With a profit index greater than 1, a positive NPV value, the wind/solar hybrid energy system project has been found economically viable for installation in low wind speed areas. The findings of this study could be shared to renewable energy research communities and stakeholders for consideration.

# **5.2 Recommendations**

With the promising results generated by aforementioned findings emerging from the study, the following were observed:

1. Wind-solar hybrid energy systems are viable solutions in overcoming resource intermittence and make complementary regimes more viable for small-scale energy generation.

2. Wind turbines with large sweep area, variable pitching and yawing mechanism are recommended for energy harnessing in low wind speed regime areas to optimize energy and capture maximum wind speeds from SE and NE direction which are prevalent.

3. Further studies need to focus on other low-density materials to enhance WT power coefficients in other low wind speed areas.

4. Further studies need to focus on efficient gear system designs that would increase the WT generator speed in low wind speed areas. However, mechanical knowledge is required.

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#### **APPENDICES**

#### **Appendix I: Contributions of the Thesis**

P,

a) Muchiri, K., Kamau, J. N., Wekesa, D. W., Saoke, C. O., Mutuku, J. N., & Gathua, J. K. (2023). Wind and solar resource complementarity and its viability in wind / PV hybrid energy systems in Machakos, Kenya. *Scientific African*, 20, e01599. <https://doi.org/10.1016/j.sciaf.2023.e01599>

# tific African 20 (2023) e01599 Contents lists available at ScienceDirect entific Scientific African journal homepage: www.elsevier.com/locate/sciaf Wind and solar resource complementarity and its viability in wind/PV hybrid energy systems in Machakos, Kenya Kennedy Muchiri<sup>3,</sup> Joseph Ngugi Kamau<sup>2</sup>, David Wafula Wekesa<sup>b</sup>, Churchill Otieno Saoke<sup>4</sup>, Joseph Ndisya Mutuku<sup>4</sup>, Joseph Kimiri Gathua<sup>c</sup> **Intitute of Energy and Environment** ntal Technology, Jono Kenyatta University of Agriculture and Technology F.O. Box 62000-00200, ok (Zespa<br>serimest of Physics, Multimedia University of Kenya, P.O Bax 15653-00503, Nairabi, Kenya<br>seriment of Physics, Kenyatta University, P.O Bax 43844-00900, Nairabi, Kenya ARTICLE INFO A B S T R A C T<br>Integration of intermittent renewable energy resources provides the potential to mitigate<br>the impact of the weightility of independent sources. The intermittent rature creates stability<br>is in gradied to th **ABSTRACT** Article Matory:<br>Received 30 November 2020<br>Revised 11 February 2023<br>Accepted 17 February 2023 **Iditor DR & Gyampoh** ardır: ad penguarea olar resource dbull distribution olar complementarity Mathematical Sciences / Next Einstein Initiative.<br>This is an open access article under the CC BY-NC-ND license<br>(http://creativecommons.org/licenses/by-nc-nd/4.0/) nons.org/licenses/by-nc-nd/4.0/)

b) Muchiri, K., Kamau, J. N., Wekesa, D. W., Saoke, C. O., Mutuku, J. N., & Gathua, J. K. (2022). Design and Optimization of a Wind Turbine for Rural Household Electrification in Machakos, Kenya. *Journal of Renewable Energy*, 2022, 1–9. <https://doi.org/10.1155/2022/8297972>

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#### **Research Article**

### Design and Optimization of a Wind Turbine for Rural Household **Electrification in Machakos, Kenya**

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Machakos is an area characterized by low wind speeds in the range of 0.5 m/s to 5 m/s with an annual average wind speed of 3.5 m/ s. Maximum power generation from wind requires the appropriate design of the conversion system. In this study, two HAWT rotor blades were fabricated using Styrofoam and aluminium with a pitching mechanism to maximize power. The system was<br>tested in a wind tunnel environment at a wind speed range of 0 m/s-20 m/s. RPMs and torque were measure calculate the TSR and power coefficients at different pitching angles. Energy optimization was performed by varying the pitch angles from 0 to 40 degree and rotational speeds, blade shape, and also a variation of blade materials. The analysis of tip speed ratios showed positive skewness implying high potential for significant energy generation at low wind speeds. At the rated wind speed of 5 m/s, Styrofoam blades performed optimally at a pitch angle of 20 degree with a tip responding to a  $C_p$  of 0.465. This translates to 238 W of power. Aluminium type performed optimally at a pitch angle of 15 degree with a TSR of 1.9 corresponding to a  $C_p$  of 0.463. This translates to 238 W of power esti more effective and thus suitable for application in wind systems. The understanding gained from this study could be useful to the HAWT research community and can be extended to the turbine designs for small-scale microgrid c) Muchiri, K., Kamau, J. N., Wekesa, D. W., Saoke, C. O., Mutuku, J. N., & Gathua, J. K. (2021). Energy Demand and Its Implication on Wind/PV System Sizing in Machakos, Kenya. *International Journal of Sustainable and Green Energy*, 10 (3), 92. <https://doi.org/10.11648/j.ijrse.20211003.12>

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### **Energy Demand and Its Implication on Wind/PV System** Sizing in Machakos, Kenya

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Abstract: Energy is an essential factor underpinning all elements of economy in the society. Its utilization greatly depends on the individual's lifestyle and habitation. In rural areas, people use less electronic appliances compared to urban areas. However, the rapid development in technology and variety of applications have triggered the desire for more power in both rural and urban regions. To meet the energy demand, the world's generation capacity has to keep growing. Renewable energy sources offer a better solution in quenching this demand. This paper presents the findings on energy utilization and a suitable sized wind/PV system model for an average rural household in Machakos. Energy demand assessment was done using probability sampling which involved clustering and random selection of households. The range of daily energy load in Machakos was found to be 0.052 to 4.23 kWh with most of the households consuming less than 1.5 kWh in a day. The daily average energy consumption for the three selected zones namely. Katheka-kai, Kiandani and Kathiani were 1.092, 0.99 and 1.4 kWh respectively, with an average load of 1.161 kWh. Over 50% of the households consume less than 1 kWh per day where the average loads were 0.56, 0.59 and 0.595 kWh respectively, with a daily average of 0.582 kWh. A wind/PV systems was sized for a sample household with a load of 0.588 kWh. Based on the minimum month solar insolation of 4.677 kWh/m<sup>2</sup> and the available wind speed range of 1.0-10.0 m/s in the sites, a stand-alone wind/PV hybrid system was sized with component sizes as: 12 V, 165 W Panel, 12 V, 250 AH battery, 12 V, 225 W inverter and a wind turbine with a cu wind speeds of 1.0, 5.0 and 15.0 m/s.

Keywords: Energy Demand, Load Profile, Rural Electrification, PV Sizing, Wind Turbine Sizing

#### **Appendix II: Questionnaire used to Collect Energy Demand Data**

The questionnaire contents entailed household size, source of electricity in the household, number of electrical appliances available, their power rating as well as their active hours in a day, household's monthly income and average monthly power bill as per KPLC. The data was used to determine the daily load profile and the daily average energy demand.

#### **PhD Research Work**

Research Title: Development of a Wind-Solar PV Hybrid System for Small-Scale Power Generation in Machakos, Kenya

Institution: Jomo Kenyatta University of Agriculture and Technology

Field Study Objective: To perform energy utilization analysis for the determination of the appropriate hybrid system size for a rural household in Machakos.



**Appendix III: Installation of Experimental Set-Ups in Machakos**



**Appendix IV: Data Logging System used in Capturing Wind and Solar Resource Assessment Data**



# **Appendix V: Styrofoam Material used in Rotor Blade Fabrication**



**Appendix VI: Styrofoam Blade Fabrication at the IEET Workshop, JKUAT**







# **Appendix VIII: Lift to Drag Ratios of NACA 4418 and the other Similar**

# **Airfoils**



**Appendix IX: WT Testing in a Wind Tunnel Facility in the Fluids Laboratory, JKUAT**





#### **Appendix X: Rotational Speeds of Styrofoam and Aluminum Blades at different Wind Speeds**

# **Appendix XI: Power Coefficient and different TSRs for the Styrofoam Blade**



**Appendix XII: Rotor Power at different TSRs of the Styrofoam Blade**



# **Appendix XIII: Power Coefficient at different TSRs for the Aluminum Blade**

		<b>Cp VRS TSR ALUMINIUM</b>																
			10		15	15	18	18	20	20	25.	25	30	30	35		40	40
															1.18438 0.25459 1.22993 0.26439 1.36659 0.29376 1.4577 0.31335 1.5488 0.33293 1.42353 0.306 0.91106 0.20584 0.91106 0.19584 0.91061 0.19575 0.9103 0.19568 0.90106 0.19589			
															1.21475 0.27966 1.26536 0.29131 1.41721 0.32627 1.51844 0.34958 1.56905 0.36123 1.49674 0.34458 1.0629 0.2447 1.13883 0.26218 0.92106 0.20975 0.91106 0.20975			$0.968 \mid 0.22285 \mid$
		1.21475 0.29742 1.30965 0.32065 1.42353 0.34854 1.53742 0.37642 1.59436 0.39036 1.50325 0.36805 1.13883 0.25883 1.17136 0.28679 0.97614												0.239	0.968	0.237	0.97614	0.225
1.25271															0.2329 0.97614 0.23034 1.49674 0.35318 1.56182 0.36854 1.69197 0.39925 1.5488 0.36547 1.23883 0.26873 1.21475 0.28664 0.98698 0.2329 0.97614 0.23034 0.98698 0.2329			
																		1.25271 0.31082 1.59436 0.35559 1.51844 0.37675 1.59436 0.39559 1.7462 0.41326 1.56905 0.38931 1.36659 0.30908 1.27549 0.31647 1.01217 0.24866 1.00217 0.24866 1.00217 0.24866 1.00217 0.24866 1.00217 0.24866
1.30152															0.23891 0.2212 0.33802 1.63991 0.36822 1.73102 0.37812 1.91323 0.42792 1.59436 0.39827 1.41214 0.33846 1.27549 0.27861 1.02494 0.22388 1.01229 0.22112 1.00217 0.23891			
		1,4659 0.26048 2.02212 0.30398 1.82212 0.35398 1.82212 0.37398 2.04989 0.43073 1.69436 0.41723 1.51721 0.38087 1.31598													0.2701 1.0629 0.21815 1.04772 0.21504			1.0629 0.21815
															1.5659 0.24946 2.16377 0.28498 1.92212 0.34261 1.92581 0.36805 2.27765 0.42576 1.82212 0.40261 1.63167 0.37534 1.36659 0.24946 1.19327 0.19957 1.13883 0.20788 1.13883 0.20788			
1.6659	0.2042	2.4295 0.24747 2.01397 0.32607 2.22581 0.32654 2.73318 0.35841 2.02212 0.3856 1.74251 0.36444 1.46659													0.1842 1.21352 0.15009 1.18883		0.1535 1.18883	0.1535
																		1.71844 0.17098 2.73318 0.20776 2.27765 0.29647 2.50542 0.28211 2.96095 0.33341 2.37765 0.34647 1.8577 0.35714 1.56659 0.15388 1.23883 0.12823 1.21475 0.13678 1.21475 0.13678 1.21475 0.13678

**Appendix XIV: Rotor Power at different TSRs of the Aluminum Blade**


**Appendix XV: Fabricated and Assembled Wind Turbine at the Engineering Workshop, JKUAT**



**Appendix XVI: Wind-Solar Hybrid Interface Testing at Electrical Laboratory, JKUAT**



**Appendix XVII: Wind-Solar Hybrid Charge Controller used to Hybridize Wind and Solar Energies**



**Appendix XVIII: Hybrid Charge Controller Connections to the Sub-Systems**



## **Appendix XIX: Wind Tunnel Facility in the Fluids Laboratory, JKUAT**

The wind tunnel is 4.6 m long with a 1.2 m square test section running at an average Re of about 160000 (Wekesa *et al*., 2020)

