

**EVALUATION OF GREENHOUSE GAS EMISSIONS AND  
WATER PRODUCTIVITY FROM RICE PRODUCTION AS  
AFFECTED BY WATER MANAGEMENT AND SOIL  
TYPE IN MWEA, CENTRAL KENYA**

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**Evaluation of Greenhouse Gas Emissions and Water Productivity from  
Rice Production as Affected by Water Management and Soil Type in  
Mwea, Central Kenya**

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**A Thesis submitted in partial fulfillment for the Degree of Master of  
Science in Civil Engineering (Water Option) in the Jomo Kenyatta  
University of Agriculture and Technology**

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## DECLARATION

This thesis is my original work and has not been presented for a degree in any other university.

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## **DEDICATION**

This work is dedicated to my parents: John and Irine Gitonga and to my siblings: John, Grace, George and David and my nephew Jeremy Ryan. They are God's precious gift to me.

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## TABLE OF CONTENTS

<b>DECLARATION</b> .....	<b>ii</b>
<b>DEDICATION</b> .....	<b>iii</b>
<b>ACKNOWLEDGEMENTS</b> .....	<b>iv</b>
<b>TABLE OF CONTENTS</b> .....	<b>v</b>
<b>LIST OF TABLES</b> .....	<b>x</b>
<b>LIST OF FIGURES</b> .....	<b>xii</b>
<b>LIST OF APPENDICES</b> .....	<b>xiv</b>
<b>LIST OF ACRONYMS</b> .....	<b>xv</b>
<b>ABSTRACT</b> .....	<b>xviii</b>
<b>CHAPTER ONE</b> .....	<b>1</b>
<b>INTRODUCTION</b> .....	<b>1</b>
1.1. Background Information .....	1
1.2. Statement of the Problem .....	2
1.3. Justification of the Study.....	4
1.4. Research Objectives .....	4

1.5. Research Questions .....	5
1.6. Scope of study.....	5
1.6.1. Location and extent of Mwea Irrigation Scheme .....	5
1.6.2. Soils.....	7
1.6.3. Agro-climatology.....	7
1.6.4. Water resource availability for rice production.....	7
<b>CHAPTER TWO .....</b>	<b>8</b>
<b>LITERATURE REVIEW .....</b>	<b>8</b>
2.1. Introduction .....	8
2.2. Conceptual framework .....	9
2.3. Rice Production .....	10
2.3.1. Rice Production in a global perspective.....	10
2.3.2. Constraints to global Rice Production .....	10
2.3.3. Rice Production in Kenya .....	11
2.3.4. Rice Production constraints in Kenya.....	11
2.4. Impacts of Rice Production on the Environment.....	12
2.4.1. Rice Fields as the main water consumer in Agriculture .....	12

2.4.2.	Rice fields as major sources of GHG Emissions .....	13
2.5.	Greenhouse Gas Emissions from Rice Fields .....	13
2.5.1.	Methane (CH <sub>4</sub> ) Emissions .....	13
2.5.2.	Nitrous oxide (N <sub>2</sub> O) Emissions .....	24
2.5.3.	Carbon dioxide (CO <sub>2</sub> ) Emissions.....	25
2.5.4.	Pathways of GHG from rice fields to the Atmosphere .....	26
2.6.	Factors affecting GHG emissions from Rice Production.....	27
2.6.1.	Water Management .....	27
2.6.2.	Physical and Chemical Soil Characteristics .....	28
2.7.	GHG and water-use mitigation options from Rice Production .....	29
2.7.1.	Alternate wetting and drying (AWD) as a GHG mitigation option.....	31
2.7.2.	Alternate wetting and drying (AWD) as water saving irrigation method ..	32
2.8.	Research gap.....	33
<b>CHAPTER THREE .....</b>		<b>35</b>
<b>MATERIALS AND METHODS .....</b>		<b>35</b>
3.1.	Experimental Site Description.....	35
3.2.	Experimental Treatments and Design .....	36



3.3. Field Management .....	39
3.4. Greenhouse Gas Sampling and Analysis .....	41
3.5. Soil Sampling and Analysis.....	45
3.6. Statistical analysis.....	47
<b>CHAPTER FOUR.....</b>	<b>48</b>
<b>RESULTS AND DISCUSSION .....</b>	<b>48</b>
4.1. Climatic Conditions .....	48
4.2. Soil Properties.....	49
4.3. Greenhouse Gas Emissions .....	53
4.3.1. Methane Emissions .....	53
4.3.2. Nitrous Oxide Emissions.....	57
4.3.3. Carbon dioxide Emissions.....	61
4.3.4. Carbon dioxide Equivalent (CO <sub>2</sub> -eq) Emission.....	64
4.4. Water productivity .....	66
4.4.1. Rice Yield.....	66
4.4.2. Water Use in the Vertisols and Nitisols .....	68
4.4.3. Water Productivity in the Vertisols and Nitisols .....	70

<b>CHAPTER FIVE</b> .....	<b>72</b>
<b>CONCLUSIONS AND RECOMMENDATIONS</b> .....	<b>72</b>
5.1. Conclusions .....	72
5.2. Recommendations and limitation of the study .....	73
5.2.1. Recommendations.....	73
5.2.2. Area of further research and limitation of the study .....	73
<b>REFERENCES</b> .....	<b>75</b>
<b>APPENDICES</b> .....	<b>93</b>

## LIST OF TABLES

<b>Table 2.1:</b> Some mitigation options which hold positive as well as negative prospects in reducing GHG emissions from rice fields.....	30
<b>Table 3.1:</b> Calendar of events for the duration of the experiment.....	40
<b>Table 4.1:</b> Climatic conditions from June 2017 to January 2018 at the Kirogo Rice Research farm, within the Mwea Irrigation Scheme, Kenya .....	48
<b>Table 4.2:</b> Soils physical and chemical characteristics for the study site Kirogo Rice Research Farm .....	50
<b>Table 4.3:</b> Mean volumetric soil water content, mean soil temperature and water table ranges for each treatment during the rice growing season at the Kirogo Rice Research farm.....	51
<b>Table 4.7:</b> Seasonal cumulative CH <sub>4</sub> (Kg CH <sub>4</sub> -C ha <sup>-1</sup> ) emissions as affected by CF and AWD water regimes in the two soil types.....	55
<b>Table 4.8:</b> Seasonal cumulative N <sub>2</sub> O (Kg N <sub>2</sub> O-N ha <sup>-1</sup> ) emissions as affected by CF and AWD water regimes in the two soil types.....	60
<b>Table 4.9:</b> Seasonal cumulative CO <sub>2</sub> (Mg CO <sub>2</sub> -C ha <sup>-1</sup> ) emissions as affected by CF and AWD water regimes in the two soil types.....	64

<b>Table 4.10:</b> Global warming potential (GWP, Kg CO <sub>2</sub> -equivalent ha <sup>-1</sup> ) and Yield scaled GWP (GWP <sub>Y</sub> , kg CO <sub>2</sub> -eq kg grain yield <sup>-1</sup> ) .....	65
<b>Table 4.11:</b> Yield and yield components data for each treatment during the rice growing season at the Kirogo Rice Research farm.....	67
<b>Table 4.12:</b> Number of irrigation and water used (irrigation + rainfall) for each subplot over the growing season at the Kirogo rice research farm.....	69
<b>Table 4.13:</b> Grain yield, total water used and water productivity for each subplot over the growing season at the Kirogo rice research farm .....	70

## LIST OF FIGURES

- Figure 2.1:** Depletion of electron acceptor usage in flooded rice fields leading to production of methane ..... 14
- Figure 2.2:** CH<sub>4</sub> production, oxidation and emission via rice plants, ebullition and diffusion, from rice fields..... 23
- Figure 2.3:** Conceptual schematic diagram of N<sub>2</sub>O production via nitrification and denitrification processes in rice fields following fertilizer application ..... 25
- Figure 3.1:** Schematic field layout and timeline in days / months when certain events were done for the duration of the experiment at the Kirogo Rice Research Farm within the Mwea Irrigation Scheme, Kenya ..... 37
- Figure 3.2:** Masonry wall separating AWD and CF water regimes in the VS soil. Basmati 370 rice variety 5 days after transplant during basal fertilizer application ..... 38
- Figure 3.3:** PVC corrugated sheets in the Nitisols. The AWD and CF plots were 1m apart from each other. .... 38
- Figure 3.4:** Base units installed in the AWD-VS subplot, chamber lids and boardwalks in preparation for sampling ..... 42

<b>Figure 3.5:</b> Sampling procedure; a) placing the chamber lids, b) sealing with binder clips, c) inserting a needle connected to a 60 ml plastic syringe, d) transferring gas samples in 20 ml pre-evacuated glass vials.....	43
<b>Figure 3.6:</b> Gas chromatograph - SRI 8610C at Mazingira centre lab, ILRI.....	44
<b>Figure 3.7:</b> Soil texture analysis using the hydrometer method at Mazingira centre lab, ILRI for soils collected at Kirogo Rice Research farm .....	47
<b>Figure 4.1:</b> Mean volumetric soil water content ( $\text{m}^3\text{m}^{-3}$ ), mean soil temperature ( $^{\circ}\text{C}$ ), Ammonium ( $\text{NH}_4^+$ ) and Nitrate ( $\text{NO}_3^-$ ) during the rice growing season at the Kirogo Rice Research farm .....	52
<b>Figure 4.2:</b> Variation in $\text{CH}_4$ ( $\text{mg CH}_4\text{-C m}^{-2} \text{h}^{-1}$ ) emissions over the sampling period in each treatment with corresponding water table depth (cm) .....	54
<b>Figure 4.3:</b> Variation in $\text{N}_2\text{O}$ ( $\mu\text{g N}_2\text{O-N m}^{-2} \text{h}^{-1}$ ) emissions over the sampling period in each treatment with corresponding water table depth (cm) .....	59
<b>Figure 4.4:</b> Variation in $\text{CO}_2$ ( $\text{mg CO}_2\text{-C m}^{-2} \text{h}^{-1}$ ) emissions over the sampling period in each treatment with corresponding water table depth (cm) <b>Error! Bookmark not defined.</b>	

## LIST OF APPENDICES

- Appendix I:** Seasonal variation in the precipitation and temperature measured at the Kirogo Rice Research Farm during the entire sampling period ..... 93
- Appendix II:** Analysis of variance (ANOVA) for the effect of water regime, soil type and their interaction on CH<sub>4</sub> emissions during the entire sampling period ..... 94
- Appendix III:** Analysis of variance (ANOVA) for the effect of water regime, soil type and their interaction on N<sub>2</sub>O emissions during the entire sampling period ..... 95
- Appendix IV:** Analysis of variance (ANOVA) for the effect of water regime, soil type and their interaction on CO<sub>2</sub> emissions during the entire sampling period ..... 96
- Appendix V:** Post-hoc multiple comparison test for the effect of water regime, soil type and their interaction on CH<sub>4</sub> emissions during the entire sampling period ..... 97
- Appendix VI:** Post-hoc multiple comparison test for the effect of water regime, soil type and their interaction on N<sub>2</sub>O emissions during the entire sampling period .... 98
- Appendix VII:** Post-hoc multiple comparison test for the effect of water regime, soil type and their interaction on CO<sub>2</sub> emissions during the entire sampling period..... 99

## LIST OF ACRONYMS

<b>ANOVA</b>	Analysis of Variance
<b>AWD</b>	Alternate Wetting and Drying
<b>CCAFS</b>	Climate Change, Agriculture and Food Security
<b>CF</b>	Continuous Flooding
<b>CH<sub>4</sub></b>	Methane
<b>CLIFF</b>	Climate Food and Farming Network
<b>CO<sub>2</sub></b>	Carbon dioxide
<b>CV</b>	Coefficient of Variation
<b>DAT</b>	Days after Transplanting
<b>EF</b>	Emission Factors
<b>FAO</b>	Food and Agriculture Organization
<b>GHG</b>	Greenhouse Gas
<b>GOK</b>	Government of Kenya



<b>GWP</b>	Global Warming Potential
<b>GWP<sub>Y</sub></b>	Yield-scaled Global Warming Potential
<b>ILRI</b>	International Livestock Research Institute
<b>IPCC</b>	Inter-governmental Panel on Climate Change
<b>JICA</b>	Japan International Cooperation Agency
<b>JKUAT</b>	Jomo Kenyatta University of Agriculture and Technology
<b>KALRO</b>	Kenya Agricultural & Livestock Research Organization
<b>LSD</b>	Least Significant Difference
<b>MDG</b>	Millennium Development Goal
<b>MIS</b>	Mwea Irrigation Scheme
<b>N<sub>2</sub>O</b>	Nitrous Oxide
<b>NH<sub>4</sub><sup>+</sup></b>	Ammonium
<b>NIB</b>	National Irrigation Board
<b>NO<sub>3</sub><sup>-</sup></b>	Nitrate
<b>NS</b>	Nitisols

<b>O<sub>2</sub></b>	Molecular oxygen
<b>SOM</b>	Soil Organic Matter
<b>SSA</b>	Sub-Saharan Africa
<b>WFPS</b>	Water Filled Pore Space
<b>VS</b>	Vertisols

## ABSTRACT

Food security for more than half the world population highly depends on the ability of the world to produce rice (*Oryza sativa*). Rice paddies are known to be one of the main anthropogenic sources of the greenhouse gas methane (CH<sub>4</sub>), and use more water than all other staple food crops. Previous studies have examined the effectiveness of different water management regimes on mitigating GHG emissions and conserving water, however none of these were on smallholder rice farms in sub-Saharan Africa (SSA). In the SSA region, about 20% of the cultivated rice area is under flooded conditions also known as paddy rice fields. The area under rice cultivation in this region is forecast to rise to meet rice demand which has increased considerably than anywhere in the world. No previous research has investigated GHG emissions in rice production systems in Kenya and how soil type and water management regimes influences emissions. This study was therefore conducted during the rice growing season of 2017 (July – December) to address the paucity of data on GHG emissions from rice production in Kenya. Two rice water management systems (continuous flooding (CF) and alternate wetting and drying (AWD)) in two different soil types (Vertisols (VS) and Nitisols (NS)) were established in Mwea irrigation scheme (MIS) in central Kenya. The GHG fluxes were measured weekly (or more frequently depending on field management) using static GHG manual chambers. Alternate wetting and drying (AWD) water management regime greatly influenced GHG emissions ( $P < 0.001$ ) during the rice growing season. AWD showed seasonal cumulative CH<sub>4</sub> emissions values of 2.19 and 0.90 kg CH<sub>4</sub>-C ha<sup>-1</sup>, 88% and 84% lower CH<sub>4</sub> emissions; while increasing N<sub>2</sub>O emissions by 72% and 50% (0.31 and 1.29 Kg N<sub>2</sub>O-N ha<sup>-1</sup>), compared to CF in VS and NS respectively. With CH<sub>4</sub> and N<sub>2</sub>O emissions expressed as CO<sub>2</sub> equivalents for a 100-yr horizon, AWD in the VS and NS soils lowered global warming potential (GWP) by 76% and 8%, respectively. Soil type had a significant ( $P < 0.001$ ) effect on the GHG emissions with the VS soil having higher CH<sub>4</sub> and lower N<sub>2</sub>O emissions compared to the NS soil. Interaction of water management regime and soil type greatly influenced ( $P < 0.001$ ) the GHG emissions. Considering grain yield and GHG emissions together, AWD allowed for lower yield-scaled GWP. Higher water productivity was achieved under AWD in both soils. These findings suggest that AWD could be the best option for not only reducing GHG but also increasing irrigation water productivity.

## CHAPTER ONE

### INTRODUCTION

#### 1.1. Background Information

Agriculture plays an important role in addressing two major global issues: mitigating climate change while feeding the growing human population (Linguist et al., 2015a). Current estimates suggest that food production will have to be doubled by the year 2050 in order to guarantee food and nutritional security (Linguist et al., 2015a), while simultaneously minimizing greenhouse gases (GHGs) emissions and other detrimental environmental effects associated with food production (Foley et al., 2011; Linguist et al., 2015a; LaHue, Chaney, Adviento-Borbe, & Linguist, 2016).

The agricultural sector, similar to other economic sectors, contributes to the increasing atmospheric concentration of greenhouse gases (Smith et al., 2014; Tubiello et al., 2015). About 10 – 12% of the total global anthropogenic GHGs emissions is from agriculture (Smith et al., 2014); primarily from livestock, biomass burning, rice production and management of agricultural soils (Eurostat, 2015).

Methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and carbon dioxide (CO<sub>2</sub>) derived from agriculture and other anthropogenic sources, are fundamental greenhouse gases (GHG) contributing to global climate change and variability (Cai et al., 1997; Greenhouse Gas Working Group, 2010; Akinbile, Yusoff, Haque, & Maskir, 2012). Climate change results from increasing atmospheric concentration of CH<sub>4</sub>, N<sub>2</sub>O, and CO<sub>2</sub> gases, and is a threat to agricultural production due to the rising temperature and high frequency of extreme events such as droughts and floods (IPCC, 2014a).

Rice is critical to global food security as it is the most important cereal crop for more than half of the world's population (McLean, Dawe, Hardy, & Hettel, 2002; Global Rice Science Partnership (GRiSP), 2010). In Africa, rice is an important staple crop (FAO,

2013), ranked third after maize and wheat in terms of consumption and production (Akinbile, El-Latif, Abdullah, & Yusoff, 2011). Rice is considered a food security crop in Kenya (Onyango, 2014), with consumption rate increasing by 12% annually, compared to annual increases in maize and wheat consumption of only one and four percent respectively (Muhunyu, 2012).

Rice cultivation is an important anthropogenic source of CH<sub>4</sub> as well as N<sub>2</sub>O and CO<sub>2</sub> (Yan, Ohara, & Akimoto, 2003; Arunrat & Pumijumnong, 2017). Rice is a highly water intensive crop as it is heavily reliant on a continuous supply of water for a majority of its growing season (V&A Programme, 2009). According to Bouman & Tuong, (2001), water-use per growing season of rice typically ranges from 1000 – 2000 mm, which corresponds to 2 - 3 times more than other cereal crops. However, a large amount of this water is lost through surface runoffs, deep percolation, evapotranspiration and seepage (Guerra, Bhuiyan, Tuong & Barker, 1998).

Rice production needs to be intensified to meet the growing demand, but this will result in negative impacts on the environment if sustainable rice production systems are not developed (Minami & Neue, 1994). These negative environmental impacts are attributed mainly to the fact that the conventional method of growing rice on continuously flooded soils results in increased pressure on water resources and concentration of greenhouse gases (GHG) in the atmosphere (Jain et al., 2004; V&A Programme, 2009; Jain & Dubey, 2014).

## **1.2. Statement of the Problem**

Agriculture at global level accounts for 70 – 80% fresh water abstraction and of this 85% is used in rice production systems (Jain & Dubey, 2014). Current intensive rice production techniques require large amounts of water and leads to an increase in the atmospheric greenhouse gas emissions (Jain, Pathak, Mitra, & Bhatia, 2004; Jain & Dubey, 2014).

Approximately 90% of the global rice production, is grown under paddy conditions (Lagomarsino et al., 2016). Consistent with this global trend, paddy rice production accounts for about 86% of Kenyan rice production, with much of this production occurring in the Mwea irrigation scheme (MIS) in central Kenya (Muhonyu, 2012). However, one of the major challenges to expansion of rice production in the MIS is shortage of water (Nyamai et al., 2012).

Flooded rice fields are also characterized by anaerobic conditions which are a significant source of methane (CH<sub>4</sub>) emissions (Jain et al., 2004; Jain & Dubey, 2014; Linquist, Adviento-Borbe, Pittelkow, van Kessel, & van Groenigen, 2012) and account for 9 – 11% of the total global GHG emissions from agriculture (Smith et al., 2014). According to Linquist et al. (2012), rice emits approximately four times as much GHG per ton of product than wheat or maize. This is primarily attributed to anaerobic conditions in the rice fields which are conducive for methanogenic archaea due to the suitable conditions, high moisture and high organic matter content (Khalil, Rasmussen, Shearer, Yao, & Yang, 1998). One promising management strategy to reduce irrigation water use and at the same time to reduce CH<sub>4</sub> emissions in rice fields (Qin et al., 2010; Linquist et al., 2015) is the Alternate Wetting and Drying (AWD) method (Richards et al., 2014). AWD is based on repeated drainage and re-flooding of the field throughout the growing season.

Almost all studies on how conversion to AWD affects greenhouse gas (CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub>) emissions and water usage, were conducted in East and South-East Asia (Boateng et al., 2017). As the management effects tend to be site specific, it is therefore critical to measure GHG emissions and water productivity from rice production systems in order to identify whether Alternate Wetting and Drying method is a viable mitigation option that suit local conditions.

### **1.3. Justification of the Study**

GHG mitigation options in rice production tend to be site specific depending on the differences in agricultural activities and environmental factors for different rice fields (Khalil et al., 2009). In addition, the quantity of GHG emissions from rice fields varies with factors such as irrigation water management, climatic conditions, rice cultivars, physical, chemical and biological properties of the soil among others. Majority of the reported studies have been carried out mainly in parts of East and South-East Asia with very little information on GHG emissions from rice paddy fields in Sub-Saharan Africa (SSA) which includes Kenya (Boateng, Obeng, & Mensah, 2017). It was mainly because of lack of data on GHG emissions from Kenyan rice production systems that the study was carried out.

In its efforts to promote sustainable agriculture (Sustainable Development Goal2), achieve food security, feed it's growing population, end hunger and improve nutrition, the Government of Kenya launched the 'Big 4 Agenda' whose primary goals are to reduce the cost of food to improve accessibility to all, enhance large scale food production, and to drive smallholder productivity. Through the National Rice Development Strategy (NRDS), the country is to see, among other strategies, rice yields per unit area increased through development of appropriate soil and water management techniques in irrigated rice (Irea, 2010). Alternate drying and wetting (AWD) could be one of the practices for achieving the goal of sustainable rice production while minimizing GHG emissions from rice fields.

### **1.4. Research Objectives**

The main objective of this study was to quantify greenhouse gases (CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub>) emissions from rice production under alternate wetting & drying and continuous flooding in Vertisols and Nitisols soils commonly found in Mwea irrigation scheme, Kenya.

The specific objectives were:

- i. To evaluate the effect of alternate wetting & drying and continuous flooding in Vertisols and Nitisols soil on greenhouse gas emissions from the rice fields;
- ii. To evaluate the rice productivity under alternate wetting & drying and continuous flooding in Vertisols and Nitisols.

## **1.5. Research Questions**

The study sought to answer the following questions:

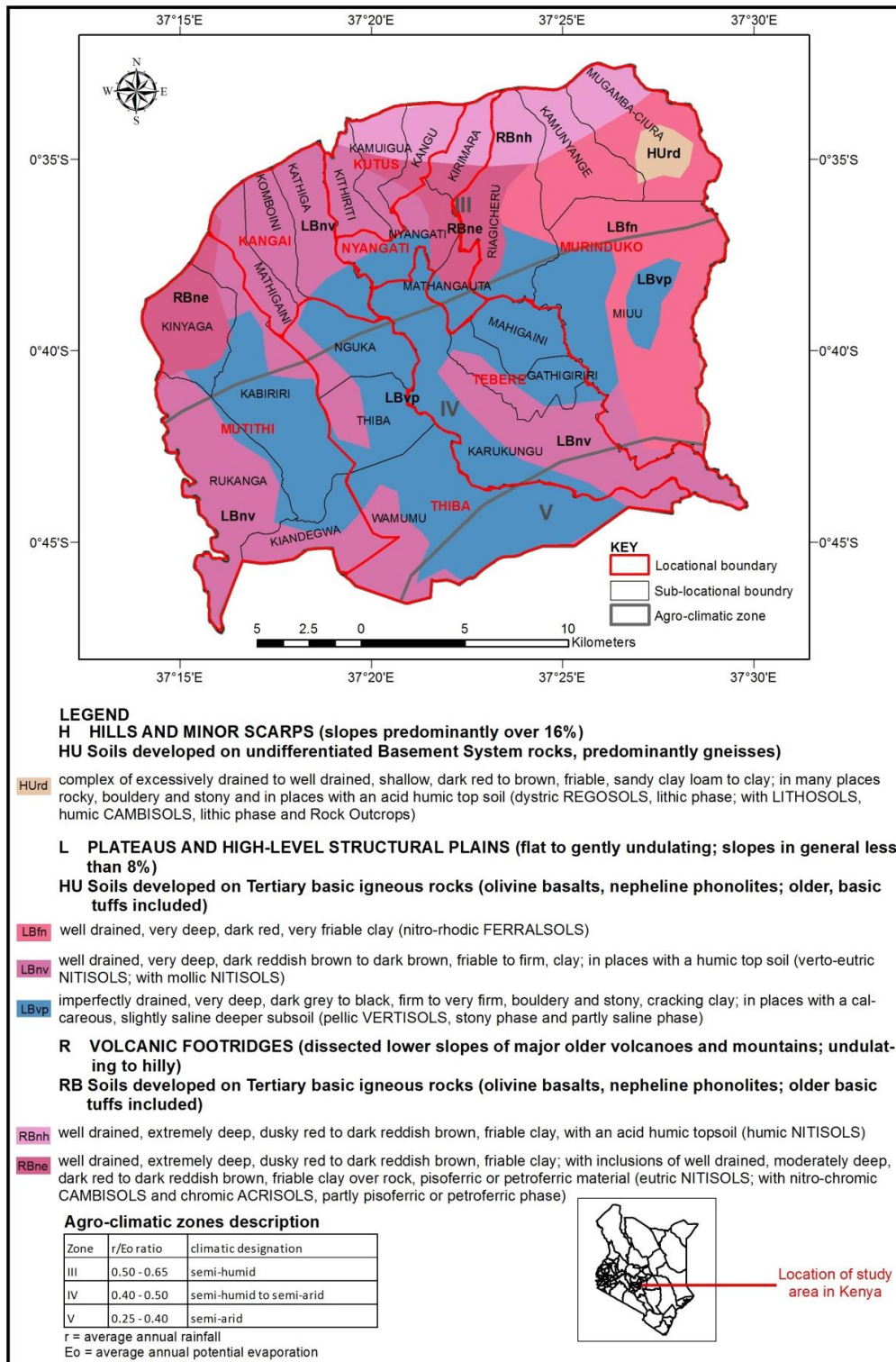
- i. How do water management regimes and soil type affect greenhouse gas emissions from rice production in Kenya?
- ii. How is rice productivity affected by the interaction between water management regime and soil type?

## **1.6. Scope of study**

### **1.6.1. Location and extent of Mwea Irrigation Scheme**

The Mwea Irrigation Scheme is located in the lower slopes of Mt. Kenya, Kirinyaga County in the central part of Kenya. It lies between latitudes 37°13'E and 37°30'E and longitudes 0°32'S and 0°46'S (Figure 1.2). The scheme is divided into five sections: Tebere (the largest single section), Thiba, Mwea, Wamumu and Karaba (Muhunyu, 2012). The Kirogo-Mwea research farm (00°38S; 37°22E; elevation 1159m.a.s.l.), where the experiment was conducted, is part of this scheme within the Tebere section.





**Figure 1.1: Soils and agro-climatic zones of Mwea area**

Source: Kenya Soil Survey, Compiled by Matolo 2012

### **1.6.2. Soils**

The dominant soils in the Tebere section, are pellic vertisols and verto-eutric nitisols (Frederick & Owido, 1981). The spatial distribution of soils of the entire Mwea area is illustrated in Figure 1.2.

### **1.6.3. Agro-climatology**

Annual average precipitation for Mwea is 950 mm, with the long rains falling between March and May, while the short rainy period is between October and December. Moisture availability zones are based on the ratio of the measured average annual rainfall and the calculated average annual evaporation (Sombroek, Braun, & van der Pouw, 1982). The scheme traverses three agro-climatic zones (Figure 1.2) with maximum moisture availability ratio ranging from 0.50 to 0.65, 0.40 to 0.50, and 0.25 to 0.4 for zone III, zone IV, and zone V respectively. The area is generally hot, with average temperatures ranging between 23°C and 25°C, having about 10°C difference between the minimum temperatures in June/July and the maximum temperatures in October/March.

### **1.6.4. Water resource availability for rice production**

The Scheme itself is served by two rivers, the Nyamindi and the Thiba which are tributaries of Tana River. The Nyamindi River system serves Tebere section while Thiba River serves Thiba, Mwea, Wamumu and Karaba sections. The months of August to October are the most appropriate for rice cultivation since the temperatures are conducive for grain filling and with less risk of disease incidences (Mukiama & Mwangi, 1989). However, the scheme suffers from water shortages since this period coincides with the dry season, further putting a strain on water available for irrigation. This necessitates a staggered planting calendar implemented in the scheme (Ijumba, Mwangi, & Beier, 1990), as the available water is not enough to reach all farmers during the most opportune season.

## CHAPTER TWO

### LITERATURE REVIEW

#### 2.1. Introduction

Greenhouse gases (GHGs) refer to a group of compounds that are able to trap heat in the atmosphere keeping the earth's surface warm (Eurostat, 2015). However, increase in the concentration of these gases in the atmosphere, mainly as a result of human activities, is projected to lead to regional and global changes in climate (Grasty, 1999). Agricultural sector is one sector to consider in terms of climate change because it releases significant amounts of methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and carbon dioxide (CO<sub>2</sub>) which are fundamental greenhouse gases (GHG) contributing to global climate change and variability (Cai et al., 1997; Akinbile et al., 2012; Tubiello et al., 2015). About 5.1 – 6.1 GtCO<sub>2</sub>-eq/yr; which is 10-12% of the total global anthropogenic GHG emissions, is from agriculture (Linguist et al., 2012; Smith et al., 2014; Tubiello et al., 2015). With the rapidly increasing population growth and changing lifestyles, GHG emissions from agriculture are expected to escalate due to increase in food demand (Smith et al., 2007).

Not only is the agricultural sector one of the main drivers to climate change, the sector is also highly exposed to extreme climate events and impacts of climate change which affect farming activities (IPCC, 2014; European Commission, 2015). Climate change is expected to impact negatively future global food production (Grasty, 1999). This is mainly due to long-term water shortage, drought and desertification, pest and disease outbreak on crops as well as livestock, sea level rise and related salinization leading to loss of land, worsening soil conditions such as soil organic matter loss, leaching of soil nutrients and erosion (Grasty, 1999; Pradeep et al., 2003). These effects of climate change on agriculture differs both regionally and globally (Grasty, 1999). Food productivity, especially crop productivity, has been affected and is expected to be further altered due to these climatic changes (Grasty, 1999).

The food security for more than half the world population highly depends on the ability of the world to produce rice (Nguyen, 2002). However, due to global climate change causing changes to rainfall patterns and distribution, rise in temperatures and sea levels could lead to substantial modification in water resources and land available for rice production (Nguyen, 2002).

### **2.1.1. Agriculture GHG Emissions in Kenya**

In Kenya, agricultural sector is the largest source of GHG emissions accounting for more than one-third of the national emissions (Stiebert, 2012). This is according to the national GHG emissions inventory carried out in 2010, which used the Intergovernmental Panel on Climate Change (IPCC) 2006 guidelines to develop an emissions baseline for the agricultural sector. However, the data required to calculate these emissions was lacking leading to considerable uncertainties in the calculation of agricultural sector emissions as well as the use of default emission factors that are not country specific to estimate these emissions (Stiebert, 2012).

## **2.2. Conceptual framework**

This study was carried out through exploratory field experimentation. In such an experiment, a set of options to address a particular problem are available, but needs to be tested first to evaluate its potential to address the problem. In this case therefore, alternate wetting & drying was being tested against the continuous flooding in Vertisols and Nitisols soils in Mwea. We quantified greenhouse gas emissions and water productivity from rice production under both irrigation managements and on two different soil types that are commonly found in Mwea Irrigation Scheme. The experiment was conducted during the August-December crop growing season of year 2017 at the Kirogo-Mwea research farm managed by Kenya Agriculture and Livestock Research Organization.

## **2.3. Rice Production**

### **2.3.1. Rice Production in a global perspective**

Rice is critical to global food security (McLean et al., 2002) mainly because; for more than half of the world's population, it is the most important cereal crop (Fairhurst & Dobermann, 2002; Global Rice Science Partnership, 2013; FAO, 2013). To ensure food security, it is projected that in the next 30 years the annual global rice production will need to be increased to 760 million tonnes to meet the projected rice demand of the rapidly increasing world population (FAO, 2013).

According to WWF (2007), 114 countries were reported to be growing rice, of these, 38 were Sub-Saharan Africa (SSA) countries (McLean, Dawe, Hardy, & Hettel, 2002). Asia is the most important rice growing region in the world accounting for 91% of the world's rice production followed by Latin America and the Caribbean (LAC) (3.8%) and Africa in third place accounting for 2.9% of the world's rice production (McLean et al., 2002).

Throughout Africa, rice is an important staple crop (Global Rice Science Partnership, 2013; FAO, 2013) ranked third after maize and wheat in terms of consumption and production (Akinbile et al., 2011). Among African countries, rice is primarily grown in Nigeria, Kenya, Malawi, Ghana, Uganda and Tanzania (FAOSTAT, 2014).

### **2.3.2. Constraints to global Rice Production**

Rice production in many countries has either stagnated or in some places reduced (Satyanarayana, Thiyagarajan, & Uphoff, 2007). This situation has been attributed to mainly to climate change which leads to water scarcity; causing farmers to abandon the conventional rice paddy cultivation to less water demanding crops (Satyanarayana et al., 2007).

Temperature increase, changes in rainfall pattern and its distribution due to global climate change, has led to substantial modification in water resources and land available for rice

production (Nguyen, 2002). Other factors include: soil structure degradation, increasing production cost, weeds and pest infestations, biotic (i.e., weeds) and abiotic constraints, low efficiency of nitrogen fertilizers, and post-harvest losses (Papademetriou, Frank, & Edward, 2000).

### **2.3.3. Rice Production in Kenya**

Kenya is no exception in the rapidly growing demands of rice. Rice (*Oryza sativa*) is the third stable food and most consumed cereal crop after maize and wheat in the country (Mati, Wanjogu, Odongo, & Home, 2011; Ndiiri, Mati, Home, & Odongo, 2013; Nyang'au, Mati, Kalamwa, Wanjogu, & Kiplagat, 2014) Local production is estimated at 100,000 to 120,000 metric tonnes per annum against a demand of 400,000 to 410,000 metric tonnes per annum (Mati et al., 2011).

According to Kimani et al. (2011), in Kenya, 95% of rice is grown under irrigation in paddy schemes which are managed by the National Irrigation Board (NIB) while 5% is rain fed. Production of rice under paddy rice systems is the most predominant method mainly in irrigation schemes established by the Government, which include Mwea, Bura, Hola, Perkeria, West Kano, Bunyala and Ahero (Nyang'au et al., 2014).

### **2.3.4. Rice Production constraints in Kenya**

Kenya is largely a dry country with 80% of the country being arid and semi-arid. It is classified as a water scarce country with per capita water availability of 650 cubic meters. Approximately, 17 percent of highly potential agricultural land sustains 75% of the country's population (Ngigi, 2002; Marshall, 2011). Cultivation of rice in paddy fields requires flooded fields with a continuous supply of water and also soils with high water-holding capacity. Few areas in the country have a combination of water and soils suitable for rice production hence placing a limitation on the possibility of meeting the national

demand for rice. Shortage of water and suitable land for rice production means that an expansion of rice growing fields is not a likely option (Ndiiri et al., 2013).

Low production in the country is also attributed to a number of other factors namely; climate change, lack of newly improved and adapted varieties of rice, preference of farmers of a low yielding hybrid, aromatic variety (Basmati) which has a high market value, high input costs, susceptibility to pests and diseases; poor agronomic practices, lack of mechanization and deteriorating soil chemical and physical conditions due to continuous mono-cropping (Kimani, Tongoona, Derera, & Nyende, 2011).

Water shortage, however, is the main challenge facing production of rice in the country especially around the Mwea region resulting to a decline in production due to water stress (Kimani et al., 2011). Availability of high yielding cultivars is also another challenge for rice growers. Due to poor cultivars, a 20% yield loss as a result of deterioration in seedling vigor has been observed (Ndiiri et al., 2013).

## **2.4. Impacts of Rice Production on the Environment**

### **2.4.1. Rice Fields as the main water consumer in Agriculture**

Water is an important natural resource that is rapidly becoming scarce mainly as a result of climate change, the growing population and agriculture intensification (Rijsberman, 2006). The ability for the world to meet the increasing food demand is challenged by water scarcity (Hanjra & Qureshi, 2010). Around the world, pressure to reduce water used in irrigation is mounting (Thakur, Kassam, Stoop, & Uphoff, 2016). This is attributed to the fact that; at global level, agriculture accounts for approximately 70 – 80% freshwater abstraction and of this about 85% is used in rice (*Oryza sativa L*) production systems (Jain et al., 2014). For more than half of the world's population, rice is an important staple crop (FAO, 2013). With high rice production to meet global demands; water use is enormous (V&A Programme, 2009).

Approximately 75% of the rice production comes from 79 million ha of irrigated lowlands (Tuong & Bouman, 2003a; Bouman, Lampayan, & Tuong, 2007). According to Bouman & Tuong, (2001), water-use per growing season of rice typically ranges from 1000 - 2000 mm, which corresponds to 2 - 3 times more than other cereal crops. However, a large amount of this water is lost through surface runoffs, deep percolation, evapotranspiration and seepage (Guerra et al., 1998).

#### **2.4.2. Rice fields as major sources of GHG Emissions**

Rice fields have been identified as an important anthropogenic sources of methane (CH<sub>4</sub>), nitrous oxide (N<sub>2</sub>O) and carbon dioxide (CO<sub>2</sub>) emissions (Yan et al., 2003; Arunrat & Pumijumnong, 2017). According to Linqvist et al. (2012), rice emits approximately four times as much greenhouse gas per tonne of product than wheat or maize; mainly due to CH<sub>4</sub> which is the dominant greenhouse gas emitted in flooded rice paddies (IPCC, 1992; Watson, Meira-Filho, Sanhueza, & Janetos, 1992).

Flooded rice paddy fields accounts for 9-11% of the total global GHG emissions from agriculture (Smith et al., 2014). According to FAO (2003), the area of rice grown globally is forecast to increase. This is expected to result to an increase in GHG emissions from rice fields, especially methane emissions, if the current rice production technologies are maintained (Watson et al., 1992).

### **2.5. Greenhouse Gas Emissions from Rice Fields**

#### **2.5.1. Methane (CH<sub>4</sub>) Emissions**

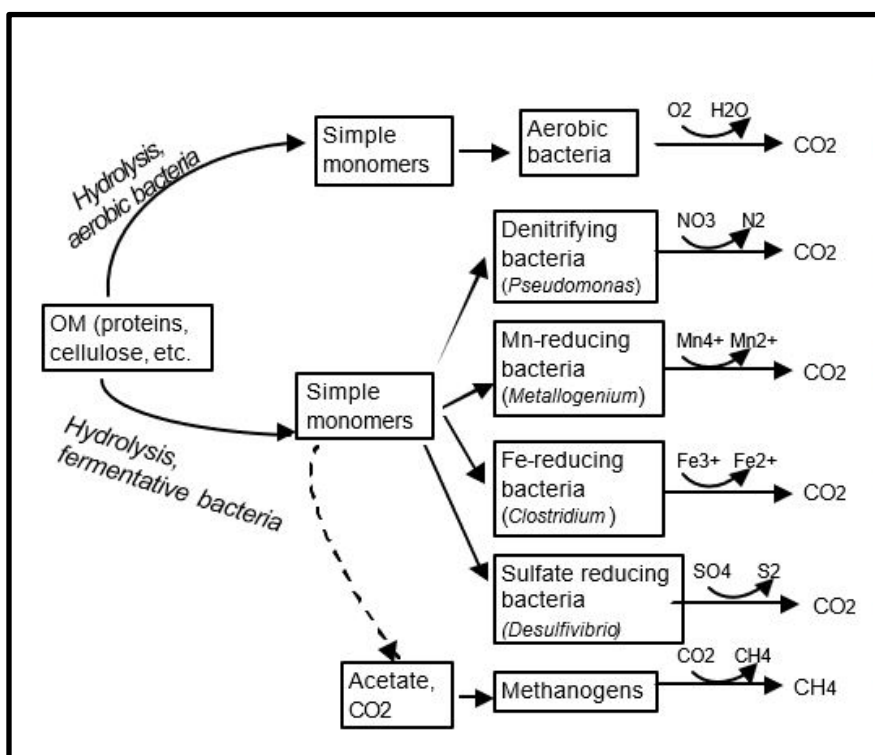
Irrigated rice production is the second largest source of anthropogenic methane after enteric fermentation from ruminants (Smith et al., 2007). Flooded rice paddy fields, create an anaerobic conditions in the saturated soils conducive for methanogenic archaea activities due to the temperate conditions, high moisture and organic substrate (Khalil et al., 1998). Methane production and oxidation in the soil is attributed to two microbial



communities: methanogens and methanotrophs, respectively. The total methane emissions from flooded rice fields is the balance between methanogen and methanotroph activities (Fazli, Man, Shah, & Idris, 2013).

### 2.5.1.1. Production of Methane in Rice Fields

Methane production also referred to as methanogenesis is a microbial process that is strictly limited to anaerobic conditions since methanogenic archaea are more active in conditions which are highly reduced and anoxic (Ma, Qiu, & Lu, 2010).



**Figure 2.1: Depletion of electron acceptor usage in flooded rice fields leading to production of methane**

Source: [https://microbewiki.kenyon.edu/index.php/Central\\_Metabolism\\_\(Flooded\\_soils\)](https://microbewiki.kenyon.edu/index.php/Central_Metabolism_(Flooded_soils))

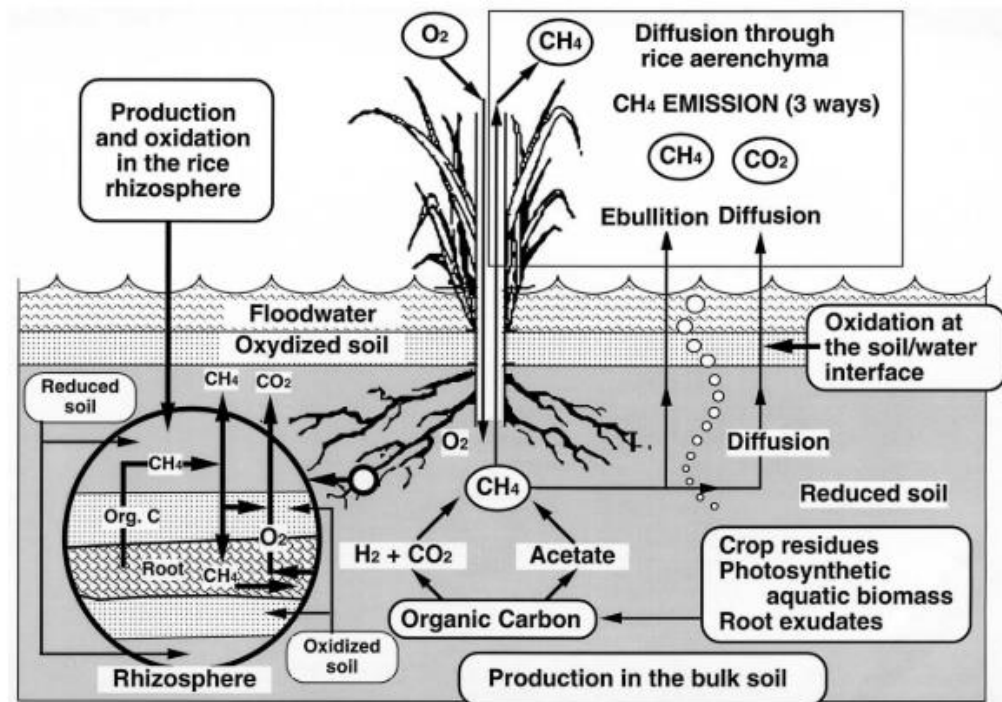
Immediate flooding of rice fields does not result in methane production; this is as a result of the trapped molecular oxygen in soil pores and in the water and also the existence of

the alternative oxidizing agents or electron acceptors that allow aerobic decomposition of the soil organic matter. However flooding the fields cuts off the oxygen supply from the atmosphere causing the paddy soil to be anoxic within hours of flooding (Chanton, Whiting, Blair, Lindau, & Bollich, 1997; Horwath, 2011).

Aerobic decomposition of soil organic matter (SOM) leads to the gradual depletion of oxygen present in most parts of the flooded soils by aerobic bacteria and chemical oxidation reactions creating anoxic conditions that lead to the usage of alternative electron acceptors (Horwath, 2011). The anaerobic conditions is as a result of depletion of electron acceptors or oxidizing agents such as nitrate ( $\text{NO}_3^-$ ), manganese (IV) oxide ( $\text{MnO}_2$ ), Iron (Fe) III, and sulfate ( $\text{SO}_4^{2-}$ ) (Xu, Jaffé, & Mauzerall, 2007). Oxides of nitrogen are the first electron acceptors reduced by the soil microbes after oxygen depletion followed by manganese, iron and sulfate in that order (Figure 2.1). This results to an anaerobic environment in the flooded rice fields with methane produced as a by-product (Zou, Huang, Zheng, & Wang, 2007). After electron acceptor depletion, soil organic matter is then decomposed under anaerobic conditions by methanogenic archaea generating methane.

#### **2.5.1.2. Oxidation of Methane in rice fields**

Rice plant rhizosphere, due to deposits of organic root exudates, degrading root debris and sloughed-off cells, serves as the major carbon source and energy for  $\text{CH}_4$  production in rice fields, especially at the later growth stages (Neue et al.1996; Ma et al., 2010).



**Figure 2.2: CH<sub>4</sub> production, oxidation and emission via rice plants, ebullition and diffusion, from rice fields**

*Source: (Le Mer & Roger, 2001)*

However, rice plant rhizosphere and surface soil support high activity of methanotrophs which are more active in oxic soils and which oxidize a considerable portion of up to approximately 90% of total CH<sub>4</sub> produced in the soil depending on flood condition and time of growing season (Butterbach-Bahl, Papen, & Rennenberg, 1997). The rice plant roots are not only a source of carbon and energy for CH<sub>4</sub> production, but also act as an important site where CH<sub>4</sub> is oxidized by available oxygen from root secretion. A significant portion of methane produced in the soils is oxidized in the rhizosphere and at the soil-water interface either by aerobic methanotrophic archaea into CO<sub>2</sub> or anaerobically by the electron acceptors (Figure 2.2) (Zou et al., 2007 ; Xu et al., 2007).

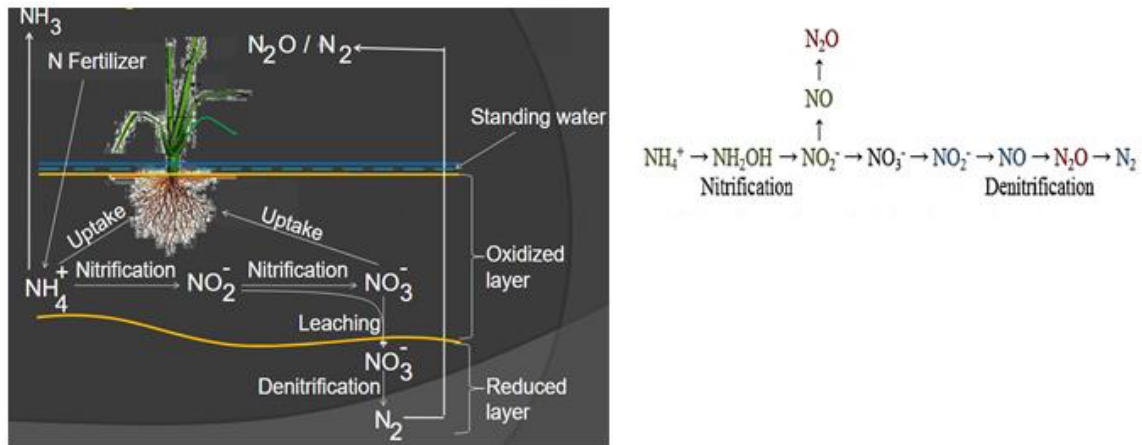
The oxygen available in the rhizosphere from root secretion is used to activate growth and activity of the methanotrophs, and consequently increases the potential of CH<sub>4</sub> oxidation (Zhang et al., 2013) the remaining un-oxidized methane is released to the atmosphere by diffusion, ebullition, and through rice plants (Figure 2.2).

### **2.5.2. Nitrous oxide (N<sub>2</sub>O) Emissions**

Nitrous Oxide (N<sub>2</sub>O) which is a significant long-lived greenhouse gas (GHG) is another important component of net GHG emissions from rice production (Watson, Meira, Sanhueza, & Janetos, 1992). Nitrous Oxide (N<sub>2</sub>O) is naturally produced in the soils as part of the global nitrogen (N) cycle. However, N<sub>2</sub>O emissions from agricultural soils are increased by the application of nitrogen (N) fertilizers that supply additional nitrogen to the global N cycle. Nitrous oxide emission intensity from the flooded rice fields is linked to nitrogen (N) fertilizer application rate (Figure 2.3) (Zou, Huang, Zheng, & Wang, 2007; Zheng et al., 2014)(Zheng, Huang, Yao, Liu, He, et al., 2014). Nitrous oxide production in the rice fields is as a result of nitrification and denitrification processes by microbial activities. Varying conditions of soil moisture causes a difference in soil temperature, soil oxygen status, and soil redox potential (Eh) which consequently bring about changes in N<sub>2</sub>O emissions (Peng et al., 2011; Arunrat & Pumijumnong, 2017).

#### **2.5.2.1. Production of Nitrous Oxide in rice fields**

Drainage of rice fields increases the N<sub>2</sub>O emissions (Fazli, Man, Shah, & Idris, 2013) due to nitrification processes (oxidized layer) which require presence of oxygen for the production of N<sub>2</sub>O (Fazli et al., 2013). Ammonium (NH<sub>4</sub><sup>+</sup>) is oxidized to nitrate (NO<sub>3</sub><sup>-</sup>) in nitrification processes releasing part of the nitrogen produced as NO and N<sub>2</sub>O to the atmosphere (Figure 2.3).



**Figure 2.3: Conceptual schematic diagram of N<sub>2</sub>O production via nitrification and denitrification processes in rice fields following fertilizer application**

*Source: (Norberg, 2017)*

When fields are inundated during the growing season, denitrification processes; which occur in the reduced soil layer, are most important for the production of N<sub>2</sub>O. Nitrate (NO<sub>3</sub><sup>-</sup>) or nitrite (NO<sub>2</sub><sup>-</sup>), in the denitrification processes is reduced to Nitric oxide, Nitrous oxide and Nitrogen gas (NO, N<sub>2</sub>O or N<sub>2</sub>), respectively (Figure 2.3). The denitrification processes also consume N<sub>2</sub>O which serve as intermediary for the production of N<sub>2</sub>. Under such conditions, N<sub>2</sub>O is produced and also removed. The amount that is released in the atmosphere can be extremely variable and site specific for different rice fields. Both nitrification and denitrification processes by microbial activities can be combined if nitrate (NO<sub>3</sub><sup>-</sup>) from nitrification diffuses in anaerobic parts of the field where denitrification takes place leading to high N<sub>2</sub>O emissions.

### **2.5.3. Carbon dioxide (CO<sub>2</sub>) Emissions**

Anaerobic decomposition of organic matter in the soil, respiration by the rice root, and uptake or release of CO<sub>2</sub> by algae and aquatic weeds are factors considered to be related to the net carbon dioxide emissions from the rice paddy fields (Nishimura, Yonemura &

Minamikawa, 2015). The exchange of CO<sub>2</sub> in paddy fields is mainly from photosynthesis of rice plants and respiration of the plants as well as soil micro-organisms respiration. Carbon dioxide (CO<sub>2</sub>) emissions from flooded rice fields are suppressed during flood irrigation, but some escapes into the atmosphere through bubble ebullition (Komiya et al., 2015). When the soil is drained creating oxidized environment in the soil, aerobic decomposition occurs resulting in consequent release of carbon dioxide (Jain et al., 2004).

#### **2.5.4. Pathways of GHG from rice fields to the Atmosphere**

Greenhouse gases (GHGs) produced in the paddy rice fields are emitted to the atmosphere via three pathways: rice plant-mediated transport, through soil/water/atmosphere interface by ebullition as gas bubbles and molecular diffusion (Butterbach-Bahl et al, 1997). Rice plant-mediated pathway is the major pathway for GHGs produced accounting for more than 90% of the total GHGs emitted from rice field soils to the atmosphere over the growing season (Butterbach-Bahl et al., 1997; Yu et al., 1997; Linqvist et al., 2012). The rice plants acts as conduits for gas exchange between the flooded anoxic soils and the atmosphere (Butterbach-Bahl et al., 1997; Yu et al., 1997; Chanton et al., 1997; Linqvist et al., 2012; Kraus et al., 2016). The aerenchyma enables the transport of gases both from the atmosphere to the root rhizosphere and from the soil to the atmosphere. Aerenchyma which are well developed intracellular air-spaces in the leaf blades and sheaths, culm, and roots, provide the plant roots with oxygen (O<sub>2</sub>) in flooded anaerobic soil condition and also allows the transport of other gases, including CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> from the soil to the atmosphere providing an efficient gas exchange between the atmosphere and anaerobic soils (Neue et al., 1996). The internal structure of the aerenchyma, concentration gradients and diffusion coefficients of the rice plant roots, number of tillers, total biomass, rooting pattern, root mass and metabolic activity, influence the gas flux. Different rice varieties and at different growth and developmental progress, results in the variation in the total GHG emissions among the varieties (Zheng et al., 2014).

## 2.6. Factors affecting GHG emissions from Rice Production

Factors controlling GHG emissions from rice paddy fields include water management strategies, climatic conditions; Khalil et al., 1998), rice cultivars (Shalini-Singh et al., 1997; Khalil et al., 1998), physical, chemical and biological properties of the soil, organic matter amendments, plant physiology and quantity of organic residues.

### 2.6.1. Water Management

Climate change; which is an effect of the increasing greenhouse gas in the atmosphere, leads to immediate and long term impacts on water resources. Little to no rainfall affects crop production especially rice production as rice is a high water intensive crop. Proper agricultural practices such as water management will assist in transforming and ensuring food security (Aruna, 2014).

Water management in rice paddy fields not only saves on the water used for irrigation, but has been identified to be one of the most important factors in regulating CH<sub>4</sub> and N<sub>2</sub>O emissions (Peng et al., 2011). Over the years, different water saving irrigation technologies such as: alternate wetting and drying, mid-season aeration, intermittent irrigation, have been studied mainly in East and South-East Asia (Cai et al., 1997).

During flooding, molecular oxygen (O<sub>2</sub>) trapped in the soil is quickly consumed, microorganisms then use alternative electron acceptors during respiration leading further to soil reduction. The reduction of electron acceptors (e.g. NO<sub>3</sub><sup>-</sup>, Mn<sup>4+</sup>, Fe<sup>3+</sup>, SO<sub>4</sub><sup>2-</sup>, and CO<sub>2</sub>), in accordance with thermo-dynamic theory, creates anaerobic conditions in the soils. The redox potential in the soil drops suddenly leading to methanogenesis.

In flooded soils, succession of electron acceptor usage is as follows:

- Aerobic respiration:  $\frac{1}{2} \text{O}_2 + 2\text{e}^- + 2\text{H}^+ \rightarrow \text{H}_2\text{O}$  (by facultative anaerobes and aerobes)
- Denitrification:  $2\text{NO}_3^- + 12\text{H}^+ + 10\text{e}^- \rightarrow \text{N}_2 + 6\text{H}_2\text{O}$  (by denitrifiers)

- Manganese reduction:  $\text{MnO}_2 + 4\text{H}^+ + 2\text{e}^- \rightarrow \text{Mn}^{2+} + 2\text{H}_2\text{O}$  (by manganese reducing bacteria)
- Iron reduction:  $\text{Fe}(\text{OH})_3 + 3\text{H}^+ + 2\text{e}^- \rightarrow \text{Fe}^{2+} + 2\text{H}_2\text{O}$  (by iron reducing bacteria)
- Sulfate reduction:  $\text{SO}_4^{2-} + 10\text{H}^+ + 8\text{e}^- \rightarrow \text{H}_2\text{S} + 4\text{H}_2\text{O}$  (by sulfate reducing bacteria)
- Methane production:  $\text{CO}_2 + 8\text{H}^+ + 8\text{e}^- \rightarrow \text{CH}_4 + 2\text{H}_2\text{O}$  (by methanogens)

Under anaerobic and reduced soil conditions, methanogens produce  $\text{CH}_4$  through hydrogenotrophic (reduction of  $\text{CO}_2$  by  $\text{H}_2$  i.e.,  $\text{CO}_2 + \text{H}_2 \rightarrow \text{CH}_4 + \text{H}_2\text{O}$ ) and aceto-trophic (fermentation of acetate  $\text{CH}_3\text{COOH} \rightarrow \text{CH}_4 + \text{CO}_2$ ) are the dominant reactions in methanogenesis (Jain et al., 2004). This process of soil reduction leads to the stabilization of the soil pH to near neutral. For example the process of electron acceptors reduction in the soils tends to stabilize the soil pH to neutral accelerating methane production rates since methanogens are more active at neutral pH (6.5 - 7.5) (Jain et al., 2004).  $\text{CH}_4$  emissions occur at soil redox potential lower than approximately -100mV while  $\text{N}_2\text{O}$  emissions occur at soil redox potential lower than approximately +200mV. Water management practices that retain redox potential within the range of -100 to 200mV can minimize the emissions of these gases.

However, in dry soils after draining, the electron acceptors are regenerated lowering or completely cutting-off  $\text{CH}_4$  production (Minamikawa & Sakai, 2005; Sakai, 2006). The methanotrophic archaea in the soil transform  $\text{CH}_4$  to  $\text{CO}_2$  by oxidation process. Appropriate water management in rice fields is the most effective mitigation options in reducing  $\text{CH}_4$  emissions without affecting yield (Minamikawa et al., 2006).

### **2.6.2. Physical and Chemical Soil Characteristics**

Soil properties: soil texture/percolation rate, soil pH, salinity, contents of soil organic carbon as well as electron acceptors control GHG emissions from the paddy rice fields (Minamikawa et al., 2006). The population of methanogens ( $\text{CH}_4$  production) and methanotrophs ( $\text{CH}_4$  oxidation) varies among soils (Sakai, 2006). Soil texture and pH play



an important role in the production of GHGs in rice fields. For pH, methane producing archaea are neutrophilic hence soil conditions which are acidic or alkaline inhibit methane production (Mitra et al., 2002 ; Jain et al., 2004).

A study carried out in the Philippines by Gaunt et al., (1997), showed that production of methane in rice fields was influenced by the presence of organic substrate as well as reduction characteristics of each soil. In a study by Yagi & Minami, (1990), different soils under similar climatic conditions showed varying methane emission rates annually with high emissions being observed in the soils with lower percolation rates. With increased percolation rate, methane emissions decreased according to a study by Yagi et al. (1998). The nature of the clay also affects methane emissions since some clay types protect organic matter from mineralization delaying methanogenesis (Le Mer & Roger, 2001). High clay content tend to trap CH<sub>4</sub> hence reducing emissions in certain study (Roger & Joulain, 1997). Soils rich in lattice clay favor methanogenesis than sandy and loamy soils.

## **2.7. GHG and water-use mitigation options from Rice Production**

Several studies over time have identified factors such water management, organic amendments, fertilizer management, changes in traditional practices, and improved rice cultivars as promising candidates and technologies for mitigation of GHG emissions from rice fields but each study has yielded varying outcomes among regions. For example, estimates of methane emissions from rice paddies vary greatly and have large uncertainties (Yan et al., 2003; Khalil et al., 2008; Zhuang et al., 2009) due to differences in agricultural activities and environmental factors that impact the production, oxidation and emissions of methane. It has been observed in various researches that methane emissions also show distinct diurnal as well as spatial variations (Neue et al., 1997; Xu, Jaffé, & Mauzerall, 2007). A wide range of daily CH<sub>4</sub> emissions (< 0.01 - 1.44 g CH<sub>4</sub> m<sup>-2</sup> day<sup>-1</sup>) have been reported in different studies (Roger & Joulain, 1997). Some of these options which hold positive as well as negative prospects in reducing GHG emissions as well as reducing water use from rice production are summarized in Table 2.1.

**Table 2.1: Mitigation options which hold positive as well as negative prospects in reducing GHG emissions from rice fields**

<i>GHG</i>	<i>Mitigation Options</i>	<i>Remarks</i>
CH <sub>4</sub>	Water management	Appropriate water management in rice fields, increases or maintains crop yield (Minamikawa et al., 2006) as well as reducing CH <sub>4</sub> emissions. i.e., Alternate wetting and drying has been found to reduce CH <sub>4</sub> emissions 48%-93% (Qin et al., 2010; Linqvist et al., 2015b; Xu et al., 2015) however a trade-off between CH <sub>4</sub> and N <sub>2</sub> O has been observed (Yang et al., 2013)
	Improving organic matter management	Reducing the use of organic matter (i.e rice straw) or management of organic matter by promoting aerobic decomposition, composting (Yagi & Minami, 1990) or proper timing of application has shown a reduction in CH <sub>4</sub> emissions from rice fields
N <sub>2</sub> O	Improving N fertilizer application	Application of only what the crop requires (Minamikawa et al., 2006) by providing an adequate amount of N to attain optimal yields (Linqvist et al., 2012)
	Water management	Water-saving technologies must be accompanied by good nutrient management by reducing wastage of fertilizer reduces nitrous oxide emissions (IRRI, 2018)
	Introduction of advanced N fertilizers or inhibitors	Introduction of nitrification inhibitor i.e., Dicyandiamide (DCD) has shown low emissions of both CH <sub>4</sub> and N <sub>2</sub> O (Linqvist et al., 2012)
CO <sub>2</sub>	Water management No or minimum tillage, Increasing C	Drying and re-wetting cycles, No or minimum tillage as well as increasing C input have been found to have a pronounced effect on soil CO <sub>2</sub> fluxes (Borken et al.,

<i>GHG</i>	<i>Mitigation Options</i>	<i>Remarks</i>
	input i.e., organic amendments	2009; Wu et al., 2010). Liu et al., (2013), Haque et al., (2014) and Y. Xu et al., (2015b), in their findings, observed that CO <sub>2</sub> emissions increase with reduction of irrigation water and reduce with increase in flood water in the rice fields.

### **2.7.1. Alternate wetting and drying (AWD) as a GHG mitigation option**

Alternate drying and wetting (AWD) could be one of the practices for achieving the goal of sustainable rice production while minimizing GHG emissions from rice fields. Alternate wetting and drying is based on draining; by allowing water levels to decline modestly below the soil surface with time, and re-flooding the rice fields repeatedly throughout the growing season.

Flooded rice paddy fields create anaerobic conditions in the saturated soils conducive for methanogenic archaea activities due to the temperate conditions, high moisture and high organic matter content (Khalil et al., 1998). According to (Linguist et al., 2015), rice emits approximately four times as much greenhouse gas (GHG) per ton of product than wheat or maize; mainly due to CH<sub>4</sub> emissions. Flooded rice paddy fields accounts for 9 - 11% of total anthropogenic CH<sub>4</sub> emissions from agriculture (Smith et al., 2014).

AWD has been found to reduce CH<sub>4</sub> emissions (reductions ranging from 11% to 98%), while improving or maintaining rice yield when compared to the conventional rice production practice (Qin, Liu, Guo, Liu, & Zou, 2010; Richards et al., 2014; Xu et al., 2015b; Linguist, et al., 2015). The reduction of CH<sub>4</sub> is attributed to the draining cycle since the methanogenic archaea are affected due to altering of soil water content. AWD changes the population and composition activity of the methanogenic archaea since methanogens are more active in flooded soil conditions as compared to dry soil (Xu et al., 2007 ; Zhang et al., 2011). Draining of the paddy fields decreases CH<sub>4</sub> emissions not only

due to the methanogens growth suppression but also due to the increase in the population of methanotrophic archaea (Fazli et al., 2013).

However, the AWD method is known for its drawback, which is based on the inverse relationship between methane (CH<sub>4</sub>) and nitrous oxide (N<sub>2</sub>O) emissions from rice fields. In brief, during a drying cycle the soils are temporarily aerated which reduces CH<sub>4</sub> emissions, but the aeration also results in nitrification and subsequent denitrification and thus increased N<sub>2</sub>O emissions, which may negate or even exceed any mitigation potential from the reduced CH<sub>4</sub> emissions.

These increased N<sub>2</sub>O fluxes are thought to be caused by nitrification of nitrate (NO<sub>3</sub><sup>-</sup>) during the aeration stage, while the subsequent flooding provides ideal conditions for denitrification, of which N<sub>2</sub>O is an intermediary product (Hou et al., 2000; Khalil et al., 2009; Linquist et al., 2012, Xu et al., 2015). These increased N<sub>2</sub>O emissions may negate or even exceed any mitigation potential from the reduced CH<sub>4</sub> emissions (Zou et al., 2007; Wang et al., 2011; Lagomarsino et al., 2016).

### **2.7.2. Alternate wetting and drying (AWD) as water saving irrigation method**

Water is an important natural resource that is rapidly becoming scarce mainly as a result of climate change, the growing population and agriculture intensification (Rijsberman, 2006). The ability for the world to meet the increasing food demand is challenged by water scarcity (Hanjra & Qureshi, 2010). Around the world, pressure to reduce water used in irrigation is mounting (Thakur et al., 2016). This is attributed to the fact that; at global level, agriculture accounts for approximately 70 - 80% freshwater abstraction and of this about 85% is used in rice (*Oryza sativa L*) production systems (Jain et al., 2014). For more than half of the world's population, rice is an important staple crop (FAO, 2013). With high rice production to meet global demands; water use is enormous (Bruderle et al., 2009). According to Bouman & Tuong, (2001), water-use per growing season of rice typically ranges from 1000 - 2000 mm, which corresponds to 2 - 3 times more than other

cereal crops. However, a large amount of this water is lost through surface runoffs, deep percolation, evapotranspiration and seepage (Guerra et al., 1998).

Water scarcity arising from factors such as higher competition for water from other non-agricultural sectors, climate change and natural rainfall variability increasingly challenge global rice production (Kraus et al., 2016). With limited fresh water resources, the conventional rice production practice, with its heavy reliance on a continuous supply of water for irrigation, is likely unsuitable.

One mitigation strategy, which over the years has been promoted to not only reduce irrigation water use in rice cultivation, but has also been found to reduce GHG emissions, while improving or maintaining rice yield is the alternate wetting and drying (AWD) irrigation method (Richards & Sander, 2014; Linqvist et al., 2015a; LaHue, Chaney, Adviento-Borbe, & Linqvist, 2016). Alternate wetting and drying (AWD) water saving irrigation method is one way that can be used to reduce the unproductive outflows from rice paddies and increase water productivity.

## **2.8. Research gap**

To address the paucity of data on GHG fluxes from Kenyan rice production as well as the lack of studies investigating AWD and CF on different soil types, we quantified CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub> emissions from rice production under both irrigation managements and on two different soil types that are commonly found in Mwea Irrigation Scheme. The aim of the study was mainly to quantify differences in GHG emissions from AWD and CF fields on two different soil types and to derive yield scaled GHG emissions for each of the four treatments. The study reports quantified greenhouse gas emissions, GWP and yield-scaled GWP from rice fields in Kenya and highlights the importance of water management strategies for the simultaneous benefits of increased/maintained yields, efficient water-use and contribution to global agriculture greenhouse gas mitigation. Also, little data is available on the correlation between soil physical, chemical and biological properties and

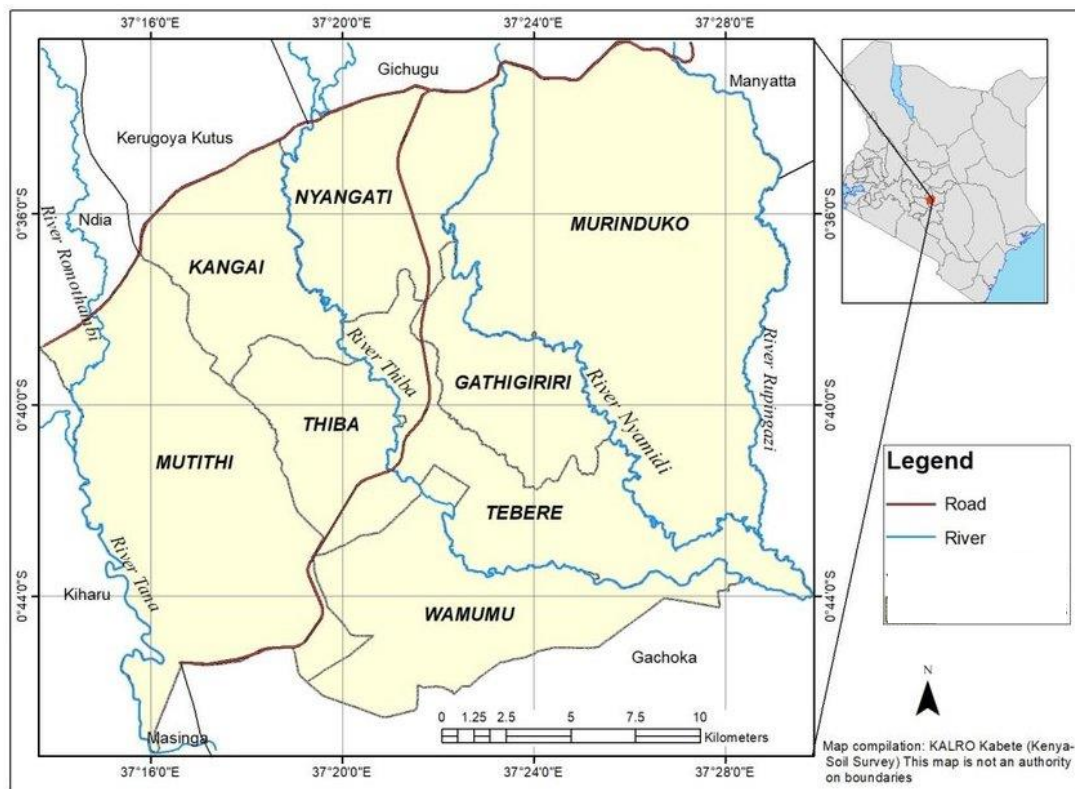
GHG emissions from rice fields in sub-Saharan Africa (SSA). As management effects on the GHG balance tend to be site specific, it is critical to measure GHG emissions from rice production systems in Kenya to determine whether changes in water management will result in increased, similar or decreased GHG emissions. In order to identify mitigation options that suit local conditions, it is important to quantify these GHG emissions from rice fields in the country.

## CHAPTER THREE

### MATERIALS AND METHODS

#### 3.1. Experimental Site Description

This study was carried out within the Mwea irrigation scheme (MIS) established by the Government of Kenya and managed by the National Irrigation Board. The scheme is located on the lower slopes of Mt. Kenya, Kirinyaga County in the central part of Kenya.



**Figure 3.1: Base map of the Mwea rice scheme, Kenya**

*Source: (Nakhungu, Margaret, Deborah, & Peterson, 2019)*

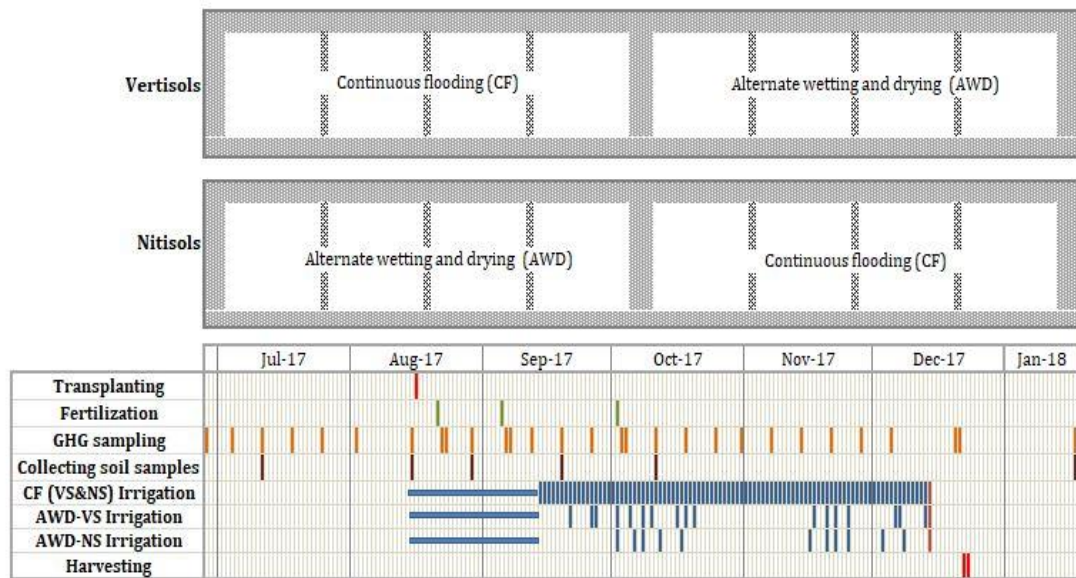
It lies between latitudes 37°13'E and 37°30'E and longitudes 0°32'S and 0°46'S (Figure 3.1). The MIS is divided into five sections: Tebere (the largest single section), Thiba, Mwea, Wamumu and Karaba (Muhunyu, 2012). The experiment was established within the Tebere section at the Kirogo-Mwea research farm managed by Kenya Agriculture and Livestock Research Organization (KALRO) (00°38S; 37°22E; elevation 1159m.a.s.l.).

The dominant soils in the Tebere section, are pellic vertisols (characterized by imperfectly drained, very deep, dark grey to black, firm to very firm, boulder and stony, cracking clay) and verto-eutric nitisols (which are characterized by well drained, very deep, dark reddish brown, friable to firm clay) (Frederick & Owido, 1981). Within the research farm, the dominant soil is the verto-eutric nitisols; however, the pellic vertisols were brought in the research farm in 2015 to be used for various rice researches within the farm.

### **3.2. Experimental Treatments and Design**

The experiment was laid out as split plot with soil type as the main plot and water regime as the subplot. The two soil types were vertisols (VS) and nitisols (NS). The two water regimes were alternate wetting and drying (AWD) and continuous flooding (CF). The area of each subplot (Vertisols under continuous flooding -CFVS, Vertisols under alternate wetting and drying - AWDVS, Nitisols under continuous flooding - CFNS, nitisols under alternate wetting and drying - AWDNS) was 105.3m<sup>2</sup>. The experiment layout within the research farm is shown in Figure 3.2.





**Figure 3.2: Schematic field layout and timeline in days / months when certain events were done for the duration of the experiment at the Kirogo Rice Research Farm within the Mwea Irrigation Scheme, Kenya**

*Source: Jane Gitonga, 2017*

The water regimes in VS were separated by a masonry wall 0.3 m wide and 0.4 m above the soil surface and 1 m deep (Figure 3.3) which was also lined with a 250-gauge black polyethylene sheeting to minimize water flow between the water regimes. In the NS, water management regimes (AWD and CF) were 1m apart from each other. The water management regimes in the NS were lined with PVC corrugated sheets: 1.5 m deep and raised 0.4m above the soil surface (Figure 3.4), and also lined with a 250-gauge black polyethylene sheeting to minimize water flows between the regimes.



**Figure 3.3: Masonry wall separating AWD and CF water regimes in the VS soil. Basmati 370 rice variety 5 days after transplant during basal fertilizer application**

*Source: Jane Gitonga, 2017*



**Figure 3.4: PVC corrugated sheets in the Nitisols. The AWD and CF plots were 1m apart from each other**

*Source: Jane Gitonga, 2017*

### 3.3. Field Management

Land preparation for both soil types was standard wet tillage and harrowing. This involved submerging the fields for 7 days, then conducting manual breaking up of the soil. This was followed by wet harrowing and puddling. The fields were then leveled using a wooden leveling bar. The rice seedlings were prepared by first soaking the seeds for three days. The pre-geminated seeds were then sown in the nursery which was not flooded but kept moist. Basmati 370 rice seedlings were manually transplanted (two plants per hill) into puddled soils at a spacing of 0.30 m x 0.15 m on 16 August 2017. Basal fertilizer was applied five days after transplanting in all subplots by broadcasting N:P:K 17:17:17 (N in the form of ammonium) at a rate equivalent to 25 kg N ha<sup>-1</sup>. Ammonium sulphate was applied as a top dressing at a rate equivalent to 25 kg N ha<sup>-1</sup> 20 and 48 days after transplant (DAT) on 05 September and 02 October, 2017, respectively.

All subplots were flooded to 0.03 m until 30 DAT. In the CF treatment, the water level was then increased to about 0.10 m height. For the AWD, the water level was allowed to subside via percolation and evapotranspiration, and the plots were irrigated only when the water table dropped to about 0.20 m below the soil surface. The AWD subplots were then re-flooded to a water level of about 0.05 m above soil surface. To monitor and measure water table depth in the AWD subplots, three field water tubes (0.1 m diameter plastic pipe cut into 0.3 m lengths and perforated with holes from 0.1 m to the 0.2 m mark on the lower circular surface) were embedded in each subplot for the entire crop growing season.

The water table depth in the AWD subplots was monitored and measured from the installed field water tubes on sampling days using a measuring ruler. The average of the three field water tubes gave the approximate depth of the water table of the entire subplot. The water level above the soil surface in the CF subplots was also determined using a measuring ruler. The plots were irrigated using a pipeline system from an existing borehole on the research farm or from pumping water from the unlined open water channels within the research farm. The two water sources were equipped with a water

flow meter to measure water input in each treatment plot from transplanting to drainage. The exact dates when various activities in the experimental farm were undertaken are indicated in Table 3.1.

**Table 3.1: Calendar of events for the duration of the experiment**

<b>Event</b>	<b>CF</b>	<b>AWD</b>
Soaking of seeds	Soaking of Basmati 370 seeds was done on 23.07.17	Soaking of Basmati 370 seeds was done on 23.07.17
Seed sowing	The seeds were sown in the nursery on 26.07.17	The seeds were sown in the nursery on 26.07.17
Land preparation	Fields submerged for 7 days, then conducting manual rotavation, followed by wet harrowing and puddling and leveling from 02.08.17 to 14.08.17	Fields submerged for 7 days, then conducting manual rotavation, followed by wet harrowing and puddling and leveling from 02.08.17 to 14.08.17
Transplanting	Pre-germinated seedlings were manually transplanted at a spacing of 30 cm x 15 cm on 16.08.17	Pre-germinated seedlings were manually transplanted at a spacing of 30 cm x 15 cm on 16.08.17
Fertilizer application	Basal application on 21.08.17  1 <sup>st</sup> and 2 <sup>nd</sup> top dressing were applied on 05.09.17 and 02.10.17 respectively	Basal application on 21.08.17  1 <sup>st</sup> and 2 <sup>nd</sup> top dressing were applied on 05.09.17 and 02.10.17 respectively
Water management	Flooded to about 3cm until 30DAT to allow crop establishment.	Flooded to about 3cm until 30DAT to allow crop establishment.

<b>Event</b>	<b>CF</b>	<b>AWD</b>
	Continuously flooded with 10cm water from 15.09.17. Irrigation stopped a week before harvest on 14.12.17.	AWD started on 15.09.17. Irrigation stopped a week before harvest on 14.12.17.
Harvesting	Harvest was done on 22-23.12.17	Harvest was done on 22-23.12.17

DAT – Days after Transplant

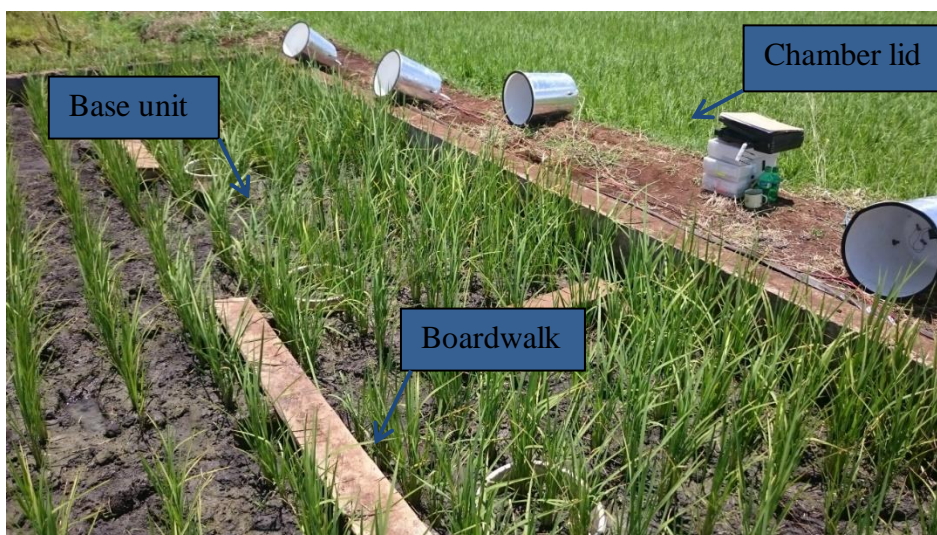
The soil temperature (°C) and volumetric water content ( $\text{m}^3 \text{m}^{-3}$ ) at 0.05 m depth of soil adjacent to sampling chambers were measured using a hand-held digital sensor (ProCheck, Decagon Devices Inc., Pullman WA, USA). Irrigation in both treatments was discontinued a week before harvesting, to allow for maximum transfer of nutrients to the grains according to Wanjogu et al. (1995). Rainfall and air temperature data were collected from a weather station located in the research farm. Harvesting occurred on 22<sup>nd</sup> and 23<sup>rd</sup> December 2017.

### **3.4. Greenhouse Gas Sampling and Analysis**

Greenhouse gas (GHG) fluxes were measured using static GHG chambers (Butterbach-Bahl et al., 2011). The chambers consisted of two components: circular base units and chamber lids (Figure 3.5). First, circular base units (0.30 m in diameter) were placed 0.05 m into the soil with approximately 0.15 m of the collar remaining above the soil surface. These bases were left in the soil throughout the entire sampling period, only being removed during land preparation. A hole was drilled in the upper side of the bases and equipped with sealable tubes which remained open to allow water movement into the bases unit during irrigation.

The second component was the chamber lid, which consisted of a white, 30-L PVC bucket equipped with a rubber seal, a sampling port, a vent tube, a battery driven fan, and a

thermometer port. The rubber seal was used to ensure a gas tight seal during chamber deployment, while the vent tube was used to equalize pressure between the inside and outside of the chamber. The fan ensured air mixture to avoid potential gas gradients in the chamber during sampling (Butterbach-Bahl, Kiese, & Liu, 2011) and the sealed thermometer port allowed the monitoring of the chamber headspace air temperature during deployment. Boardwalks were installed in the plots to avoid disturbing the soils during sampling (Figure 3.5).

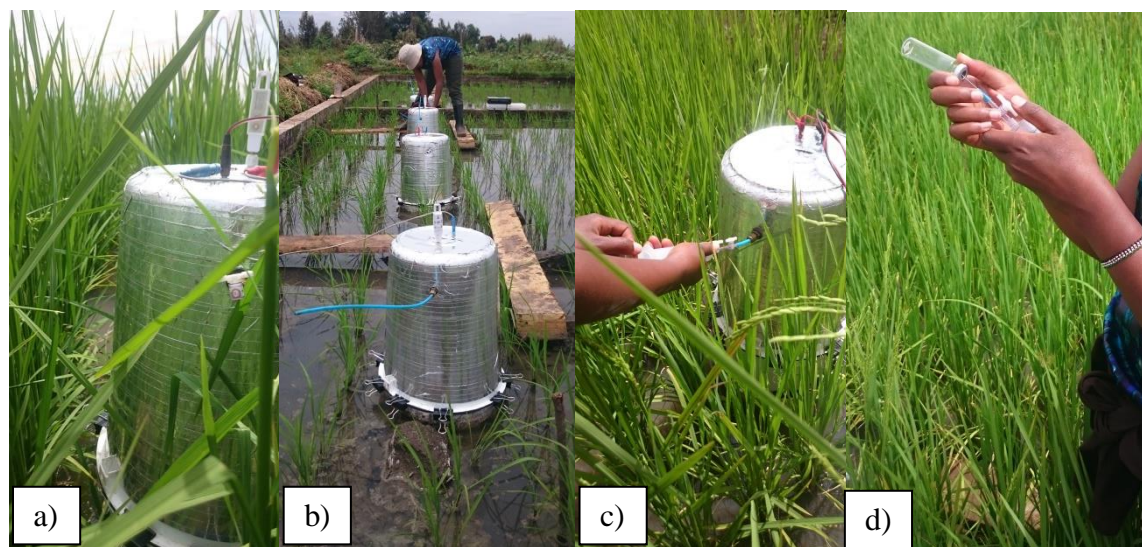


**Figure 3.5: Base units installed in the AWD-VS subplot, chamber lids and boardwalks in preparation for sampling**

*Source: Jane Gitonga, 2017*

Sampling of the chamber headspace commenced immediately after deploying the chamber lid on top of the respective base unit (Figure 3.6a) and sealing with binder clips (Figure 3.6b). Sampling started at about 0900h and ended at about 1400h each sampling day. Gas samples were collected from each chamber by inserting a needle connected to a 60 ml plastic syringe with a luer-lock (Figure 3.6c) immediately upon deployment (T<sub>0</sub>) of the chamber lids onto the base units, and after 10, 20 and 30 minutes (T<sub>10</sub>, T<sub>20</sub> and T<sub>30</sub>

respectively). A total of 4 samples were collected from each chamber. Each sample was immediately transferred into a 20 ml pre-evacuated glass vial (Figure 3.6d). The vials were sealed with butyl rubber septa to avoid gas losses prior to gas analysis and were over-pressurized to reduce the potential for contamination with ambient air.



**Figure 3.6: Sampling procedure; a) placing the chamber lids, b) sealing with binder clips, c) inserting a needle connected to a 60 ml plastic syringe, d) transferring gas samples in 20 ml pre-evacuated glass vials**

*Source: Jane Gitonga, 2017*

Each chamber base accommodated three rice hills with two rice plants per hill. Sampling started a month before seedling transplantation in the fallow season and continued every once a week from transplant to harvest. However during fertilization and drainage, sampling was done twice per week. A final sampling was carried out after harvest on 17<sup>th</sup> January 2018.

All gas samples were analyzed within a week from the day of sampling at the Mazingira Centre within the International Livestock Research Institute (ILRI) in Nairobi, Kenya.

Alongside the headspace sampling, the water height and base unit height were also measured from four points within the base unit using a tape measure to obtain the headspace volume for each chamber at each sampling date. Furthermore, chamber headspace air temperatures were measured at the beginning, middle and end of sampling.



**Figure 3.7: Gas chromatograph - SRI 8610C at Mazingira centre lab, ILRI**

*Source: Jane Gitonga, 2017*

The GHG concentrations ( $\text{CH}_4$ ,  $\text{N}_2\text{O}$  and  $\text{CO}_2$ ) were determined using a gas chromatograph (GC) - SRI 8610C 2.74m Hayesep-D column (Figure 3.7). The GC was fitted with a flame ionization detector (FID) for  $\text{CH}_4$  and  $\text{CO}_2$  ( $\text{CO}_2$  passed through a methanizer) and also Ni-electron capture detector (ECD) for  $\text{N}_2\text{O}$  with 20 mL/min flow rate for the Nitrogen ( $\text{N}_2$ ) carrier gas (Pelster et al., 2017). The electron capture detector was set at 340°C, and the flame ionization detector was set at 350°C. The GHG fluxes from the rice fields were calculated from the rate of concentration change in the chamber headspace over time.



The fluxes were corrected for mean chamber temperature and air pressure, as shown in (Equation 1).

$$F = \left(\frac{\Delta c}{\Delta t}\right) \times \left(\frac{V}{A}\right) \times \left(\frac{M}{V_m}\right) \quad (\text{Equation 1})$$

where F is the CH<sub>4</sub> (mg CH<sub>4</sub>-C m<sup>-2</sup> h<sup>-1</sup>), CO<sub>2</sub> (mg CO<sub>2</sub>-C m<sup>-2</sup> h<sup>-1</sup>) and N<sub>2</sub>O (μg N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup>) flux, (Δc/Δt) is the rate of increase of each gas concentration over time, V is the volume of the chamber headspace (m<sup>3</sup>), A is the surface area of chamber (m<sup>2</sup>), M is the molar mass of the element (N for N<sub>2</sub>O, C for CH<sub>4</sub> and CO<sub>2</sub>) and V<sub>m</sub> is standard gaseous molar volume (m<sup>3</sup> mol<sup>-1</sup>) corrected for temperature and atmospheric pressure considering the ideal gas law.

The minimum detection limit computed according to Parkin et al., (2012) was on average 0.013 CH<sub>4</sub>-C mg m<sup>-2</sup> h<sup>-1</sup>, 1.995 N<sub>2</sub>O-N μg m<sup>-2</sup> h<sup>-1</sup> and 1.702 CO<sub>2</sub>-C mg m<sup>-2</sup> h<sup>-1</sup>. The cumulative GHG emissions from each sub-plot were calculated using linear interpolation between the individual sampling days. During the crop growing season, the presence of rice plants in the chambers during sampling meant that measured CO<sub>2</sub> included both plant respiration CO<sub>2</sub> and soil respiration as well. The CO<sub>2</sub> equivalent (CO<sub>2</sub>-eq) for CH<sub>4</sub> and N<sub>2</sub>O emissions was calculated using (Equation 2):

$$CO_2eq = (CH_4 \times 34 + N_2O \times 298) \quad (\text{Equation 2})$$

Where; CO<sub>2</sub>-eq is the CO<sub>2</sub> equivalent (Kg CO<sub>2</sub>-eq ha<sup>-1</sup>), CH<sub>4</sub> is the total amount of methane emission (kg ha<sup>-1</sup>), N<sub>2</sub>O is the total amount of nitrous oxide emission (kg ha<sup>-1</sup>), 34 and 298 are the radiative forcing potentials for CH<sub>4</sub> and N<sub>2</sub>O, respectively (Myhre, Shindell, Bréon, Collins, Fuglestedt, et al., 2013), to CO<sub>2</sub> over a 100-yr time horizon.

### 3.5. Soil Sampling and Analysis

Soil samples for determination of inorganic N concentration (NH<sub>4</sub><sup>+</sup>-N and NO<sub>3</sub><sup>-</sup>-N) were collected from each sampling plot at two points near the chamber frames using a soil auger

(0-20 cm). The soils were manually homogenized before transferring in labeled zip-lock bags. All samples were immediately placed in a cool box with ice and transported to the lab where they were stored in a refrigerator (about 4°C) for fewer than three days before analysis. Soil samples for inorganic N concentration were collected twice before transplant and once in August, October, December and in January 2018 after harvest. For extraction, approximately 20 g of each soil sample were mixed with 100 ml of 2M KCL for one hour on a mechanical shaker.

The  $\text{NO}_3^-$ -N analysis was done by diazotizing with sulphanilic acid and naphthalene sulphonic acid to form a highly coloured dye that was measured colorimetrically using Helios Delta Spectrophotometer (9423 UVG, Thermo Electron Corporation, England), while  $\text{NH}_4^+$ -N concentrations were measured using the green indophenol method (655nm) using the same spectrophotometer. Approximately 30 g of the remaining soil samples were oven dried at 105°C until a constant soil weight was achieved to determine soil water content. After harvest, soil samples to determine soil pH, total C and N content, soil texture analysis and bulk density were collected at each sampling plot at two points near the chamber frames. Soil samples for soil pH, C and N measurements were taken at 0-20cm while for the soil texture, soil samples were collected using a soil auger at various depths (0-10cm, 10-20cm, 20-30cm, 30-50cm). Standard test methods of analyzing these soil properties were used. Soil texture was determined by the hydrometer method (Gee & Bauder, 1979) (Figure 3.8).



**Figure 3.8: Soil texture analysis using the hydrometer method at Mazingira centre lab, ILRI for soils collected at Kirogo Rice Research farm**

*Source: Jane Gitonga, 2017*

Soil pH was measured using a pH meter (Jenway model 3540, Bibby Scientific Ltd, UK) in soil to water ratio of 1:2.5 after shaking the suspension for ten minutes. Soil samples to determine the bulk density taken from each sampling plot at two points near the chamber frames using 100 cm<sup>3</sup> soil core samplers were oven dried at 105°C until constant weight was achieved. Total C and N concentrations were analyzed from ground soil samples using the elemental analyzer (Elementar, vario MAX cube, Germany).

### **3.6. Statistical analysis**

An analysis of variance (ANOVA) was done using R studio (R studio version 3.4.3) to analyze the effects of water regimes, soil type and their interaction on GHG fluxes. Water regimes (AWD and CF) and soil type (Vertisols [VS] and Nitisols [NS]) were treated as fixed factors while the chambers were treated as random factor. Tukey HSD range test was done as a post-hoc multiple comparison test when the analysis of variance was significant at  $P < 0.05$  probability level.

## CHAPTER FOUR

### RESULTS AND DISCUSSION

#### 4.1. Climatic Conditions

The cumulative rainfall received in the fallow season (June 27<sup>th</sup> 2017 - August 5<sup>th</sup> 2017 & 24<sup>th</sup> December 2017 - 17<sup>th</sup> January 2018) and in the crop growing season (16<sup>th</sup> August 2017 - 23<sup>rd</sup> December 2017) was 28.6 mm and 406.5 mm respectively. Rainfall, temperature and relative humidity at the Kirogo Rice Research farm from start (Jun 27<sup>th</sup> 2017) of gas sampling to the end (17<sup>th</sup> January 2018) of the sampling period are as shown in Table 4.1 and Appendix 1.

**Table 4.1: Climatic conditions from June 2017 to January 2018 at the Kirogo Rice Research farm, within the Mwea Irrigation Scheme, Kenya**

Year	Month	Rainfall (mm)	Temperature (°C)	Relative humidity (%)
2017	June*	0.4	21.3	63.3
	July	12.5	20.6	64.2
	August	18.1	21.7	59.2
	September	23.6	22.3	55.6
	October	149.6	23.6	59.0
	November	230.8	21.5	74.6
	December	0.1	21.6	65.9
2018	January**	0.00	21.8	62.1

\*Weather data from (start of sampling) 27<sup>th</sup> June – 30<sup>th</sup> June 2017

\*\*Weather data from 1<sup>st</sup> January – 17<sup>th</sup> January 2018 (last day of sampling)

Rice growing season in Mwea Irrigation Scheme (MIS) is usually from July – December each year, which coincides with the short rains experienced in Kenya in the months of October to November. Average daily air temperature during the sampling period (from fallow season to end of crop growing season) ranged from 19°C to 26°C (Appendix 1).

#### **4.2. Soil Properties**

In this study, soil texture analysis showed that vertisols soil had 69.44% clay content while the sand and silt content were 13.88% and 16.68% respectively. For nitisols the clay, sand and silt contents were 47.59%, 25.08% and 27.33% respectively (Table 4.2). Vertisols had a higher clay content compared to the nitisols, while the nitisols had higher sand and silt content compared to the vertisols. Soils with high clay content have more fine particles and thus can retain more water and nutrients needed by the rice plants (Obasi et al., 2015; Dou et al., 2016). The nitisols had higher sand and silt particles as compared to the vertisols, hence well drained compared to the vertisols. The vertisols are characterized as dark grey to black, poorly drained and cracking clay while the nitisols are characterized as dark reddish brown, well drained and fragile to firm clay (Sombroek, Braun, & van der Pouw, 1982).

Rice is known to prefer slightly acidic soils, but can grow in soils with a pH range of 5-8 (Dhanyac, 2011; Matsuo, Ae, Vorachit, & Thadavon, 2015). The pH of the two soil types was within this range with the nitisols being acidic (pH = 5.7) and the vertisols being neutral pH = 7.2 (Table 4.2). The bulk density of the vertisols ( $0.91 \text{ g cm}^{-3}$ ) was lower than the nitisols ( $1.14 \text{ g cm}^{-3}$ ). Generally soils with finer texture have lower bulk density (Obasi et al., 2015; Dou et al., 2016). Thus, the low bulk density for the vertisols compared to the nitisols can be attributed mainly to the fact that they have finer texture.

**Table 4.2: Soils physical and chemical characteristics for the study site Kirogo Rice Research Farm**

<b>Properties</b>	<b>Vertisols</b>	<b>Nitisols</b>
Sand (%) <sup>*</sup>	13.88 ± 1.39	25.08 ± 5.65
Silt (%) <sup>*</sup>	16.68 ± 2.11	27.33 ± 3.49
Clay (%) <sup>*</sup>	69.44 ± 1.71	47.59 ± 8.41
pH	7.2	5.7
Bulk density (g cm <sup>-3</sup> )	0.91 ± 0.08	1.14 ± 0.04
Total Carbon (%)	1.54 ± 0.08	1.87 ± 0.33
Total Nitrogen (%)	0.08 ± 0.004	0.12 ± 0.03
C:N Ratio	20.47	15.74
NO <sub>3</sub> <sup>-</sup> - N <sup>**</sup>	0.25 ± 0.05	0.22 ± 0.10
NH <sub>4</sub> <sup>+</sup> - N <sup>**</sup>	2.30 ± 0.55	3.63 ± 0.16

<sup>1</sup>Numbers in the table represent means ± standard deviation (n=4)

<sup>2</sup> \*Soil samples for soil texture analysis were taken from 0-50cm depth

<sup>3</sup> \*\*Soil samples for NO<sub>3</sub><sup>-</sup> - N and NH<sub>4</sub><sup>+</sup> - N were taken from 0-20cm depth

The total carbon (C) content in both soils was higher than the total nitrogen (N) content. However, the vertisols had a higher C:N ratio compared to the nitisols. The vertisols had slightly higher NO<sub>3</sub><sup>-</sup> - N compared to the nitisols, but lower NH<sub>4</sub><sup>+</sup> - N compared to the nitisols (Table 4.2).

The mean soil temperature (°C), mean volumetric soil water content (m<sup>3</sup>m<sup>-3</sup>) and water table ranges (cm) over the crop growing season from transplant to harvest are shown in Table 4.3. Soil water content in all subplots increased drastically when irrigation started at the beginning of the rice growing season (Figure 4.1a). However after seedling transplanting, the soil water content remained relatively constant throughout the crop growing season. Soil temperature in all plots showed undulant fluctuation in both the fallow and rice growing season (Figure 4.1b). There was no significant difference in soil temperatures from all the subplots over the sampling period (Table 4.3).

**Table 4.3: Mean volumetric soil water content, mean soil temperature and water table ranges for each treatment during the rice growing season at the Kirogo Rice Research farm**

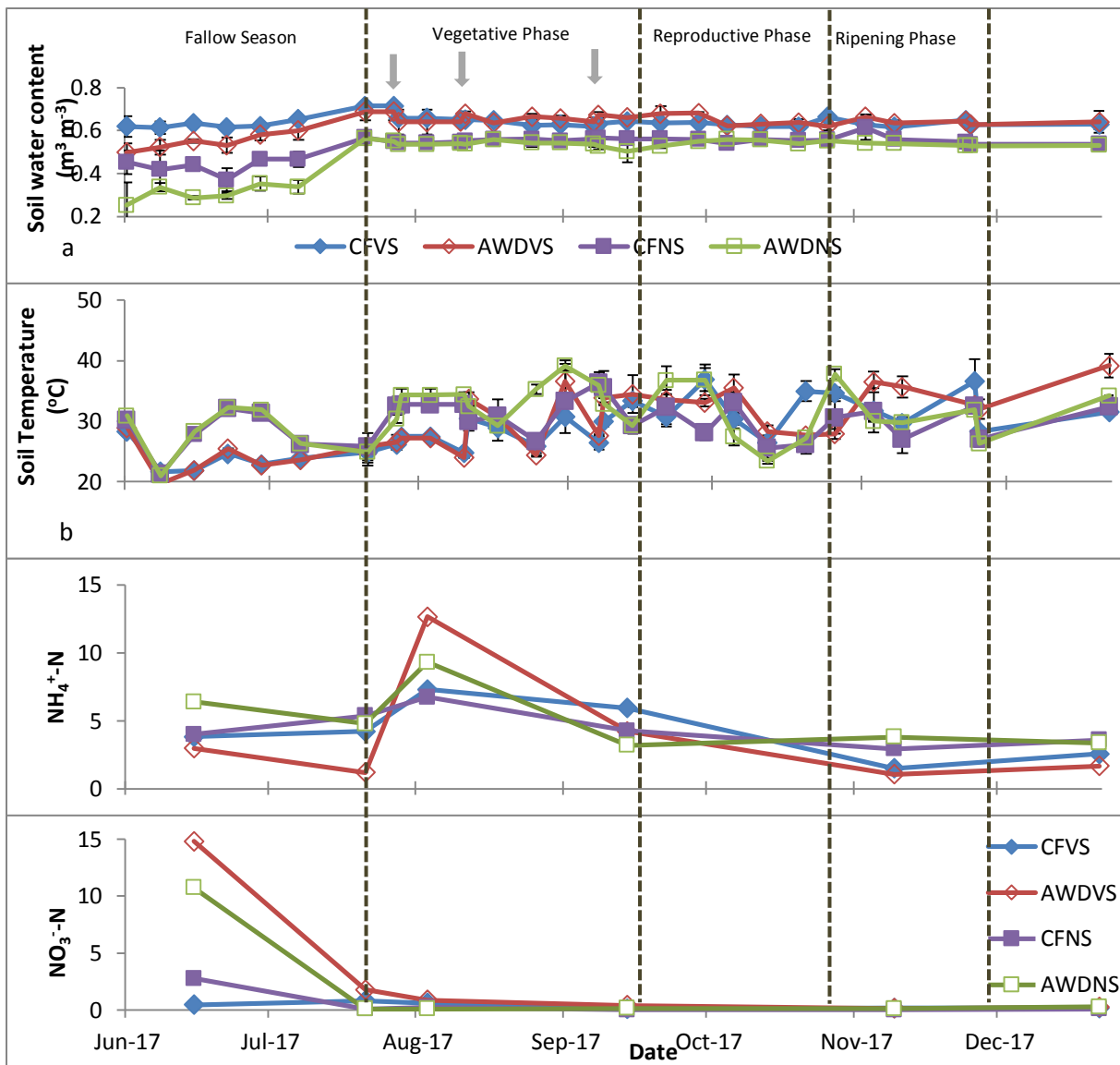
Treatment	Mean volumetric soil water content <sup>1</sup> m <sup>3</sup> m <sup>-3</sup>	Mean soil temperature <sup>2</sup> °C	Water table range <sup>3</sup> cm
CF-VS	0.64 <sup>a</sup> ± 0.005	29.4 <sup>ns</sup> ± 1.00	3.0 – 10.0
AWD-VS	0.63 <sup>a</sup> ± 0.009	30.1 <sup>ns</sup> ± 1.02	-20.0 – 5.0
CF-NS	0.53 <sup>b</sup> ± 0.010	30.1 <sup>ns</sup> ± 0.64	3.0 – 10.0
AWD-NS	0.49 <sup>b</sup> ± 0.018	31.2 <sup>ns</sup> ± 0.83	-20.0 – 5.0

CF-VS – Vertisols under continuous flooding, AWD-VS – Vertisols under alternate wetting and drying, CF-NS – Nitisols under continuous flooding, AWD-NS – Nitisols under alternate wetting and drying

<sup>1&2</sup>Means ± SE followed by the same letter are not significantly different at P < 0.05

<sup>3</sup>Numbers are ranges of the water table level in each treatment over the crop growing season

<sup>ns</sup> no significance at P < 0.05



**Figure 4.1: Mean volumetric soil water content ( $m^3m^{-3}$ ), mean soil temperature ( $^{\circ}C$ ), Ammonium ( $NH_4^+$ ) and Nitrate ( $NO_3^-$ ) during the rice growing season at the Kirogo Rice Research farm**

<sup>1</sup>Every data point is an average ( $n = 4$ ) measured at 5cm depth in soil adjacent to sampling chambers;

<sup>2</sup>Soil samples for  $NO_3^- - N$  and  $NH_4^+ - N$  were taken from 0-20cm depth;

<sup>3</sup>CF – Continuous flooding, AWD – Alternate wetting and drying, VS – Vertisols, NS – Nitisols;

<sup>4</sup>Vertical dashed lines corresponds to the period of sampling during the fallow and the growing season;

<sup>5</sup>Bars indicate standard deviation of four points

<sup>6</sup>Arrows (left – right) denotes basal application, 1<sup>st</sup> top dressing and 2<sup>nd</sup> top dressing respectively.

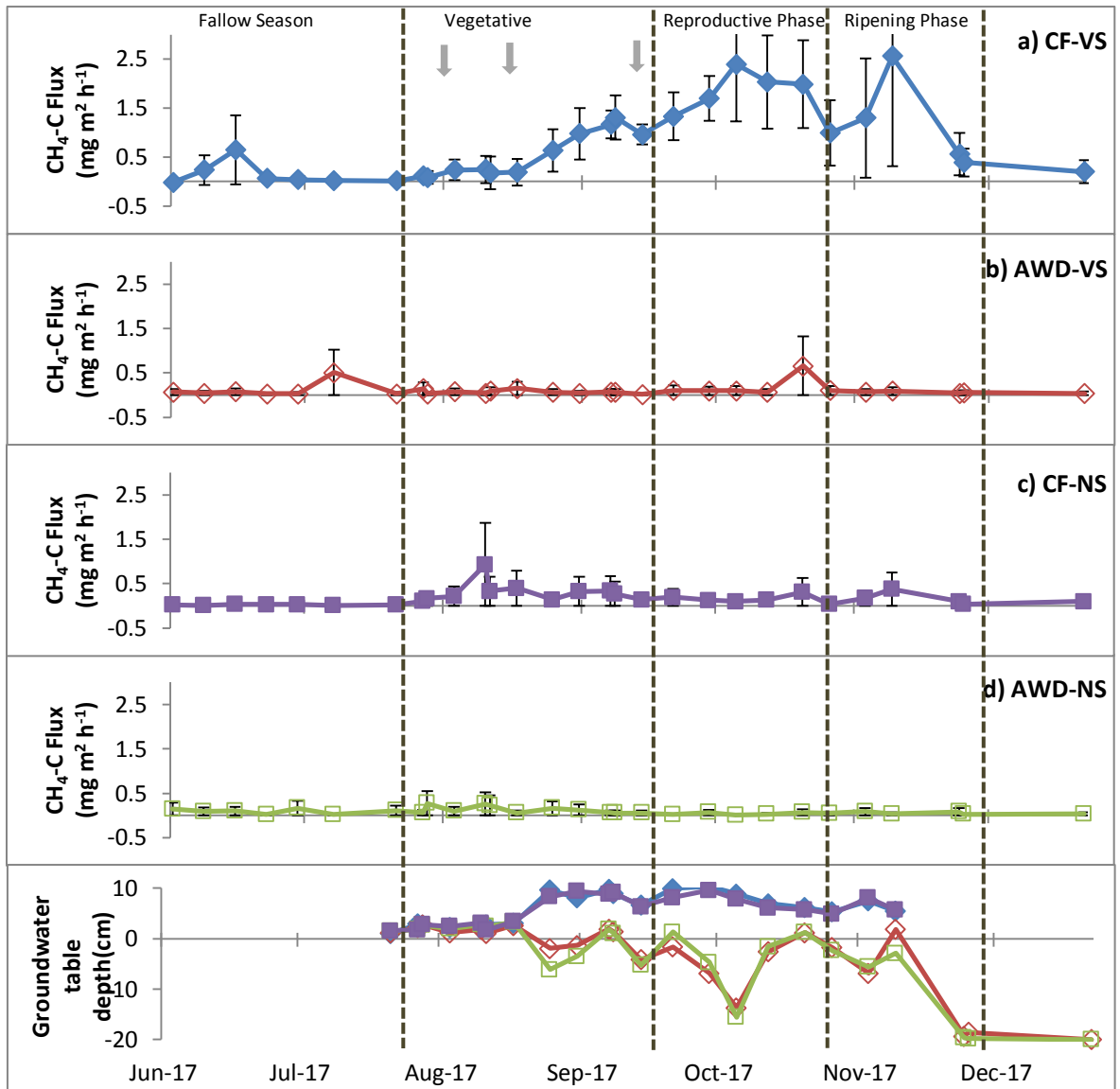


### 4.3. Greenhouse Gas Emissions

#### 4.3.1. Methane Emissions

The methane (CH<sub>4</sub>) fluxes shown in Figure 4.2 are averages of emissions from the four chambers in each subplot throughout the fallow and rice growing season. During the sampling period, CH<sub>4</sub> fluxes from all the subplots ranged from -0.15 – 2.6 mg CH<sub>4</sub>-C m<sup>-2</sup> h<sup>-1</sup>. CH<sub>4</sub> emissions in the continuously flooded (CF) subplots were significantly higher ( $P < 0.001$ ) than in the alternate wetting & drying (AWD) subplots (Table 4.7). However, the continuously flooded vertisols (CF-VS) had higher CH<sub>4</sub> emissions than ( $P < 0.001$ ) continuously flooded nitisols (CF-NS).

Initially, the CH<sub>4</sub> emissions in the CF-VS remained low from transplant to mid-September when water levels were low to allow rice crop establishment and then gradually increased with peaks of 1.17, 2.39, 2.56 mg CH<sub>4</sub>-C m<sup>-2</sup> h<sup>-1</sup> after the 2<sup>nd</sup> top dressed fertilizer application, mid-reproductive stage and then mid-ripening stage respectively (Fig. 4.2a). In the CF-NS, emissions gradually increased after transplant with peaks of 0.71, 0.67 and 0.58 mg CH<sub>4</sub>-C m<sup>-2</sup> h<sup>-1</sup> after the 1<sup>st</sup> top dressed fertilizer application, 2<sup>nd</sup> top dressed fertilizer application and mid-ripening stage respectively (Fig. 4.2c). At the end of the reproductive stage, CH<sub>4</sub> emissions decreased in both CF subplots. However, during the ripening stage, CH<sub>4</sub> emissions increased briefly before decreasing when the subplots were drained a week before harvest.



**Figure 4.2: Variation in  $\text{CH}_4$  ( $\text{mg CH}_4\text{-C m}^{-2} \text{h}^{-1}$ ) emissions over the sampling period in each treatment with corresponding water table depth (cm)**

<sup>1</sup>Vertical dashed lines corresponds to the period of sampling during the fallow season and the growing season subdivided into developmental stages of rice growth (vegetative stage: from transplant to panicle initiation), reproductive stage (from panicle initiation to flowering) and ripening stage (flowering to mature/harvest stage). Bars indicate standard deviation of four replicates

<sup>2</sup>Arrows (left – right) denotes basal application, 1<sup>st</sup> top dressing and 2<sup>nd</sup> top dressing respectively.

**Table 4.4: Seasonal cumulative CH<sub>4</sub> (Kg CH<sub>4</sub>-C ha<sup>-1</sup>) emissions as affected by CF and AWD water regimes in the two soil types**

Treatment	Soil	
	VS	NS
	(Kg CH <sub>4</sub> -C ha <sup>-1</sup> )	
<b>CF</b>	18.55 <sup>a</sup> ± 0.85	5.77 <sup>b</sup> ± 0.20
<b>AWD</b>	2.19 <sup>c</sup> ± 0.07	0.90 <sup>c</sup> ± 0.04

**Analysis of Variance**

Treat.	P < 0.001
Soil	P < 0.001
Treat. x soil	P < 0.001

<sup>1</sup>CF – Continuous flooding, AWD – Alternate wetting and drying, VS – Vertisols, NS – Nitisols

<sup>2</sup>Numbers in the table represent means ± SE; SE refers to the standard error of measurement for four replicates

<sup>3</sup>Means followed by the same letter are not significantly different at P < 0.05

Methane fluxes in the AWD-VS averaged 0.13 and 0.06 mg CH<sub>4</sub>-C m<sup>-2</sup> h<sup>-1</sup> during the crop growing season and fallow season respectively (Fig. 4.2b), while emissions in the AWD-NS plot averaged 0.07 mg CH<sub>4</sub>-C m<sup>-2</sup> h<sup>-1</sup> in the crop growing season and -0.03 mg CH<sub>4</sub>-C m<sup>-2</sup> h<sup>-1</sup> in the fallow season (Fig. 4.2d). The alternate wetting & drying (AWD) water regime significantly reduced (*P* < 0.001) CH<sub>4</sub> emissions (Table 4.7); with consistently low fluxes throughout the rice growing seasons. However, CH<sub>4</sub> emissions in the AWD-VS, were higher (*P* < 0.001) than in the AWD-NS (Table 4.7). AWD-VS reduced CH<sub>4</sub> emissions by 88% compared to CF-VS while AWD-NS reduced CH<sub>4</sub> emissions by 84% compared to CF-NS.

The introduction of aerobic soil conditions with alternate wetting & drying (AWD) significantly (*P* < 0.001) reduced seasonal cumulative CH<sub>4</sub> emissions in both soil types compared to continuously flooded (CF). This showed that water management regimes had a significant effect on CH<sub>4</sub> emissions from the rice field. Various studies have reported significant reduction of growing season CH<sub>4</sub> emission; ranging from 11% to 98% reduction, (Linguist et al., 2015a; LaHue et., 2016; Lagomarsino et al., 2016) with the

introduction of AWD. According Yan et al. (2005), single and multiple rice field drainages reduced average CH<sub>4</sub> fluxes by 60% and 52% respectively, compared to CF.

The reduction of CH<sub>4</sub> emissions in this study may be attributed to a number of factors: i) methanogenic archaea bacteria; responsible for CH<sub>4</sub> production, were inhibited by the aerobic soil conditions when water levels were allowed to subside via percolation and evapotranspiration in the AWD treatments. These conditions changed their population, composition, and activity (Xu et al., 2007; Zhang et al., 2011; Fazli et al., 2013); ii) in both soils types under AWD, lowering the surface standing water depth decreased CH<sub>4</sub> emissions not only via suppression of the methanogens but also because oxygen penetration into the soil could have increased the population of methanotrophic bacteria (Fazli et al., 2013), which oxidize existing methane to CH<sub>3</sub>OH (Zou et al., 2007; Xu et al., 2007). The remaining un-oxidized methane was then released to the atmosphere through the aerenchyma cells of the rice plants (Neue & Sass, 1994); iii) according to Hou et al. (2000) and Ramu et al. (2012) available organic carbon i.e., root exudates, sloughed-off cells, decay of roots, soil organic matter and plant litter, control methane production and is high in flooded soils with high organic carbon content. Because of increased CH<sub>4</sub> transportation through the rice aerenchyma cells (Pittelkow, Adviento-Borbe, Hill, Six, van Kessel, et al., 2013), CH<sub>4</sub> emissions from rice production tend to be low during the early vegetative stages and increase during the reproductive stages as was observed in this study (Figure 4.2)

The type of soil had a significant effect ( $P < 0.001$ ) on CH<sub>4</sub> emissions. In the VS soil, CH<sub>4</sub> emissions were higher than in the NS soil indicating that soil type had an influence on the CH<sub>4</sub> emissions irrespective of the water management regime. Various studies (Wang et al., 1992; Mitra et al., 2002; Jain et al., 2004; Bao et al., 2014) have shown that differences in soil characteristics such as pH, organic carbon and nitrogen pools, water holding capacity, texture, among other factors are important factors that regulate the CH<sub>4</sub> emissions from rice fields. Also, according to Minamikawa et al., (2006), Bao et al.,

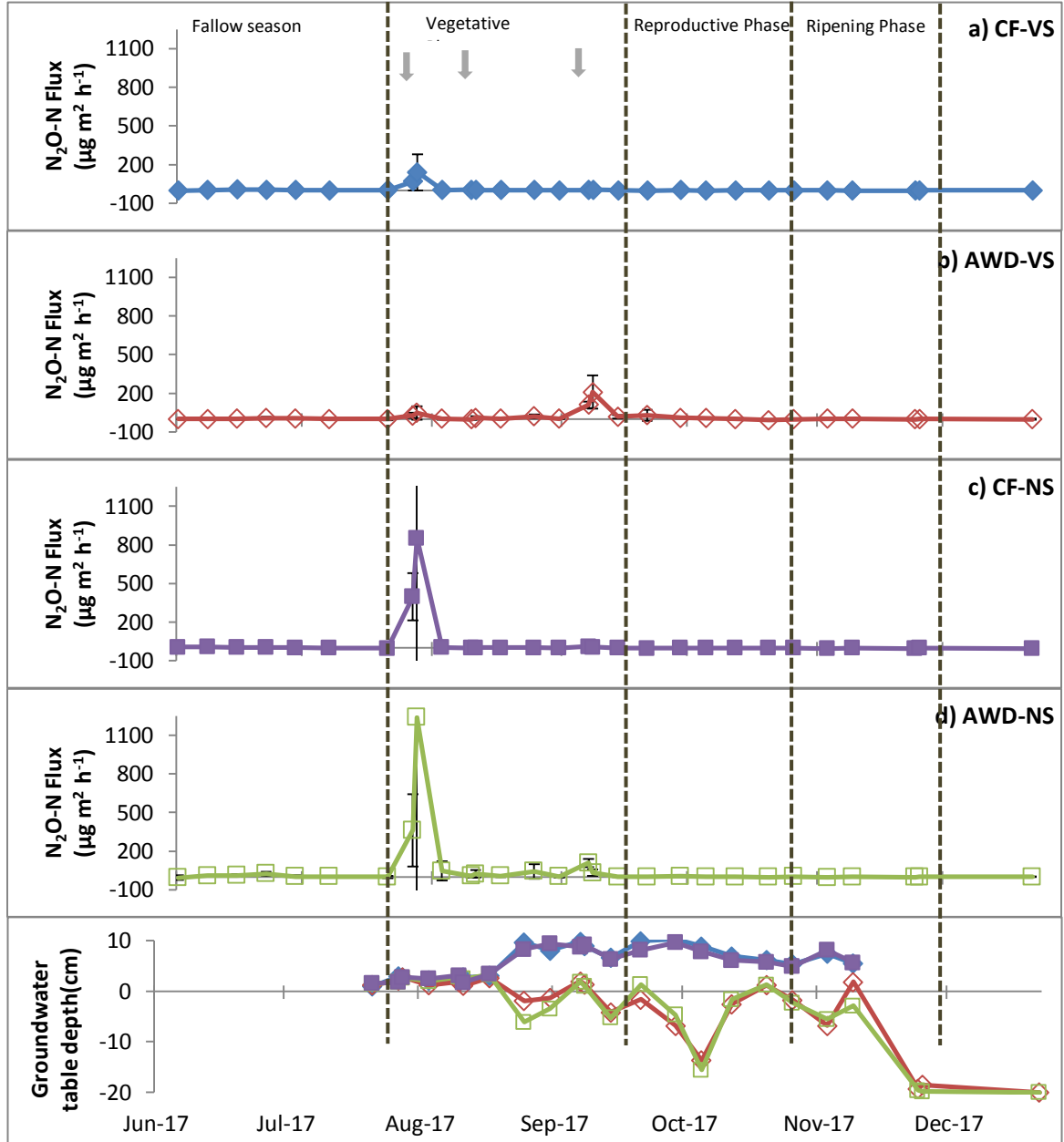
(2014), the population of methanogens (CH<sub>4</sub> production) and methanotrophs (CH<sub>4</sub> oxidation) varies among soil types.

The high CH<sub>4</sub> emission observed from the VS soil; compared to the NS soil, were attributed to: i) near neutrality of the VS (pH = 7.2) compared to the nitisols (pH = 5.7). Methane producing archaea are neutrophilic hence soil conditions with a pH range of between 6.5 – 7.5 have been observed to have the highest CH<sub>4</sub> production (Wang et al., 1992; Mitra et al., 2002 ; Bao et al., 2014); ii) high clay content and water holding capacity of the VS soil could have resulted in completely reduced soil conditions conducive for the methanogens (Sander et al., 2014). This study also provided evidence that CH<sub>4</sub> emissions were significantly influenced by the interactions of water regimes and soil type. ANOVA indicated that water regime, soil type as well as their interaction, all strongly affected cumulative seasonal CH<sub>4</sub> fluxes ( $P < 0.001$ ) (Table 4.7). The effect of the water regime on the CH<sub>4</sub> emissions depended on whether the soils were VS or NS. Also, the effect of the soil type on CH<sub>4</sub> depended on whether the soil was under CF or AWD. VS soils emitted higher CH<sub>4</sub> emissions both under CF and AWD compared to the NS, however, the CH<sub>4</sub> emissions were higher in the VS under CF than under AWD. This was mainly attributed to the VS soil physical and chemical characteristics that favor methane production as well as the flooded soil condition.

### **4.3.2. Nitrous Oxide Emissions**

During the sampling period, N<sub>2</sub>O fluxes from all the subplots ranged from -7.66 – 1237.58 µg N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup>. Low levels of N<sub>2</sub>O emissions were observed in the fallow season in all sub-plots (Figure 4.3). During the rice growing season, N<sub>2</sub>O emissions were consistently low with sudden peaks observed following fertilizer application. Major peaks were observed in the nitisols (854.05 and 1237.58 µg N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup> in the CF-NS (Fig 4.3c) and AWD-NS (Fig 4.2d) respectively) following basal fertilizer application as compared to the vertisols where minor peaks of 140.85 µg N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup> and 48.78 µg N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup> were observed in the CF-VS (Fig 4.3a) and AWD-VS (Fig 4.3b) respectively.

However, the amplitudes of the peaks dropped and remained low over the duration of the crop growing season with minor peaks of  $208.49 \mu\text{g N}_2\text{O-N m}^{-2} \text{ h}^{-1}$  and  $107.98 \mu\text{g N}_2\text{O-N m}^{-2} \text{ h}^{-1}$  observed later in the AWD-VS (Fig 4.3b) and AWD-NS (Fig 4.2d) respectively, following 2<sup>nd</sup> top dressed fertilizer application. Similar to the CH<sub>4</sub> emissions, N<sub>2</sub>O emissions were affected by the water regime and type of soil and their interaction. Continuously flooded subplots significantly inhibited the N<sub>2</sub>O emissions while introduction of AWD increased N<sub>2</sub>O emissions.



**Figure 4.3: Variation in  $N_2O$  ( $\mu g N_2O-N m^{-2} h^{-1}$ ) emissions over the sampling period in each treatment with corresponding water table depth (cm)**

<sup>1</sup>Vertical dashed lines corresponds to the period of sampling during the fallow season and the growing season subdivided into developmental stages of rice growth (vegetative stage: from transplant to panicle initiation), reproductive stage (from panicle initiation to flowering) and ripening stage (flowering to mature/harvest stage). Bars indicate standard deviation of four replicates

<sup>2</sup>Arrows (left – right) denotes basal application, 1<sup>st</sup> top dressing and 2<sup>nd</sup> top dressing respectively.

AWD in the VS soil increased N<sub>2</sub>O emissions by 72% compared to the CF-VS while AWD in the NS soil increased N<sub>2</sub>O by 50% compared to the CF-NS. N<sub>2</sub>O emissions were significantly higher in the nitisols than in the vertisols with 79% higher emissions in the CF-NS than CF-VS and 76% higher emissions in the AWD-NS than AWD-VS (Table 4.8). ANOVA (P < 0.001) indicated that interaction of water regime and soil type had a significant effect on the emissions of N<sub>2</sub>O with water regimes in the NS having slightly higher emissions than water regimes in the VS.

**Table 4.5: Seasonal cumulative N<sub>2</sub>O (Kg N<sub>2</sub>O-N ha<sup>-1</sup>) emissions as affected by CF and AWD water regimes in the two soil types**

Treatment	Soil	
	VS	NS
	(Kg N <sub>2</sub> O-N ha <sup>-1</sup> )	
<b>CF</b>	0.18 <sup>a</sup> ± 0.01	0.86 <sup>b</sup> ± 0.03
<b>AWD</b>	0.31 <sup>c</sup> ± 0.01	1.29 <sup>d</sup> ± 0.05
<b>Analysis of Variance</b>		
Treat.	P < 0.001	
Soil	P < 0.001	
Treat. x soil	P < 0.001	

<sup>1</sup>CF – Continuous flooding, AWD – Alternate wetting and drying, VS – Vertisols, NS – Nitisols

<sup>2</sup>Numbers in the table represent means ± SE; SE refers to the standard error of measurement for four replicates

<sup>3</sup>Means followed by the same letter are not significantly different at P < 0.05

While the introduction of AWD in rice fields generally causes a decrease CH<sub>4</sub> emissions in the crop growing season, N<sub>2</sub>O emissions will usually increase (Akiyama, Yagi, & Yan, 2005; Lagomarsino, Agnelli, Linquist, Adviento-Borbe, Agnelli, et al., 2016). Varying the soil moisture through drainage causes changes to soil temperature, soil oxygen status, and soil redox potential (Eh), which may change N<sub>2</sub>O emission rates (Lagomarsino et al., 2016). The greater N<sub>2</sub>O emissions from the AWD subplots were attributed mainly to the introduction of aerobic soil conditions in the rice fields which resulted in high soil redox



potential that stimulated the N<sub>2</sub>O producers hence increasing N<sub>2</sub>O emissions in the AWD subplots compared to the CF subplots. Also, flooded soil conditions (i.e. water depth ranged from 3 – 10cm) acted as a barrier, inhibiting or slowing the movement of N<sub>2</sub>O produced via denitrification in the reduced soil layer to the atmosphere during which time the N<sub>2</sub>O could be reduced further to N<sub>2</sub> (Zou et al., 2005; Peng et al., 2011; Y. Xu et al., 2015b).

The type of soil also had a significant ( $P < 0.001$ ) effect on N<sub>2</sub>O emissions. Lower N<sub>2</sub>O emissions from the VS under AWD compared to NS under AWD were observed in this study. This could mainly be attributed to the pH of the vertisols which was higher (7.2) than the nitisols (5.7). According to Webster & Hopkins, (1996), the increase in the pH increases the N<sub>2</sub>O reductase enzyme activity. High pH may have increased the N<sub>2</sub>O reductase enzyme activity resulting in lower emissions from the VS soil.

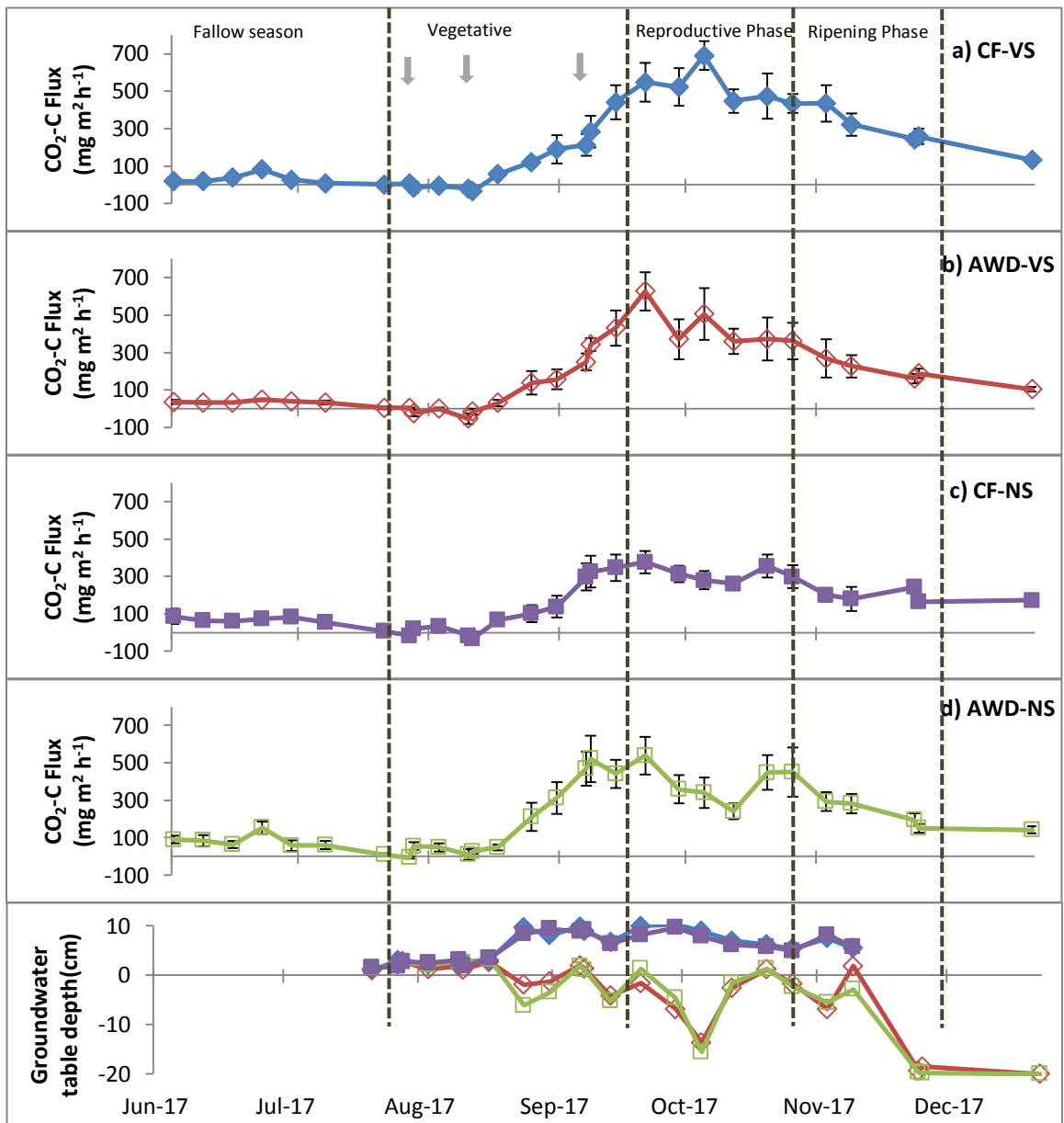
There was also a significant ( $P < 0.001$ ) water regime-by-soil type interaction effect on N<sub>2</sub>O emissions from the rice fields. Alternate wetting & drying (AWD) in well aerated NS soils, resulted in the highest N<sub>2</sub>O emissions from the AWD-NS subplot as compared to AWD in VS. Also the CF-VS subplot had the lowest N<sub>2</sub>O emissions compared to the other subplots indicating that the interaction of the continuous flooding (CF) in soils with high water holding capacity and clay content inhibited the production of N<sub>2</sub>O.

#### **4.3.3. Carbon dioxide Emissions**

Patterns of CO<sub>2</sub> emissions were similar between the two soil types (Figure 4.4). In the fallow season, CO<sub>2</sub> emissions remained low mainly because emissions were only from the soil respiration. However after transplanting, CO<sub>2</sub> emissions gradually increased in all subplots. In the reproductive stage, CO<sub>2</sub> emissions decreased in the nitisols while a peak was observed in the vertisols. Emissions gradually decreased in all subplots in the ripening stage to close to zero after harvest. Soil and treatment interaction showed significant effect on CO<sub>2</sub> emissions ( $P < 0.001$ ) (Table 4.9).

Water management regimes had a significant effect ( $P < 0.01$ ) on CO<sub>2</sub> emissions. Drying and re-wetting cycles as well as soil water content have been found to have a pronounced effect on soil CO<sub>2</sub> fluxes (Borken et al., 2009; Wu et al., 2010). Liu et al. (2013), Haque et al. (2014) and Y. Xu et al., (2015b), in their findings, observed that CO<sub>2</sub> emissions increase with reduction of irrigation water and reduce with increase in flood water in the rice fields.

This CO<sub>2</sub> emissions reduction in the flooded soil conditions is mainly attributed to the reduction in diffusivity and substantial reduction in the biological activity in the soil (Haque, Kim, Ali, & Kim, 2014; Y. Xu, Ge, Tian, Li, Nguy-Robertson, et al., 2015). Flooding cuts off oxygen exchange from the atmosphere and as a consequence biological activities are reduced under the anoxic soil conditions inhibiting CO<sub>2</sub> production. Averaged over both soil types, AWD increased CO<sub>2</sub> emissions by 10% compared to CF.



**Figure 4.4: Variation in CO<sub>2</sub> (mg CO<sub>2</sub>-C m<sup>-2</sup> h<sup>-1</sup>) emissions over the sampling period in each treatment with corresponding water table depth (cm)**

<sup>1</sup>Vertical dashed lines corresponds to the period of sampling during the fallow season and the growing season subdivided into developmental stages of rice growth (vegetative stage: from transplant to panicle initiation), reproductive stage (from panicle initiation to flowering) and ripening stage (flowering to mature/harvest stage). Bars indicate standard deviation of four replicates

<sup>2</sup>Arrows (left – right) denotes basal application, 1<sup>st</sup> top dressing and 2<sup>nd</sup> top dressing respectively.

**Table 4.6: Seasonal cumulative CO<sub>2</sub> (Mg CO<sub>2</sub>-C ha<sup>-1</sup>) emissions as affected by CF and AWD water regimes in the two soil types**

Treatment	Soil	
	VS	NS
	(Mg CO <sub>2</sub> -C ha <sup>-1</sup> )	
<b>CF</b>	3.78 <sup>a</sup> ± 0.14	3.04 <sup>b</sup> ± 0.09
<b>AWD</b>	3.32 <sup>b</sup> ± 0.12	4.16 <sup>a</sup> ± 0.13
<b>Analysis of Variance</b>		
Treat.	P = 0.006	
Soil	P = 0.693	
Treat. x soil	P < 0.001	

<sup>1</sup>CF – Continuous flooding, AWD – Alternate wetting and drying, VS – Vertisols, NS – Nitisols

<sup>2</sup>Numbers in the table represent means ± SE; SE refers to the standard error of measurement for four replicates

<sup>3</sup>Means followed by the same letter are not significantly different at P < 0.05

The type of soil did not have a significant effect on CO<sub>2</sub> emissions (P = 0.693), however a reduction in CO<sub>2</sub> emissions in the VS subjected to AWD acting contrary to CO<sub>2</sub> emissions when NS subjected to AWD was observed. This could be attributed mainly to high soil pH in the VS soils as CO<sub>2</sub> production is lower in soils with high pH (Wang et al., 2010). The interaction between water regime and soil type also had a significant (P < 0.001) effect on the CO<sub>2</sub> emissions where the well aerated. NS soils under AWD had the highest CO<sub>2</sub> emissions compared to similar soil under CF.

#### **4.3.4. Carbon dioxide Equivalent (CO<sub>2</sub>-eq) Emission**

To compare the impact of each GHG, the global warming potential (GWP) of each gas is computed over a 100 year period as shown in Table 4.10. This is based on each GHG warming power and atmospheric lifetime. As a basis of comparison, carbon dioxide (CO<sub>2</sub>) is assigned a GWP of one and CH<sub>4</sub> and N<sub>2</sub>O GWP are computed in relationship to carbon dioxide. For example, relative to CO<sub>2</sub>, atmospheric CH<sub>4</sub> is considered as the second most

abundant GHG after CO<sub>2</sub> (Watson et al., 1992; Neue et al., 1996; Grasty, 1999; Yan et al., 2003; Minamikawa et al., 2006; Zhang et al., 2011) with a global warming potential (GWP) 34 times more than CO<sub>2</sub> and has a lifetime of about 10 years (Myhre, Shindell, Bréon, Collins, Fuglestvedt, et al., 2013). N<sub>2</sub>O on the other hand is more potent per gram than methane (CH<sub>4</sub>) (The Guardian, 2011) and is approximately 298 times more potent than CO<sub>2</sub> on a 100-year time-scale (Myhre, Shindell, Bréon, Collins, Fuglestvedt, et al., 2013).

**Table 4.7: Global warming potential (GWP, Kg CO<sub>2</sub>-equivalent ha<sup>-1</sup>) and Yield scaled GWP (GWP<sub>Y</sub>, kg CO<sub>2</sub>-eq kg grain yield<sup>-1</sup>)**

Treatment	CH <sub>4</sub>	N <sub>2</sub> O	GWP reduction	GWP <sub>Y</sub>	GWP <sub>Y</sub> reduction
	GWP	GWP			
	(kg CO <sub>2</sub> -eq ha <sup>-1</sup> )		(%)	(kg CO <sub>2</sub> -eq kg grain yield <sup>-1</sup> )	(%)
CF-VS	630.70	53.64		0.13	
AWD-VS	74.46	92.38	76	0.03	74
CF-NS	196.18	256.28		0.10	
AWD-NS	30.60	384.42	8	0.09	4

<sup>1</sup>Continuous flooding (CF) & alternate wetting and drying (AWD) in the two soil types: vertisols (NS) and nitisols (NS)

<sup>2</sup> Basmati 370 rice grain yield data showed no significant difference in the yields from all treatments

The CO<sub>2</sub> equivalent (CO<sub>2</sub>-eq) for CH<sub>4</sub> and N<sub>2</sub>O emissions was calculated using the Equation 3:

$$CO_2eq = (CH_4 \times 34 + N_2O \times 298) \quad \text{Equation 3}$$

Where; CO<sub>2</sub>-eq is the CO<sub>2</sub> equivalent (Kg CO<sub>2</sub>-eq ha<sup>-1</sup>), CH<sub>4</sub> is the total amount of methane emission (kg ha<sup>-1</sup>), N<sub>2</sub>O is the total amount of nitrous oxide emission (kg ha<sup>-1</sup>),

34 and 298 are the radiative forcing potentials for CH<sub>4</sub> and N<sub>2</sub>O, respectively (Myhre, Shindell, Bréon, Collins, Fuglestedt, et al., 2013), to CO<sub>2</sub> over a 100-yr time horizon.

With CH<sub>4</sub> and N<sub>2</sub>O emissions expressed as CO<sub>2</sub> equivalents for a 100-yr horizon, AWD in the VS and NS soils lowered GWP by 76% and 8%, respectively (Table 4.8) due to the large reduction of CH<sub>4</sub> in both soil types under AWD water regime. The average CO<sub>2</sub>-eq was higher in the VS soil than in the NS soil mainly due to the higher CH<sub>4</sub> emissions in the CF-VS subplot. Yield-scaled GWP calculated as the ratio of growing season GWP (Kg CO<sub>2</sub>-eq ha<sup>-1</sup>) and grain yield (Kg ha<sup>-1</sup>) (Table 4.10) was reduced by 74% and 4% in the AWD-VS and AWD-NS respectively.

#### **4.4. Water productivity**

##### **4.4.1. Rice Yield**

The Basmati 370 grain yield showed no significant differences in the grain yield between the water management regimes (CF and AWD) irrespective of the soil type (Table 4.11). Therefore, drying and re-wetting cycles in the AWD subplots in this study did not significantly affect the grain yield (kg ha<sup>-1</sup>) relative to the continuously flooded subplots. There was also no significant difference in the grain yield from the two soil types (VS and NS soil) irrespective of the water management regimes. However, the VS soil (averaged over both water management regimes) had a slightly higher grain yield (14%) compared to the NS soils. Water management regime-by-soil type interaction was also observed to have no significant effect on the grain yield (Table 4.11).

**Table 4.8: Yield and yield components data for each treatment during the rice growing season at the Kirogo Rice Research farm**

Treatment	Number of panicles (No. m <sup>-2</sup> )	Spikelets per panicle	Spikelet number (spikelet m <sup>-2</sup> )	1000 grains weight (g)	Filled grain ratio (%)	Grain yield (g m <sup>-2</sup> )	Above ground DMW (g m <sup>-2</sup> )	Harvest index
<b>CF-NS</b>	247 <sub>a</sub>	77.5 <sub>a</sub>	21296 <sub>a</sub>	23.9 <sub>b</sub>	90.8 <sub>a</sub>	461.31 <sub>a</sub>	941.0 <sub>a</sub>	0.49 <sub>a</sub>
<b>AWD-NS</b>	260 <sub>a</sub>	68.6 <sub>a</sub>	19977 <sub>a</sub>	24.9 <sub>ab</sub>	88.6 <sub>a</sub>	441.81 <sub>a</sub>	860.5 <sub>b</sub>	0.51 <sub>a</sub>
<b>CF-VS</b>	264 <sub>a</sub>	74.3 <sub>a</sub>	22066 <sub>a</sub>	26.5 <sub>a</sub>	92.2 <sub>a</sub>	540.00 <sub>a</sub>	1142.4 <sub>a</sub>	0.47 <sub>a</sub>
<b>AWD-VS</b>	290 <sub>a</sub>	65.4 <sub>a</sub>	21117 <sub>a</sub>	26.3 <sub>a</sub>	90.8 <sub>a</sub>	505.88 <sub>a</sub>	954.4 <sub>a</sub>	0.53 <sub>a</sub>
<b>Analysis of Variance</b>								
Soil	ns	ns	ns	**	ns	ns	*	ns
Treat.	ns	*	ns	ns	ns	ns	*	*
Soil x Treat.	ns	ns	ns	ns	ns	ns	ns	ns

<sup>1</sup>\*\*P ≤ 0.01, \*P ≤ 0.05, ns = not significant

<sup>2</sup>Means followed by the same letter are not significantly different at P < 0.05

<sup>3</sup>CF – Continuous flooding, AWD – Alternate wetting and drying, VS – Vertisols, NS – Nitisols

The introduction of AWD irrespective of the soil type did not have a significant effect on the grain yield. This can be attributed mainly to the fact that there was no significant difference in the amount of water applied in the vegetative stage in all subplots. According to Myers et al. (2002), rice plants do not require huge amounts of water for growth but can thrive under flooded conditions during part of its growth cycle. Also, according to Minamikawa & Sakai (2005), rice plants require flooded soil conditions mainly during the rooting stage, but in the other stages during growth, the plant does not always need to be flooded.

Reported effects of AWD on rice grain yield are highly varied with some studies reporting yield penalties (Y. Xu et al., 2015b; Linqvist et al., 2015a; Lagomarsino et al., 2016) while others showing no decline or change in yield (Yao et al., 2012; LaHue et al., 2016). This variability is attributed mainly to the wide range of water-saving rice production systems classified as AWD (LaHue, Chaney, Adviento-Borbe, & Linqvist, 2016). To minimize yield loss, adopting this systems to site specific management such as: flooding duration, drainage frequency, soil type, rice varieties among other factors (Lagomarsino et al., 2016) is crucial.

#### **4.4.2. Water Use in the Vertisols and Nitisols**

The irrigation water applied ( $\text{m}^3$ ) in the VS under alternate wetting and drying (AWD) and under continuous flooding (CF) was  $188.3 \text{ m}^3$  and  $269.1 \text{ m}^3$  per  $105.3 \text{ m}^2$  subplot area (equivalent to  $17882 \text{ m}^3 \text{ ha}^{-1}$  and  $25556 \text{ m}^3 \text{ ha}^{-1}$ ), respectively. With the introduction of AWD in the vertisols, total water saving was 26% (equivalent to  $7674 \text{ m}^3 \text{ ha}^{-1}$ ). In the nitisols (NS) under AWD and under CF, the irrigation water applied was  $158.0 \text{ m}^3$  and  $321.2 \text{ m}^3$  per  $105.3 \text{ m}^2$  subplot area (equivalent to  $15006 \text{ m}^3 \text{ ha}^{-1}$  and  $30503 \text{ m}^3 \text{ ha}^{-1}$ ), respectively. With the introduction of AWD in the nitisols, total water use (rainfall + irrigation water) was reduced by 45% (equivalent to  $15498 \text{ m}^3 \text{ ha}^{-1}$ ) (Table 4.12).

Following a *meta*-analysis from 56 studies with 528 side-by-side comparison of AWD to CF, Carrijo et al. (2017) reported that, introduction of AWD; mainly during the wet season, reduced water use by 25.7% which translated to great water savings. Other than less irrigation, reduced water use can also be attributed, in part, to reduced seepage and percolation losses in AWD rice fields. These losses are significantly reduced in the absence of flood water and are highly dependent on the hydrological properties of different soils (Carrijo, Lundy & Linqvist, 2017). According to (Sharma, Lav, Bhushan, Ladha, Naresh et al., 2002), 51% of water applied in sandy loam soil in India was being lost via percolation while in clayey soil in California, (Linqvist et al., 2015b) reported that about 15% of water applied was being lost via both seepage and percolation.



From the study, to maintain the water levels at about 10 cm above the soil surface; the continuously flooded subplots were irrigated more frequently over the growing season. However, the continuously flooded nitisols were irrigated more frequently (Table 4.12) compared to the continuously flooded vertisols; with the nitisols under CF using 14.2% (equivalent to 4947 m<sup>3</sup> ha<sup>-1</sup>) more water. This could be mainly attributed to the fact that vertisols; unlike the nitisols, have higher water holding capacity due their high clay content while the nitisols are well-drained compared to the vertisols since they have higher sand and silt content.

**Table 4.9: Number of irrigation and water used (irrigation + rainfall) for each subplot over the growing season at the Kirogo rice research farm**

Subplot	No. of irrigation	Irrigation m <sup>3</sup>	Irrigation m <sup>3</sup> ha <sup>-1</sup>	Irrigation rainfall m <sup>3</sup> ha <sup>-1</sup>	+ Water saving %
<b>CFVS</b>	53	269.1 <sup>a</sup>	25556	29778	
<b>AWDVS</b>	32	188.3 <sup>c</sup>	17882	22104	26
<b>CFNS</b>	68	321.2 <sup>b</sup>	30503	34725	
<b>AWDNS</b>	26	158.0 <sup>c</sup>	15006	19228	45
<b>ANOVA</b>					
Water regime		P < 0.001			
Soil type		P = 0.369			
Water x Soil		P < 0.001			

However, the nitisols under AWD water regime used 15% (equivalent to 2876 m<sup>3</sup> ha<sup>-1</sup>) less water compared to the vertisols under AWD. This could be attributed mainly to the fact that the vertisols in the scheme fall under the class of montmorillonitic clays that crack when dry (Sombroek, Braun, & van der Pouw, 1982). Upon re-flooding of the vertisols

under AWD, a considerable amount of the water applied was lost to deep seepage when cracks were first filled up.

This also indicated that there was an interaction effect (water regime x soil type) as the water used in each soil type depended on whether the soil was under AWD or CF. From the analysis, the water regimes (CF & AWD) had a significant effect on the amount of water applied ( $P < 0.001$ ), while the type of soil (VS & NS) did not have a significant effect ( $P = 0.369$ ) on the amount of water applied. However, there was a significant water regime x soil type interaction effect ( $P < 0.001$ ) on the amount of water applied in each subplot (Table 4.12).

#### 4.4.3. Water Productivity in the Vertisols and Nitisols

Water productivity is defined as the amount of grain yield obtained per unit total water input (irrigation and rainfall). Water productivity ( $\text{kg m}^{-3}$ ) in the vertisols under continuous flooding (CF-VS) and alternate wetting and drying (AWD-VS) was  $0.18 \text{ kg m}^{-3}$  and  $0.23 \text{ kg m}^{-3}$ , respectively while in the nitisols under continuous flooding (CF-NS) and alternate wetting and drying (AWD-NS) was  $0.13 \text{ kg m}^{-3}$  and  $0.23 \text{ kg m}^{-3}$  respectively.

**Table 4.10: Grain yield, total water used and water productivity for each subplot over the growing season at the Kirogo rice research farm**

Subplot	<sup>1</sup> Grain yield kg ha <sup>-1</sup>	Total water used m <sup>3</sup> ha <sup>-1</sup>	Water productivity kg m <sup>-3</sup>	Water productivity %
CF-VS	5400.0 <sup>a</sup>	29778	0.18	
AWD-VS	5058.8 <sup>a</sup>	22104	0.23	28
CF-NS	4613.1 <sup>a</sup>	34725	0.13	
AWD-NS	4418.1 <sup>a</sup>	19227	0.23	77

Basmati 370 rice grain yield data

Productivity was 28% and 77% higher in the VS and NS respectively under AWD compared to the CF. Although AWD did not significantly increase the yield ( $\text{kg ha}^{-1}$ ), productivity was observed to be higher with the introduction of AWD in both soil types (Table 4.13). Productivity in the nitisols was much higher than in the vertisols mainly due to the fact that CF in the well-drained nitisols resulted to more frequent irrigation in the reproductive and ripening stages to maintain water levels lost through not only evapotranspiration but also seepage and percolation losses. With the introduction of AWD in this soil type, the seepage and percolation losses were minimized and less irrigation was done throughout the growing season without affecting the grain yield.

## CHAPTER FIVE

### CONCLUSIONS AND RECOMMENDATIONS

#### 5.1. Conclusions

The study showed that:

- Seasonal cumulative CH<sub>4</sub> emissions from AWD were 88% and 84% lower than from CF; in VS and NS respectively. Compared to rice fields under alternate wetting and drying irrigation system, the continuously flooded rice fields resulted in high methane (CH<sub>4</sub>) emissions;
- Seasonal cumulative N<sub>2</sub>O emissions increased by 72% and 50%, in VS and NS respectively. Introducing periodic aerobic soil conditions during the rice growing season resulted in increased nitrous oxide (N<sub>2</sub>O) emissions in both soils compared to the continuously flooded rice fields;
- The cumulative CH<sub>4</sub> emissions from the VS soil were 69% higher while the cumulative N<sub>2</sub>O emissions were 79% lower than the NS soil. The GHG emissions were greatly dependent on the soil physical and chemical properties with vertisols having the highest CH<sub>4</sub> emissions and nitisols having the highest N<sub>2</sub>O emissions under AWD.
- Interaction between the water management regimes and soil type significantly affected the GHG (CH<sub>4</sub>, N<sub>2</sub>O and CO<sub>2</sub>) emissions from the rice fields;
- Compared to CF, water productivity under AWD was 28% and 77% higher in the VS and NS soils respectively. AWD in both nitisols and vertisols reduced water use while maintaining rice grain yield;
- Alternate wetting and drying (AWD) irrigation system has the potential to minimize known environments impacts of rice production while maintaining yield.

## **5.2. Recommendations and limitation of the study**

### **5.2.1. Recommendations**

This study recommends the following:

- Alternate wetting and drying may compromise rice grain yield mainly in dry seasons. It is therefore important to fine tuning this irrigation strategy to site specific conditions and manage the drying and wetting cycle (i.e begin irrigation before water levels go below the rooting zone) as rice plant are extremely sensitivity water stress.
- A trade-off between CH<sub>4</sub> and N<sub>2</sub>O was observed in this study. However, since the GWP was largely dominated by CH<sub>4</sub> emissions (mainly in the vertisols); the increase in N<sub>2</sub>O emissions under AWD did not affect the decrease in GWP. It is therefore important to manage the duration of aerobic soil conditions (how long rice fields are left to dry) as well as properly balance the number of wetting and drying events so as counterbalance the reduction of CH<sub>4</sub> with the simultaneous N<sub>2</sub>O increase in AWD.
- The peaks following fertilizer application significantly contributed to the high seasonal N<sub>2</sub>O emissions. It is therefore important to provide an adequate amount of N (only what the crop requires) accompanied by proper water management.

### **5.2.2. Area of further research and limitation of the study**

- Further research: To develop a comprehensive and accurate GHG inventory from rice production in the country, it is important to quantify GHG emissions from different rice growing (agro-ecological) regions in the country with different weather conditions, soil types and agricultural activities.
- Limitation: This study was conducted in only one cropping season and there were no spatial replications due to physical (land availability and size of plot where the experiment was set-up) and financial constraints and hence insufficient to claim validity at national level. However, it presents the best available data for rice

production emissions in Kenya and can be seen as a step to country-specific emission factor for Kenya.

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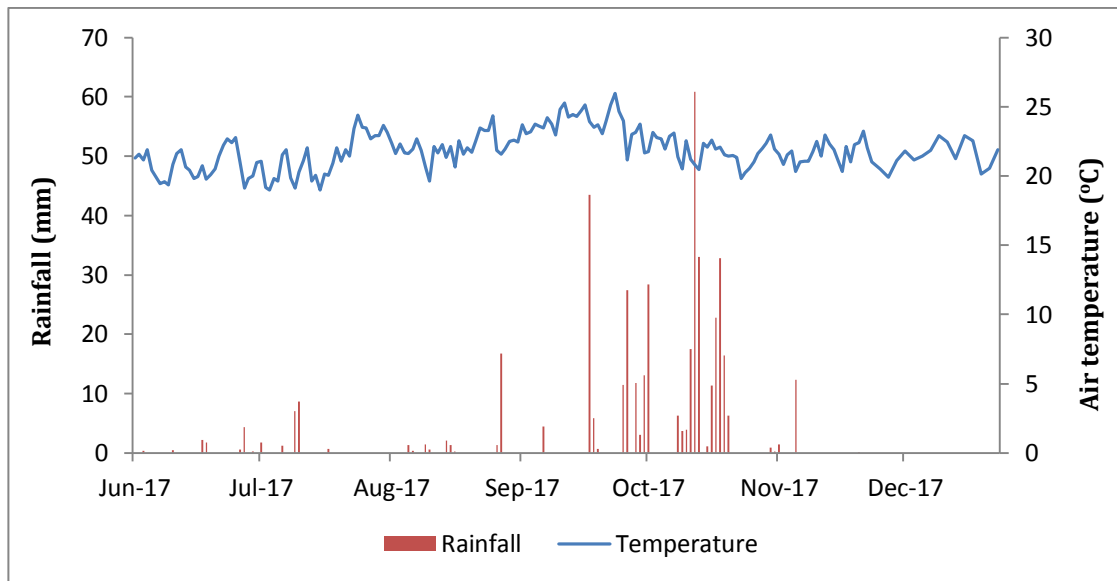
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## APPENDICES

### Appendix I: Seasonal variation in the precipitation and temperature measured at the Kirogo Rice Research Farm during the entire sampling period



**Appendix II: Analysis of variance (ANOVA) for the effect of water regime, soil type and their interaction on CH<sub>4</sub> emissions during the entire sampling period**

	Df	Sum Sq	Mean Sq	F value	Pr(>F)	
Mwea_Cum\$Water	1	86851	86851	583.8	<2e-16	***
Mwea_Cum\$Soil	1	38139	38139	256.4	<2e-16	***
Mwea_Cum\$Water:Mwea_Cum\$Soil	1	25381	25381	170.6	<2e-16	***
Residuals	3076	457636	149			

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Signif. codes: 0 '\*\*\*' 0.001 '\*\*' 0.01 '\*' 0.05 '.' 0.1 ' ' 1

**Appendix III: Analysis of variance (ANOVA) for the effect of water regime, soil type and their interaction on N<sub>2</sub>O emissions during the entire sampling period**

	Df	Sum Sq	Mean Sq	F value	Pr(>F)	
Mwea_Cum\$water	1	87	86.8	7.495	0.00622	**
Mwea_Cum\$Soil	1	2	1.8	0.156	0.69252	
Mwea_Cum\$water:Mwea_Cum\$Soil	1	478	478.5	41.307	1.5e-10	***
Residuals	3076	35630	11.6			

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Signif. codes: 0 '\*\*\*' 0.001 '\*\*' 0.01 '\*' 0.05 '.' 0.1 ' ' 1

**Appendix IV: Analysis of variance (ANOVA) for the effect of water regime, soil type and their interaction on CO<sub>2</sub> emissions during the entire sampling period**

	Df	Sum Sq	Mean Sq	F value	Pr(>F)	
Mwea_Cum\$water	1	60.8	60.8	98.82	< 2e-16	***
Mwea_Cum\$Soil	1	522.0	522.0	848.40	< 2e-16	***
Mwea_Cum\$water:Mwea_Cum\$Soil	1	17.7	17.7	28.84	8.43e-08	***
Residuals	3076	1892.7	0.6			

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Signif. codes: 0 '\*\*\*' 0.001 '\*\*' 0.01 '\*' 0.05 '.' 0.1 ' ' 1

**Appendix V: Post-hoc multiple comparison test for the effect of water regime, soil type and their interaction on CH<sub>4</sub> emissions during the entire sampling period**

Tukey multiple comparisons of means

95% family-wise confidence level

factor levels have been ordered

Fit: aov(formula = Mwea\_Cum\$CH4 ~ Mwea\_Cum\$water \* Mwea\_Cum\$Soil)

\$`Mwea\_Cum\$water`

	diff	lwr	upr	p adj
CF-AWD	10.62043	9.758558	11.48229	0

\$`Mwea\_Cum\$Soil`

	diff	lwr	upr	p adj
VS-NS	7.037857	6.17599	7.899725	0

\$`Mwea\_Cum\$water:Mwea\_Cum\$Soil`

	diff	lwr	upr	p adj
AWD:VS-AWD:NS	1.296564	-0.3013138	2.894441	0.1579469
CF:NS-AWD:NS	4.879132	3.2812545	6.477009	0.0000000
CF:VS-AWD:NS	17.658283	16.0604054	19.256160	0.0000000
CF:NS-AWD:VS	3.582568	1.9846910	5.180446	0.0000001
CF:VS-AWD:VS	16.361719	14.7638419	17.959596	0.0000000
CF:VS-CF:NS	12.779151	11.1812737	14.377028	0.0000000

**Appendix VI: Post-hoc multiple comparison test for the effect of water regime, soil type and their interaction on N<sub>2</sub>O emissions during the entire sampling period**

Tukey multiple comparisons of means

95% family-wise confidence level

factor levels have been ordered

Fit: aov(formula = Mwea\_Cum\$CO2 ~ Mwea\_Cum\$water \* Mwea\_Cum\$Soil)

\$`Mwea\_Cum\$water`

	diff	lwr	upr	p adj
AWD-CF	0.3357864	0.0953028	0.5762701	0.0062214

\$`Mwea\_Cum\$Soil`

	diff	lwr	upr	p adj
NS-VS	0.04850429	-0.1919793	0.2889879	0.6925234

\$`Mwea\_Cum\$water:Mwea\_Cum\$Soil`

	diff	lwr	upr	p adj
AWD:VS-CF:NS	0.2872821	-0.158567461	0.7331318	0.3472915
CF:VS-CF:NS	0.7397687	0.293919082	1.1856183	0.0001211
AWD:NS-CF:NS	1.1240594	0.678209808	1.5699090	0.0000000
CF:VS-AWD:VS	0.4524865	0.006636934	0.8983362	0.0451414
AWD:NS-AWD:VS	0.8367773	0.390927660	1.2826269	0.0000088
AWD:NS-CF:VS	0.3842907	-0.061558883	0.8301403	0.1192078

**Appendix VII: Post-hoc multiple comparison test for the effect of water regime, soil type and their interaction on CO<sub>2</sub> emissions during the entire sampling period**

Tukey multiple comparisons of means

95% family-wise confidence level

factor levels have been ordered

Fit: aov(formula = Mwea\_Cum\$N2O ~ Mwea\_Cum\$Water \* Mwea\_Cum\$Soil)

\$`Mwea\_Cum\$Water`

	diff	lwr	upr	p adj
AWD-CF	0.2810144	0.2255872	0.3364415	0

\$`Mwea\_Cum\$Soil`

	diff	lwr	upr	p adj
NS-VS	0.823389	0.7679619	0.8788162	0

\$`Mwea\_Cum\$Water:Mwea\_Cum\$Soil`

	diff	lwr	upr	p adj
AWD:VS-CF:VS	0.1291953	0.02643506	0.2319556	0.0068157
CF:NS-CF:VS	0.6715700	0.56880974	0.7743303	0.0000000
AWD:NS-CF:VS	1.1044034	1.00164313	1.2071637	0.0000000
CF:NS-AWD:VS	0.5423747	0.43961442	0.6451350	0.0000000
AWD:NS-AWD:VS	0.9752081	0.87244780	1.0779683	0.0000000
AWD:NS-CF:NS	0.4328334	0.33007311	0.5355937	0.0000000